

# A c-theorem for Two-dimensional Boundaries and Defects

Andy O'Bannon



THE ROYAL  
SOCIETY

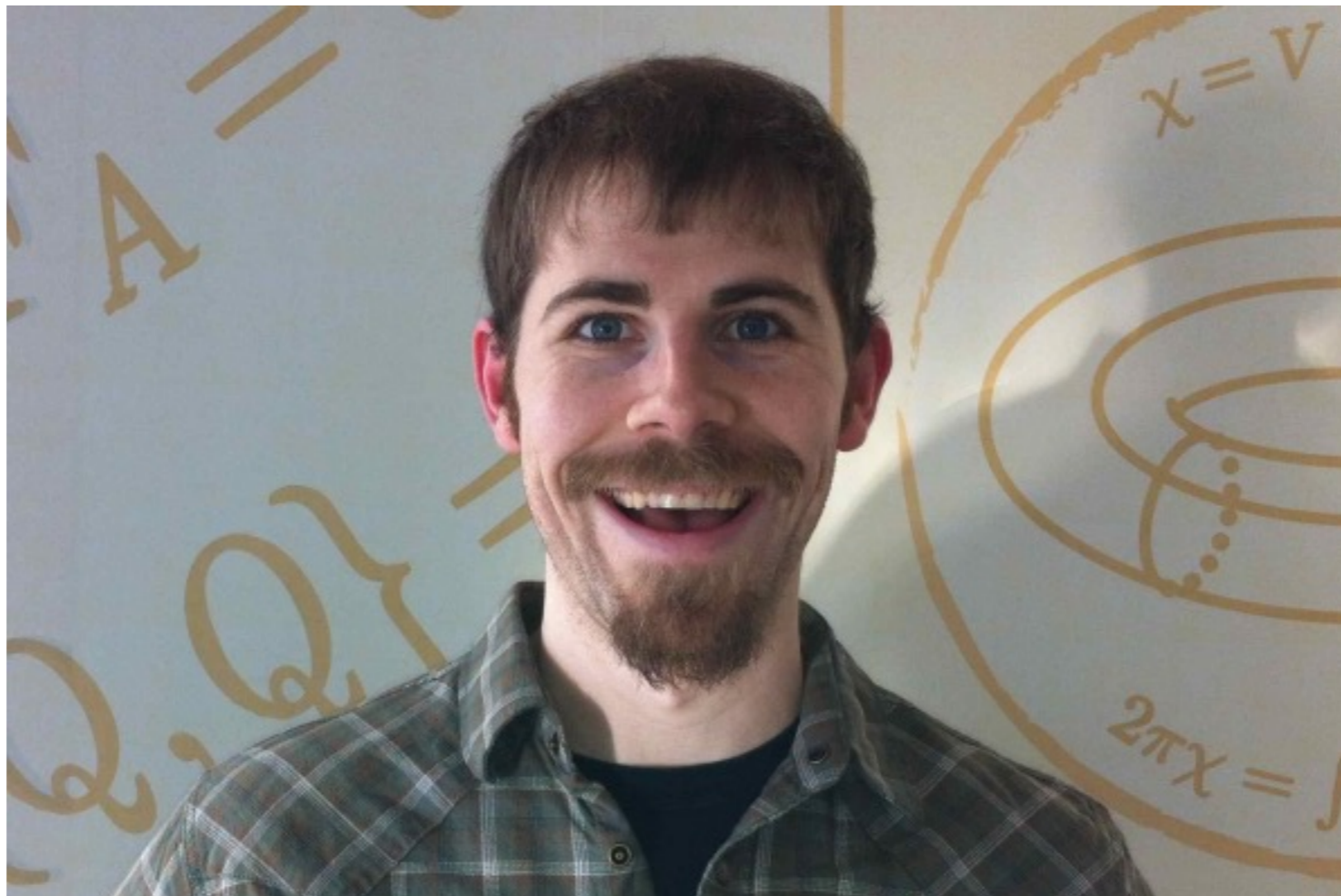
Holography, Strings, and Higher Spins  
University of Swansea  
March 19, 2015

# WORK IN PROGRESS

with

**Kristan Jensen**

Stony Brook University



# DISCLAIMERS

No Holography, Strings, or Higher Spins

Apologies for missed references

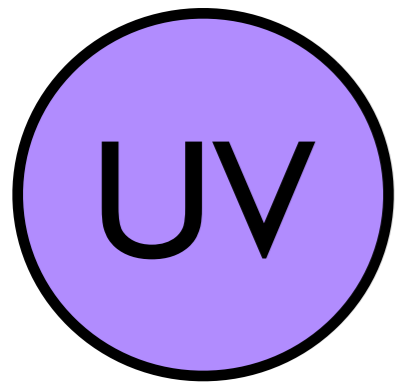
WORK IN PROGRESS

*caveat emptor* (buyer beware)

Suggestions welcome!

# Outline:

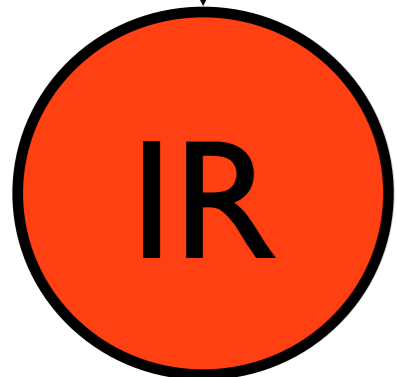
- Review: Monotonicity Theorems
- The Systems
- The Weyl Anomaly
- The Proof
- Summary and Outlook



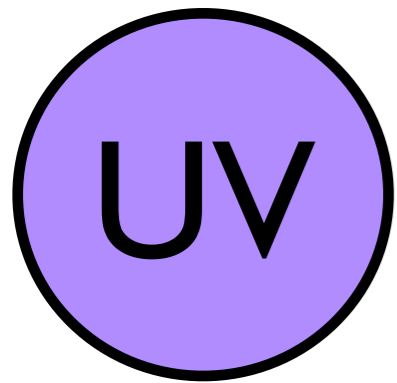
Microscopic/High-energy scales

Intuition:

The “number of degrees of freedom (DOF)”  
will **DECREASE**



Macroscopic/Low-energy scales



# Example

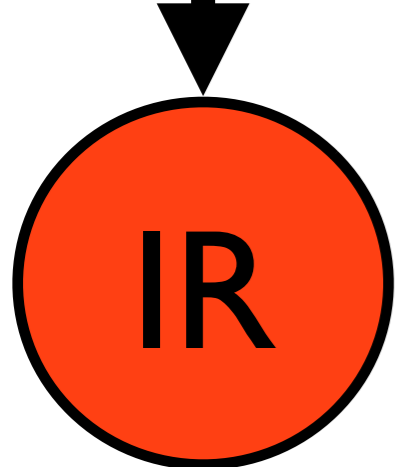
Quantum Field Theory (QFT)

Wilsonian Renormalization Group (RG)

“integrate out” DOF

Below a mass threshold,  
integrate out massive DOF

massive DOF  
“decouples”



# Monotonicity Theorems

Make our intuition precise, for RG flows in QFT

Provide a precise way to count number of DOF

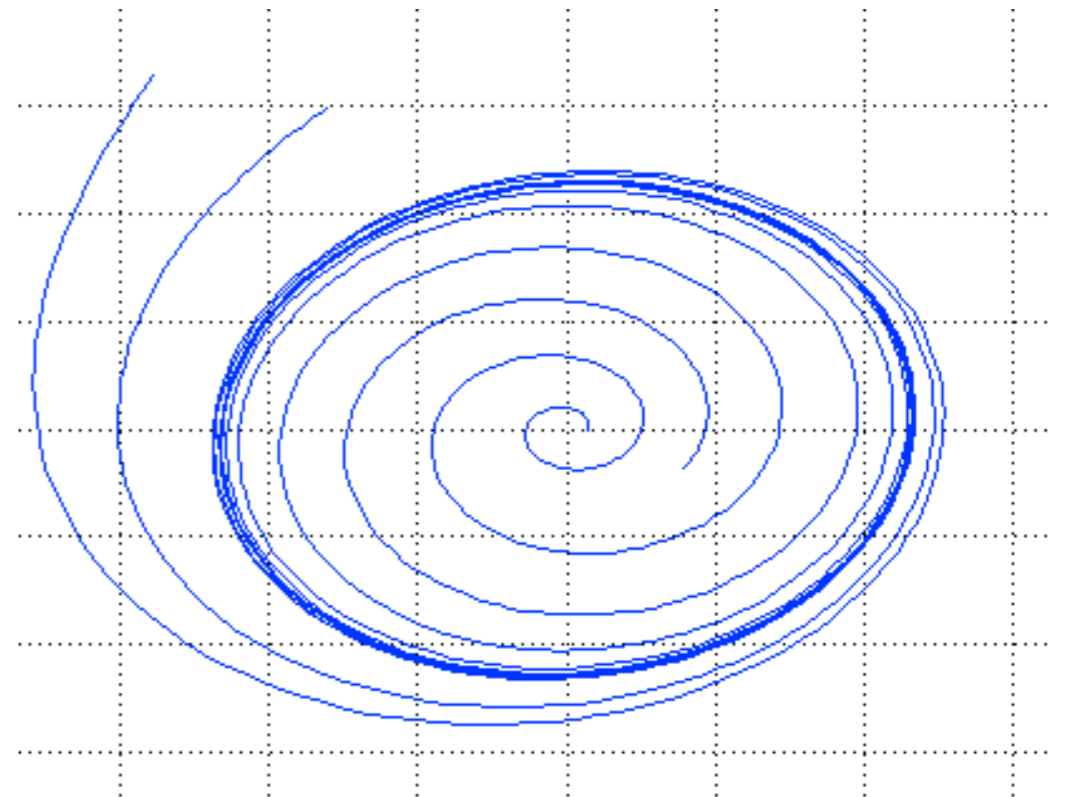
Provide rigorous proof that the number of DOF  
DECREASES along RG flow

# Monotonicity Theorems

Monotonicity Theorems are important!

They place **stringent theoretical constraints** on what is possible in RG flows, without relying on perturbation theory

For example, they can eliminate limit cycles





# The c-theorem

A.B. Zamolodchikov  
JETP Vol. 43 No. 12 p. 565, 1986

for RG flows in

RENORMALIZABLE

EUCLIDEAN

QFTs in  $d = 2$

# Assumptions

- ① Euclidean Symmetry
- ② Locality
- ③ Unitarity

# 1. Euclidean Symmetry

Non-dynamical background metric  $g_{\mu\nu}(x)$

Action functional  $S(g_{\mu\nu}, \lambda)$

Coupling constants  $\lambda = (\lambda_1, \lambda_2, \dots)$

Generating functional  $Z[g_{\mu\nu}, \lambda]$

# 1. Euclidean Symmetry

## Stress-Energy Tensor

$$T_{\mu\nu} \equiv -\frac{2}{\sqrt{g}} \frac{\delta}{\delta g^{\mu\nu}} \ln Z[g_{\mu\nu}, \lambda]$$

$$T_{\mu\nu} = T_{\nu\mu}$$

$$g_{\mu\nu} \rightarrow \delta_{\mu\nu}$$

## Translational and Rotational Symmetry

$$\partial_{\mu} T_{\mu\nu} = 0$$

# 1. Euclidean Symmetry

## Stress-Energy Tensor

complex coordinates  $z, \bar{z}$

$$[T_{\mu\nu}] = \begin{pmatrix} T_{zz} & T_{z\bar{z}} \\ T_{\bar{z}z} & T_{\bar{z}\bar{z}} \end{pmatrix}$$

$$T_{z\bar{z}} = T_{\bar{z}z}$$

$$T_{\mu}^{\mu} = T_{z\bar{z}} = T_{\bar{z}z}$$

## 2. Locality

$$S(\lambda) = \int d^2 z L(\lambda, z, \bar{z})$$

RG flow triggered by relevant local scalar operator

$$L(\lambda, z, \bar{z}) \rightarrow L(\lambda, z, \bar{z}) + \lambda_{\mathcal{O}} \mathcal{O}(z, \bar{z})$$

$$\Delta_{\mathcal{O}} < 2$$

# 3. Unitarity

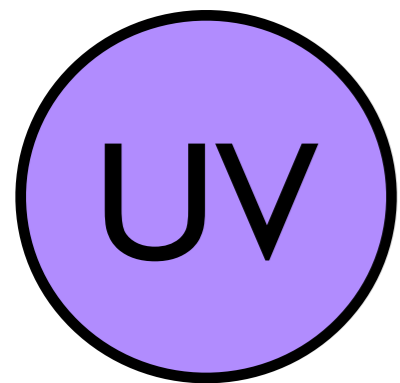
$$||\psi\rangle|^2 = \langle\psi|\psi\rangle \geq 0$$

## Reflection Positivity

Two point function of local scalar operator must be non-negative

$$\langle\mathcal{O}^\dagger(x)\mathcal{O}(0)\rangle \geq 0$$

Euclidean “time evolution” preserves norm  $\geq 0$

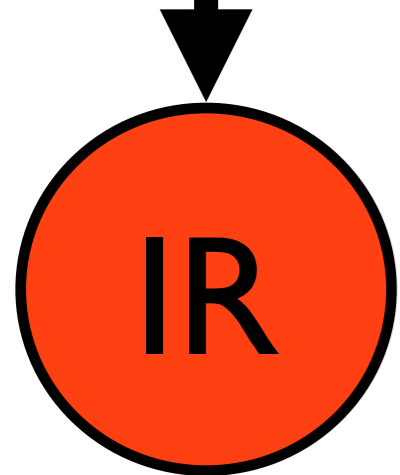


UV CFT

# The c-theorem

RG flow between fixed points

Conformal Field Theories  
(CFTs)



IR CFT



# Conformal Field Theory

Non-dynamical background metric  $g_{\mu\nu}(x)$

## Conformal Transformation

Diffeomorphism

$$x^\mu \rightarrow x'^\mu(x)$$

such that

$$g_{\mu\nu}(x) \rightarrow e^{2\Omega(x)} g_{\mu\nu}(x)$$

# Conformal Field Theory

$$g_{\mu\nu}(x) \rightarrow e^{2\Omega(x)} g_{\mu\nu}(x)$$

$$T_{\mu}^{\mu} = \frac{1}{\sqrt{g}} \frac{\delta}{\delta\Omega} \ln Z$$

Conformal invariance

$$T_{\mu}^{\mu} = 0$$

# Conformal Field Theory

$$g_{\mu\nu}(x) \rightarrow \delta_{\mu\nu}$$

$$d > 2$$

Rotations

$$x^\mu \rightarrow \Lambda^\mu{}_\nu x^\nu$$

Translations

$$x^\mu \rightarrow x^\mu + c^\mu$$

Dilatations

$$x^\mu \rightarrow \lambda x^\mu$$

Special Conformal

$$x^\mu \rightarrow \frac{x^\mu + b^\mu x^2}{1 + 2x^\nu b_\nu + b^2 x^2}$$

$$SO(d+1, 1)$$

# Conformal Field Theory

$$g_{\mu\nu}(x) \rightarrow \delta_{\mu\nu}$$

$$d = 2$$

Holomorphic and anti-holomorphic  
**DECOUPLE**

$$T_{\mu}^{\mu} = T_{z\bar{z}} = T_{\bar{z}z} = 0$$

$$\partial_{\mu} T_{\mu\nu} = 0$$

$$\partial_{\bar{z}} T_{zz} = 0 \quad \partial_z T_{\bar{z}\bar{z}} = 0$$

# Conformal Field Theory

$$g_{\mu\nu}(x) \rightarrow \delta_{\mu\nu}$$

$$d = 2$$

## Conformal Transformation

$$z \rightarrow w(z)$$

$$\bar{z} \rightarrow \bar{w}(\bar{z})$$

# Conformal Field Theory

$$T_{zz}(z) = \sum_{n=-\infty}^{\infty} \frac{L_n}{z^{n+2}} \quad T_{\bar{z}\bar{z}}(\bar{z}) = \sum_{n=-\infty}^{\infty} \frac{\bar{L}_n}{\bar{z}^{n+2}}$$

## Virasoro algebra

$$[L_m, L_n] = (m - n)L_{n+m} + \frac{c}{12}m(m^2 - 1)\delta_{m+n,0}$$

$$[L_m, \bar{L}_n] = 0$$

$$SO(d + 1, 1) = SO(3, 1)$$

**subgroup**

$$L_{\pm 1} \text{ and } L_0$$

$$\bar{L}_{\pm 1} \text{ and } \bar{L}_0$$

# Conformal Field Theory

## Central Charge

Counts the number of DOF in the CFT

Add a single, real, free, massless scalar field or Dirac fermion

$$c \rightarrow c + 1$$

# Conformal Field Theory

## Central Charge

### Thermodynamic entropy

Cardy NPB 270 (186) 1986

System size  $L$                       Temperature  $T$

$$T \gg 1/L$$

$$S_{\text{thermo}} = \frac{\pi}{3} c L T + \dots$$



# Conformal Field Theory

## Central Charge

## Entanglement Entropy (EE)

Holzhey, Larsen, Wilczek hep-th/9403108

Calabrese + Cardy hep-th/0405152

Short-distance cutoff  $a$       Interval of length  $\ell$

$$S_{\text{EE}} = \frac{c}{3} \ln \frac{2\ell}{a} + \dots$$

# Conformal Field Theory

## Central Charge

$$||\psi\rangle|^2 = \langle\psi|\psi\rangle \geq 0$$

Vacuum  $|0\rangle$

$$L_m|0\rangle = 0 \quad \forall m \geq 0$$

$$|L_{-m}|0\rangle|^2 = \langle 0|[L_m, L_{-m}]|0\rangle = \frac{c}{12}m(m^2 - 1) \geq 0$$

$$c \geq 0$$

# The c-theorem

$$\langle T_{zz}(z, \bar{z}) T_{zz}(0, 0) \rangle = \frac{F(z\bar{z})}{z^4}$$

$$\langle T_{\mu}^{\mu}(z, \bar{z}) T_{zz}(0, 0) \rangle = \frac{G(z\bar{z})}{z^3 \bar{z}}$$

$$\langle T_{\mu}^{\mu}(z, \bar{z}) T_{\mu}^{\mu}(0, 0) \rangle = \frac{H(z\bar{z})}{z^2 \bar{z}^2}$$

Fixed point  $\implies F = c/2 \quad G = 0 \quad H = 0$

# The c-theorem

$$\langle T_{zz}(z, \bar{z}) T_{zz}(0, 0) \rangle = \frac{F(z\bar{z})}{z^4}$$

$$\langle T_{\mu}^{\mu}(z, \bar{z}) T_{zz}(0, 0) \rangle = \frac{G(z\bar{z})}{z^3 \bar{z}}$$

$$\langle T_{\mu}^{\mu}(z, \bar{z}) T_{\mu}^{\mu}(0, 0) \rangle = \frac{H(z\bar{z})}{z^2 \bar{z}^2}$$

Reflection Positivity  $\Rightarrow H \geq 0$

# The c-theorem

c-function

$$C \equiv 2F - G - \frac{3}{8}H$$

Fixed point  $\Rightarrow C = c$

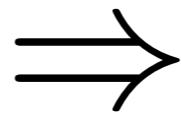
$$\partial_\mu T_{\mu\nu} = 0$$

$$r \equiv \sqrt{z\bar{z}}$$

$$r \frac{\partial C}{\partial r} = -\frac{3}{2}H \leq 0$$

# The c-theorem

$$\frac{\partial C}{\partial r} \leq 0$$



$$C_{UV} \geq C_{IR}$$

Strong form

Weak form

# Other Proofs

## Holography

Freedman, Gubser, Pilch, Warner hep-th/9904017

Myers and Sinha 1006.1263, 1011.5819

Null energy Condition

Strong Form

## Entanglement Entropy (EE)

Casini and Huerta hep-th/0405111

Strong Sub-Additivity (SSA)

Strong Form

## Weyl Anomaly Matching

Komargodski and Schwimmer 1107.3987

Komargodski 1112.4538

Reflection Positivity

Weak form

# Generalizations?

non-local and/or non-unitary QFTs?

QFTs with less symmetry?

higher dimensions?

QFTs without Euclidean symmetry?

What if  $d_{UV} \neq d_{IR}$  ?

What if the relevant operator is not a scalar?

What if the fixed points have Lifshitz scaling?

$\vec{x} \rightarrow \lambda \vec{x}$      $t \rightarrow \lambda^z t$      $z =$  dynamical exponent

What if  $z_{UV} \neq z_{IR}$  ?



# Higher Dimensions

## F-theorem 3-dimensional QFT

Jafferis, Klebanov, Pufu, and Safdi | 103.1181

Casini and Huerta | 202.5650

## a-theorem 4-dimensional QFT

Cardy PLB 215 (1988) 749

Komargodski and Schwimmer | 107.3987

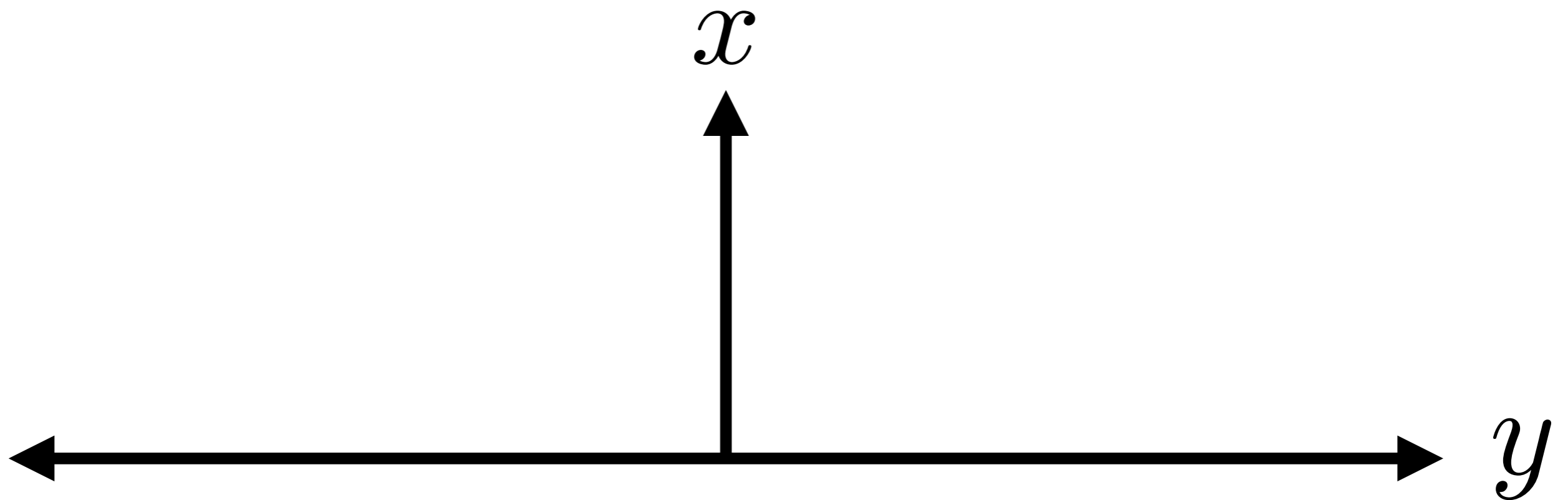
**weak forms only!**

# The g-theorem

Affleck and Ludwig PRL 67 (1991) 161

Friedan and Konechny hep-th/0312197

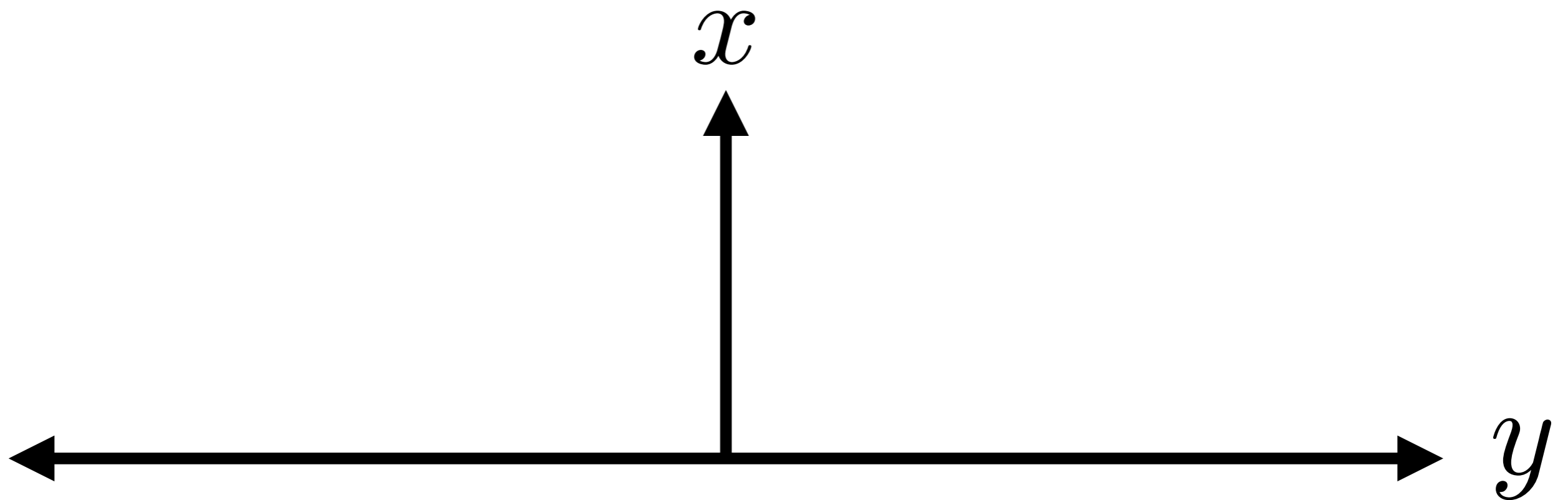
Local, unitary CFT in  $d = 2$   
on a space with a boundary



# The $g$ -theorem

Conformal boundary conditions

Boundary CFT (BCFT)



# The g-theorem

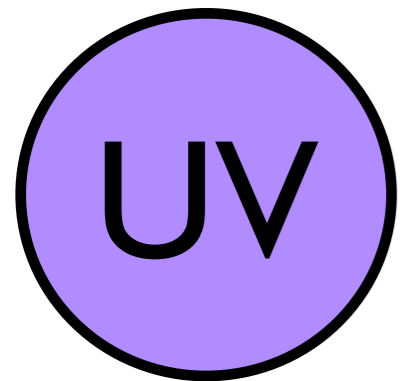
## Boundary RG flows

$$S(\lambda) \rightarrow S(\lambda) + \int dx dy \delta(x) \lambda_{\mathcal{O}} \mathcal{O}(y) \quad \Delta_{\mathcal{O}} < 1$$

Bulk theory remains conformal

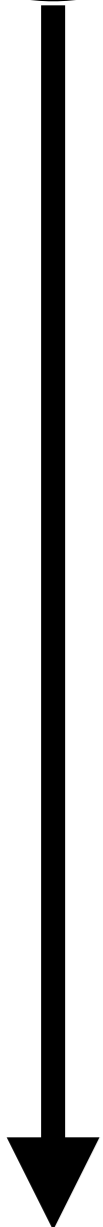
$$T_{\mu\nu} = [T_{\mu\nu}]_{\text{bulk}} + \delta(x) [T_{\mu\nu}]_{\partial}$$

$$[T_{\mu}^{\mu}]_{\text{bulk}} = 0 \quad T_{\mu}^{\mu} = \delta(x) [T_{\mu}^{\mu}]_{\partial} \neq 0$$



**UV BCFT**

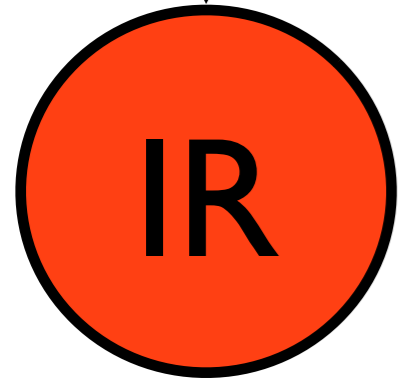
CFT with boundary condition “ $\alpha$ ”



**Boundary RG flow**

$$S(\lambda) \rightarrow S(\lambda) + \int dx dy \delta(x) \lambda_{\mathcal{O}} \mathcal{O}(y) \quad \Delta_{\mathcal{O}} < 1$$

**IR BCFT**



CFT with boundary condition “ $\beta$ ”

# The g-theorem

At fixed point: conformally map to disk

$$g_\alpha \equiv \langle \mathbf{1} \rangle_\alpha^{\text{ren.}}$$

“Boundary entropy”

$$\ln g_\alpha$$

Counts DOF localized at boundary

# The g-theorem

## Thermodynamic entropy

Affleck and Ludwig PRL 67 (1991) 161

System size  $L$                       Temperature  $T$

$$T \gg 1/L$$

$$S_{\text{thermo}} = \frac{\pi}{3} cLT + \ln g_{\alpha} + \dots$$

# The g-theorem

## Entanglement Entropy (EE)

Calabrese + Cardy hep-th/0405152

Interval including the boundary

$$S_{\text{EE}} = \frac{c}{6} \ln \frac{2\ell}{a} + \ln g_\alpha + \dots$$

$$\ln g_\alpha = S_{\text{EE}}^{\text{BCFT}} - \frac{1}{2} S_{\text{EE}}^{\text{CFT}}$$



# The g-theorem

Affleck and Ludwig PRL 67 (1991) 161

Friedan and Konechny hep-th/0312197

Define a g-function  $G$

Euclidean symmetry, locality, unitarity

$$\frac{\partial G}{\partial r} \leq 0 \quad \Rightarrow \quad g_{UV} \geq g_{IR}$$

Strong form

Weak form

# The g-theorem

Affleck and Ludwig PRL 67 (1991) 161

Friedan and Konechny hep-th/0312197

Define a g-function  $G$

Euclidean symmetry, locality, unitarity

$$\frac{\partial G}{\partial r} \leq 0 \quad \Rightarrow \quad g_{UV} \geq g_{IR}$$

They can't prove that  $\ln g$  is bounded from below!

# Generalizations?

Higher-dimensional g-theorems?

## Proposals

Yamaguchi

hep-th/0207171

Takayanagi et al.

1105.5165, 1108.5152, 1205.1573

Estes, Jensen, O'B., Tsatis, Wrase

1403.6475

Gaiotto

1403.8052

Many tests in particular examples

No proofs yet!

# GOAL

Prove a g-theorem for  
Local, unitary BCFT in  $d = 3$

## Proposals

Nozaki, Takayanagi, Ugajin	1205.1573
Estes, Jensen, O'B., Tsatis, Wrase	1403.6475

## Method

Komargodski and Schwimmer 1107.3987  
Komargodski 1112.4538

# Examples

Graphene with a boundary

Critical Ising model in  $d = 3$  with a boundary

M-theory: M2-branes with a boundary

String theory: various brane intersections

Holographic BCFTs

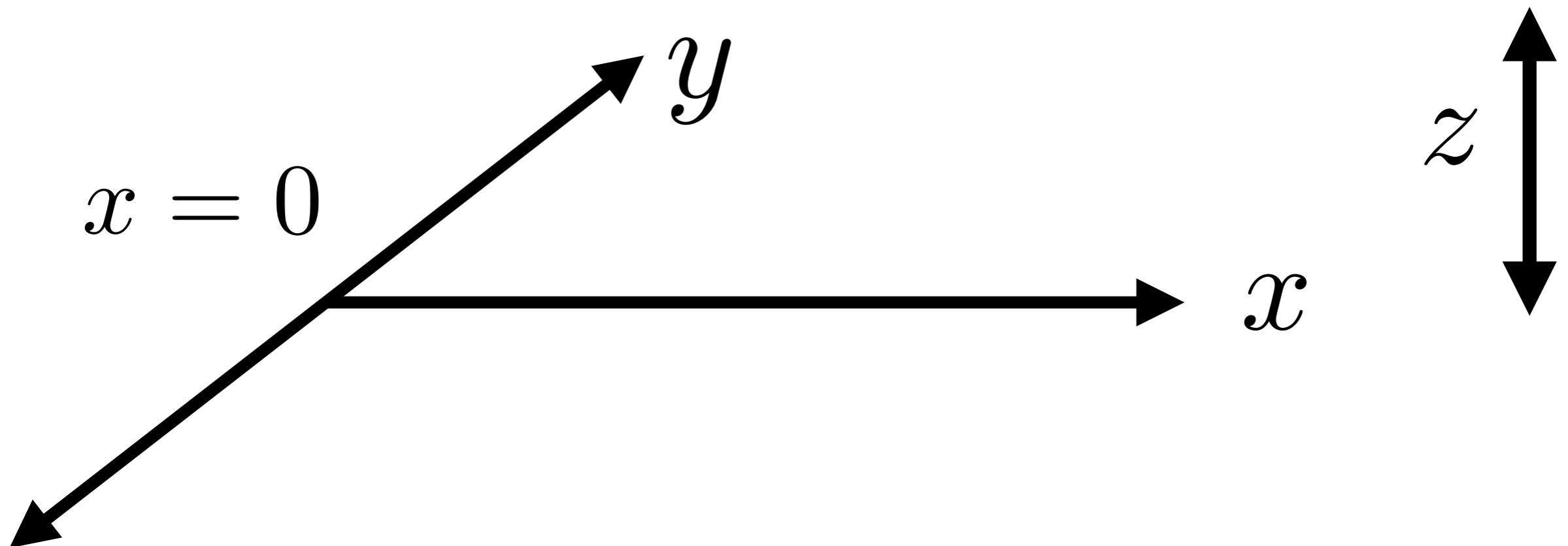
# Outline:

- Review: Monotonicity Theorems
- The Systems
- The Weyl Anomaly
- The Proof
- Summary and Outlook

# The Systems

BCFT in  $d = 3$

With a planar boundary



# The Systems

$$SO(d + 1, 1) = SO(4, 1)$$

Rotations

$$x^\mu \rightarrow \Lambda^\mu_\nu x^\nu$$

Translations

$$x^\mu \rightarrow x^\mu + c^\mu$$

Dilatations

$$x^\mu \rightarrow \lambda x^\mu$$

Special Conformal

$$x^\mu \rightarrow \frac{x^\mu + b^\mu x^2}{1 + 2x^\nu b_\nu + b^2 x^2}$$

Broken to subgroup that preserves  $x = 0$

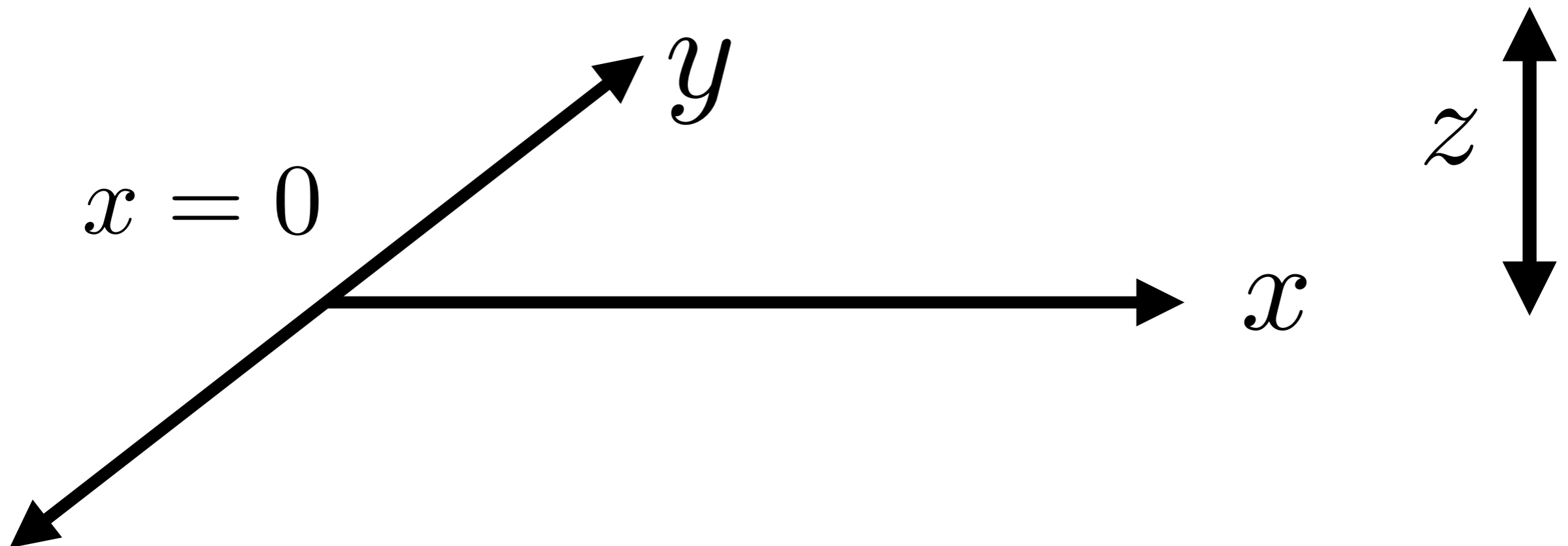


# The Systems

Rotations

$$x^\mu \rightarrow \Lambda^\mu{}_\nu x^\nu$$

Broken to rotations in  $(y, z)$

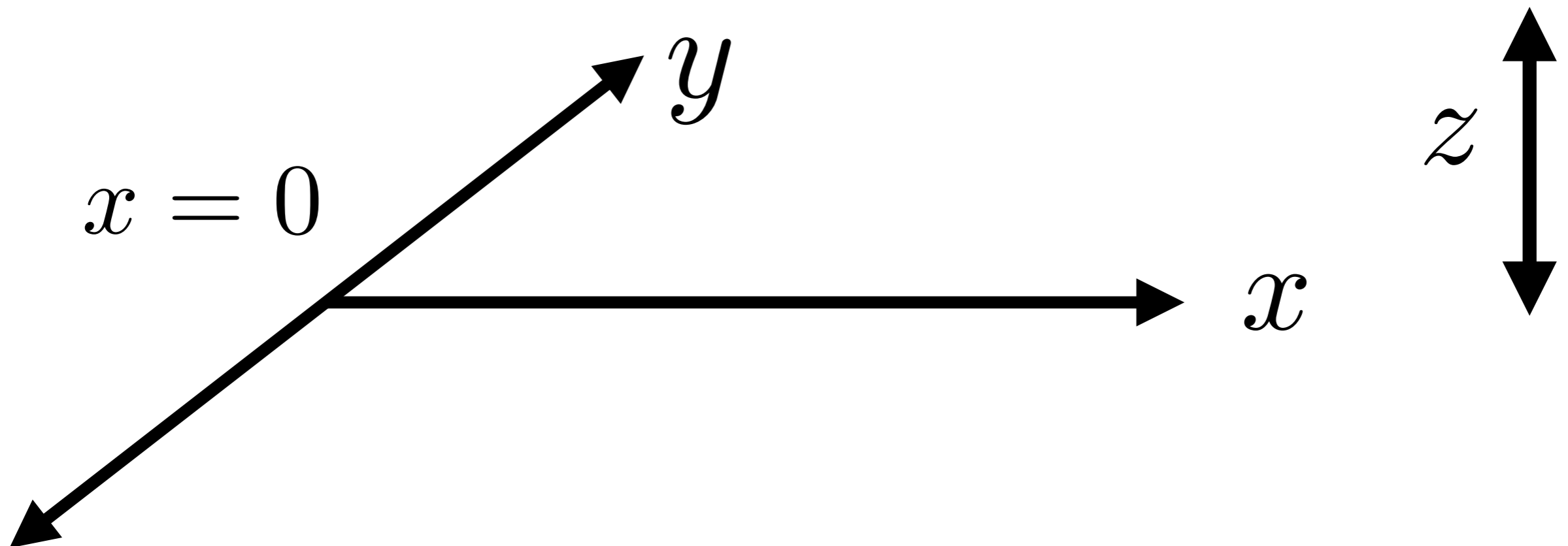


# The Systems

Translations

$$x^\mu \rightarrow x^\mu + c^\mu$$

Broken to translations along  $(y, z)$

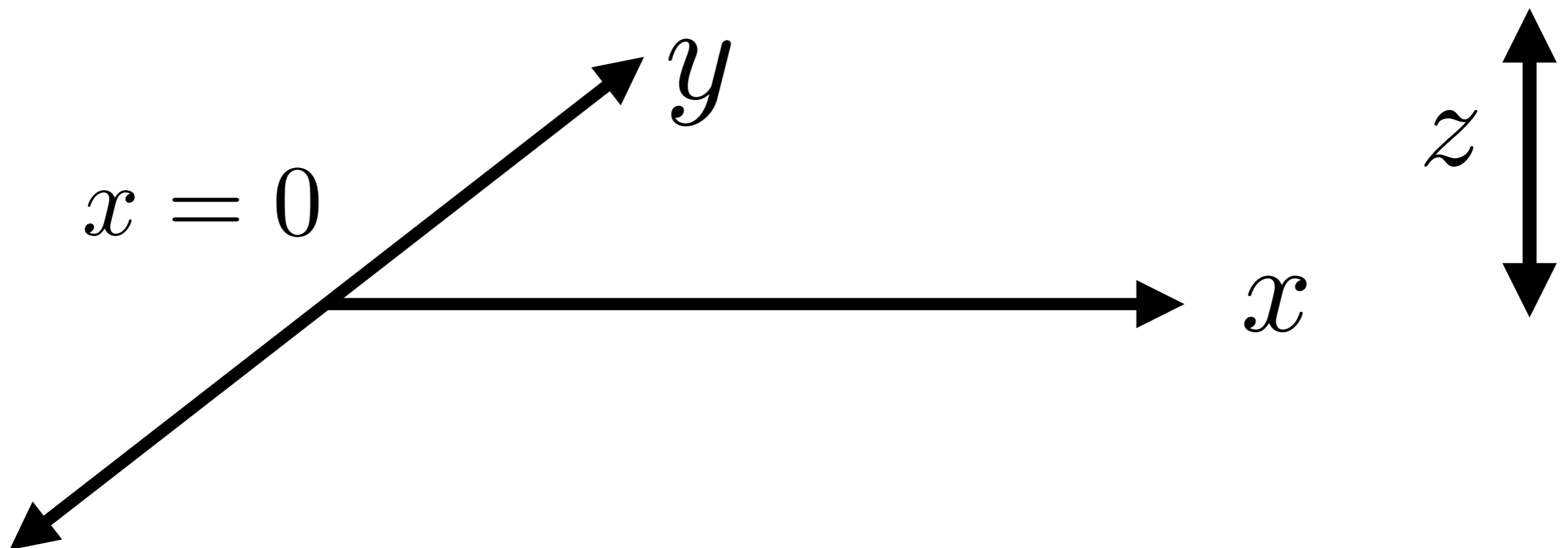


# The Systems

Dilatations

$$x^\mu \rightarrow \lambda x^\mu$$

Unbroken

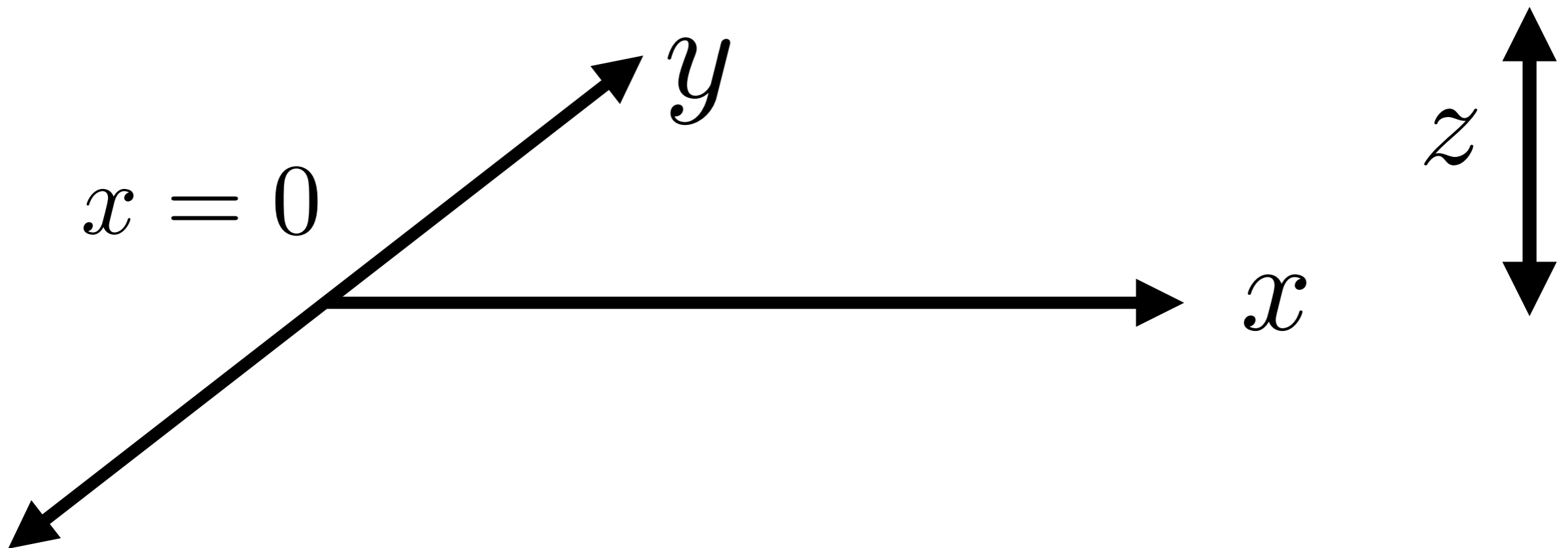


# The Systems

Special Conformal

$$x^\mu \rightarrow \frac{x^\mu + b^\mu x^2}{1 + 2x^\nu b_\nu + b^2 x^2}$$

Broken to  $b^x = 0$

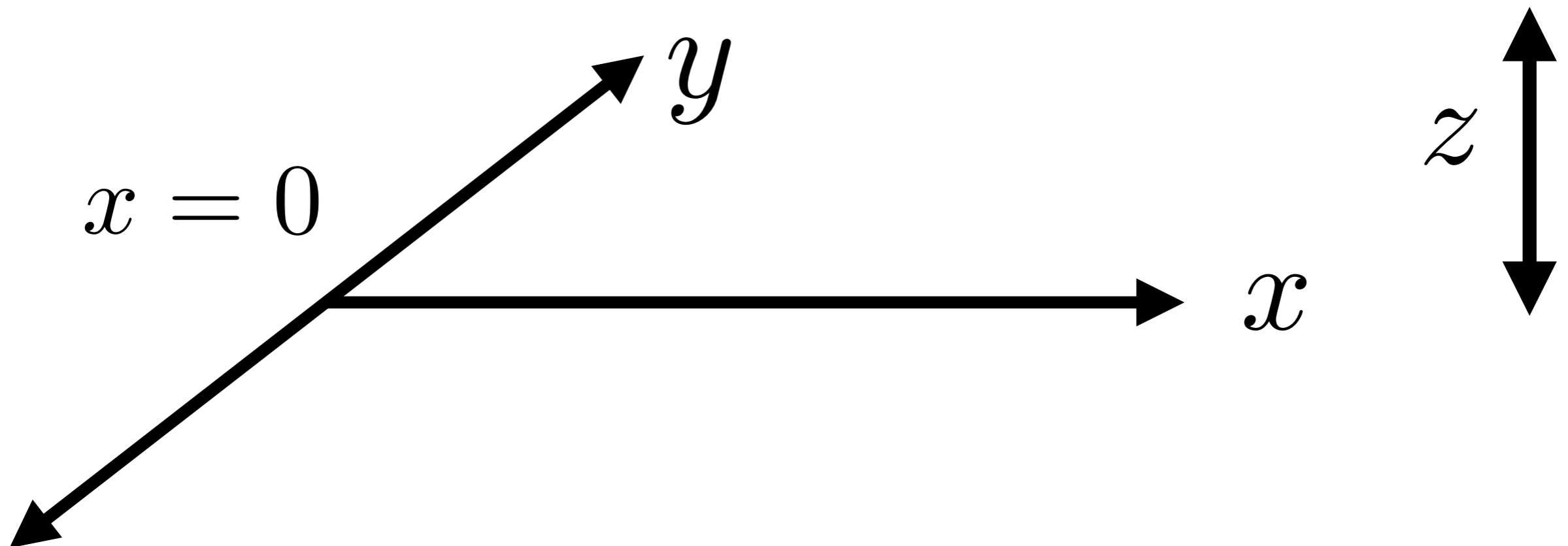


# The Systems

Unbroken symmetry

$$SO(d + 1, 1) \rightarrow SO(d, 1)$$

$$SO(4, 1) \rightarrow SO(3, 1)$$



# The Systems

## Boundary RG Flows

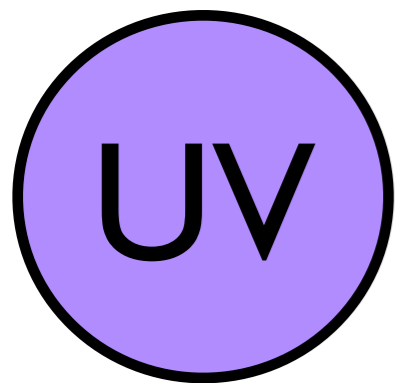
$$S(\lambda) \rightarrow S(\lambda) + \int dx dy dz \delta(x) \lambda_{\mathcal{O}} \mathcal{O}(y, z)$$

$\mathcal{O}$  scalar of  $SO(3, 1)$  with  $\Delta_{\mathcal{O}} < 2$

$$T_{\mu\nu} = [T_{\mu\nu}]_{\text{bulk}} + \delta(x) [T_{\mu\nu}]_{\partial}$$

**Bulk theory remains conformal**

$$[T_{\mu}^{\mu}]_{\text{bulk}} = 0 \quad T_{\mu}^{\mu} = \delta(x) [T_{\mu}^{\mu}]_{\partial} \neq 0$$



**UV BCFT**

Single real, free, massless, scalar  
Neumann B.C.

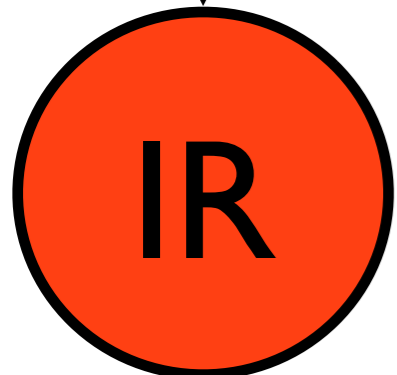
**Boundary RG Flow**

$$S \rightarrow S + \int d^3x \delta(x) m^2 \Phi^2(\vec{x})$$

$$\Delta_{\Phi^2} = 1$$

**IR BCFT**

Single real, free, massless, scalar  
Dirichlet B.C.



# The Systems

## Boundary RG Flows

$$S(\lambda) \rightarrow S(\lambda) + \int dx dy dz \delta(x) \lambda_{\mathcal{O}} \mathcal{O}(y, z)$$

## Weyl Anomaly Matching

Komargodski and Schwimmer | 107.3987    Komargodski | 12.4538

Reflection Positivity

Weak form



# Outline:

- Review: Monotonicity Theorems
- The Systems
- The Weyl Anomaly
- The Proof
- Summary and Outlook

# Weyl Anomaly

CFT in any  $d$

$$g_{\mu\nu} = \delta_{\mu\nu}$$

Conformal invariance

$$T_{\mu}^{\mu} = 0$$

# Weyl Anomaly

CFT in any  $d$

Non-trivial  $g_{\mu\nu}$

Quantum Effects  
Break Conformal Invariance

$$T_{\mu}^{\mu} \neq 0$$

# Weyl Anomaly

What is the general form of  $T_{\mu}^{\mu}$  ?

Step #1

Write down all curvature invariants  
built from  $g_{\mu\nu}$   
with the correct dimension

$$d = 4$$

$$T_{\mu}^{\mu} = c_1 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + c_2 R_{\mu\nu} R^{\mu\nu} + c_3 R^2 + \dots$$

# Weyl Anomaly

What is the general form of  $T_{\mu}^{\mu}$  ?

Step #2

Wess-Zumino consistency

$$g_{\mu\nu} \rightarrow e^{2\Omega_1} e^{2\Omega_2} g_{\mu\nu} = g_{\mu\nu} \rightarrow e^{2\Omega_2} e^{2\Omega_1} g_{\mu\nu}$$

Fixes some coefficients

$$T_{\mu}^{\mu} = c_1 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + c_2 R_{\mu\nu} R^{\mu\nu} + c_3 R^2 + \dots$$

# Weyl Anomaly

What is the general form of  $T_{\mu}^{\mu}$  ?

Step #3

Add local counterterms to  $S(g_{\mu\nu}, \lambda)$

Determine how they enter  $T_{\mu}^{\mu}$

Fixes more coefficients

$$T_{\mu}^{\mu} = c_1 R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + c_2 R_{\mu\nu} R^{\mu\nu} + c_3 R^2 + \dots$$

# Weyl Anomaly

CFT in any  $d$

$$d \text{ odd} \quad T_{\mu}^{\mu} = 0$$

$$d \text{ even} \quad T_{\mu}^{\mu} \neq 0$$

$$d = 2 \quad T_{\mu}^{\mu} = \frac{c}{24\pi} R$$

# Weyl Anomaly

$$d = 4$$

$$T_{\mu}^{\mu} = a E - c W_{\mu\nu\rho\sigma} W^{\mu\nu\rho\sigma}$$

Euler density

$$E = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2$$

Weyl tensor

$$W_{\mu\nu\rho\sigma}$$

“central charges”  $a$  and  $c$



# Weyl Anomaly

$$g_{\mu\nu}(x) \rightarrow e^{2\Omega(x)} g_{\mu\nu}(x)$$

$$\int d^d x \sqrt{g} T_{\mu}^{\mu} \text{ is invariant}$$

$$T_{\mu}^{\mu} = a E - c W_{\mu\nu\rho\sigma} W^{\mu\nu\rho\sigma}$$

Type A

Type B

$$\sqrt{g} E$$

$$\sqrt{g} W^2$$

Changes by a total derivative

Invariant

# Weyl Anomaly

BCFT in  $d = 3$

$$T_{\mu}^{\mu} = [T_{\mu}^{\mu}]_{\text{bulk}} + \delta(x) [T_{\mu}^{\mu}]_{\partial}$$

$$[T_{\mu}^{\mu}]_{\text{bulk}} = 0$$

What is the general form of  $[T_{\mu}^{\mu}]_{\partial}$ ?

# Geometry of Submanifolds

“worldsheet”

$$\sigma^1, \sigma^2$$

“target space”

$$x^\mu$$

Embedding

$$x^\mu(\sigma^a)$$

Induced metric

$$\hat{g}_{ab} = g_{\mu\nu} \frac{\partial x^\mu}{\partial \sigma^a} \frac{\partial x^\nu}{\partial \sigma^b}$$

$$\hat{R}_{abcd}$$

$$\hat{R}_{ab}$$

$$\hat{R}$$

# Geometry of Submanifolds

Extrinsic Curvature  
“Second Fundamental Form”

Gaussian Normal Coordinates

$$K_{ab} = \frac{1}{2} \partial_x \hat{g}_{ab}(x, \sigma)$$

Mean curvature

$$K \equiv \hat{g}^{ab} K_{ab}$$

# Weyl Anomaly

$$[T_{\mu}^{\mu}]_{\partial} = c_1 \hat{R} + c_2 (K_{ab} K^{ab} - \frac{1}{2} K^2)$$

Schwimmer + Theisen 0802.1017

See also:

Berenstein, Corrado, Fischler, Maldacena hep-th/9809188

Graham + Witten hep-th/9901021

Henningson + Skenderis hep-th/9905163

Gustavsson hep-th/0310037, 0404150

Asnin 0801.1469

# Weyl Anomaly

$$[T_{\mu}^{\mu}]_{\partial} = c_1 \hat{R} + c_2 (K_{ab} K^{ab} - \frac{1}{2} K^2)$$

Boundary “central charges”

$c_1$  and  $c_2$

# Weyl Anomaly

$$[T_{\mu}^{\mu}]_{\partial} = c_1 \hat{R} + c_2 \left( K_{ab} K^{ab} - \frac{1}{2} K^2 \right)$$

Type A

Type B

$$g_{\mu\nu} \rightarrow e^{2\Omega} g_{\mu\nu}$$

$$\sqrt{\hat{g}} \hat{R} \rightarrow \sqrt{\hat{g}} \left[ \hat{R} - 2\nabla^2 \Omega \right]$$

$$\sqrt{\hat{g}} \left( K_{ab} K^{ab} - \frac{1}{2} K^2 \right)$$

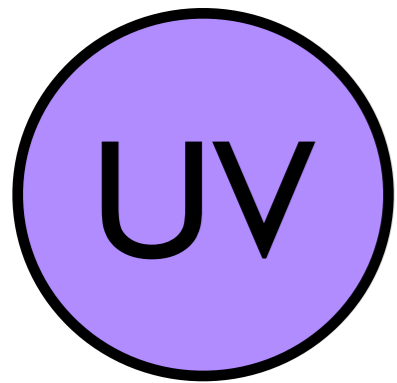
Changes by a total derivative

Invariant

# Outline:

- Review: Monotonicity Theorems
- The Systems
- The Weyl Anomaly
- The Proof
- Summary and Outlook





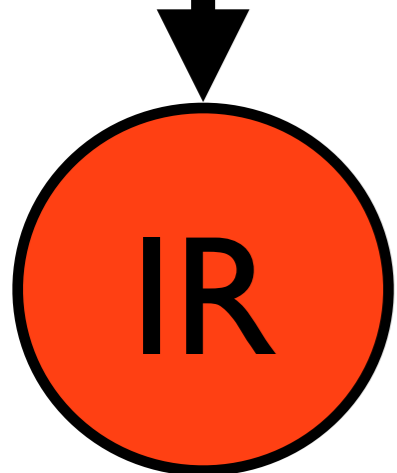
# The Proof

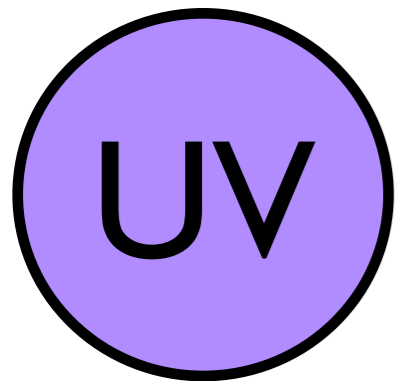
Komargodski and Schwimmer 1107.3987

Komargodski 1112.4538

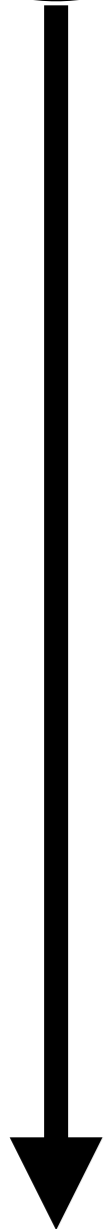
local, unitary QFT in any  $d$

RG flow between fixed point CFTs



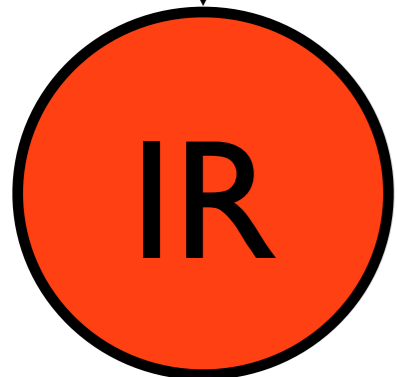


$$[T_{\mu}^{\mu}]^{\text{UV}} = 0$$



$$T_{\mu}^{\mu} \neq 0$$

**RG flow between fixed point CFTs**



$$[T_{\mu}^{\mu}]^{\text{IR}} = 0$$

# Dilaton

Non-dynamical background metric  $g_{\mu\nu}(x)$

Non-dynamical background scalar  $\tau(x)$

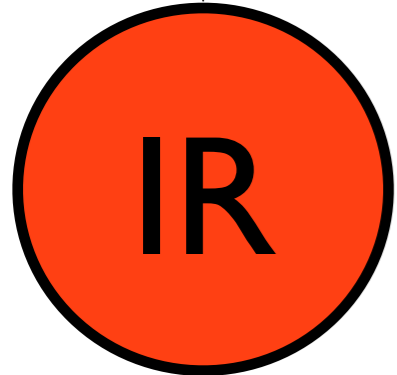
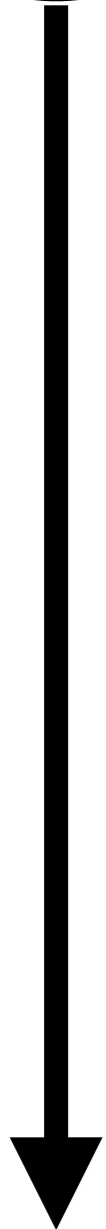
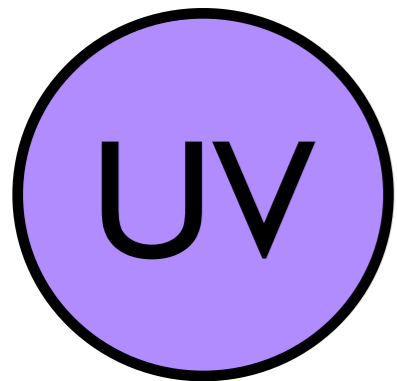
$$g_{\mu\nu} \rightarrow e^{2\Omega} g_{\mu\nu} \quad \tau \rightarrow \tau + \Omega$$

# Dilaton

Non-dynamical background metric  $g_{\mu\nu}(x)$

Non-dynamical background scalar  $\tau(x)$

$$\lambda_{\mathcal{O}} \mathcal{O}(x) \rightarrow e^{(\Delta_{\mathcal{O}} - d)\tau(x)} \lambda_{\mathcal{O}} \mathcal{O}(x)$$



$$[T_{\mu}^{\mu}]^{\text{UV}} = 0$$

$$g_{\mu\nu} = \delta_{\mu\nu}$$

$$\tau \neq 0$$

$$T_{\mu}^{\mu} = [T_{\mu}^{\mu}]_{\tau=0} + [T_{\mu}^{\mu}]_{\tau} = 0$$

$$[T_{\mu}^{\mu}]^{\text{IR}} = 0$$

# Dilaton

Non-dynamical background metric  $g_{\mu\nu}(x)$

Non-dynamical background scalar  $\tau(x)$

$$S(\lambda)_\tau = \int d^d x \sqrt{g} L(\lambda, \vec{x})_\tau$$

$$= \int d^d x \sqrt{g} \left[ L(\lambda, \vec{x})_{\tau=0} + \tau [T_\mu^\mu]_{\tau=0} + \mathcal{O}(\tau^2) \right]$$

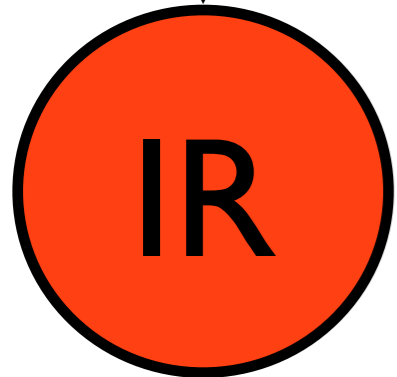
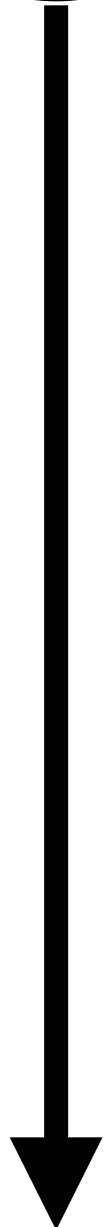
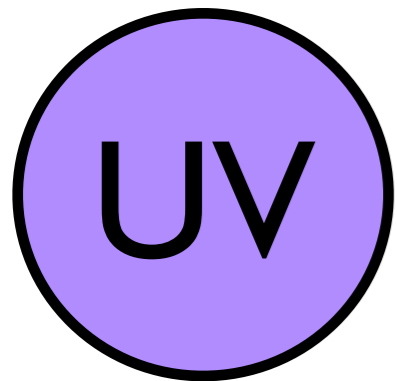
# Dilaton

Non-dynamical background metric  $g_{\mu\nu}(x)$

Non-dynamical background scalar  $\tau(x)$

## Weyl Anomaly Matching

$d$  even



$$[T_{\mu}^{\mu}]^{\text{UV}} \neq 0$$

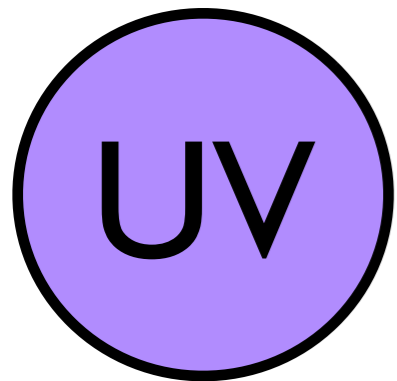
$$g_{\mu\nu} \neq \delta_{\mu\nu}$$

$$\tau = 0$$

$$[T_{\mu}^{\mu}]^{\text{UV}} \neq [T_{\mu}^{\mu}]^{\text{IR}}$$

$$[T_{\mu}^{\mu}]^{\text{IR}} \neq 0$$



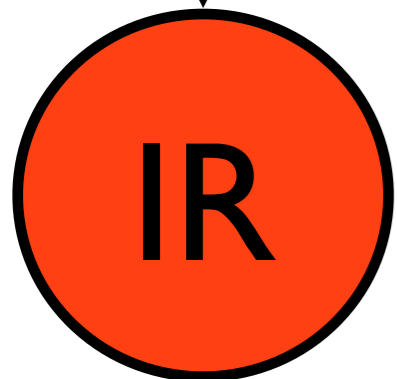


$$[T_{\mu}^{\mu}]^{\text{UV}} \neq 0$$

$$g_{\mu\nu} \neq \delta_{\mu\nu}$$

$$\tau \neq 0$$

## Weyl Anomaly Matching



$$[T_{\mu}^{\mu}]^{\text{UV}} = [T_{\mu}^{\mu}]_{\tau=0}^{\text{IR}} + [T_{\mu}^{\mu}]_{\tau}$$

# Dilaton

Integrate out massive DOF

Obtain low-energy effective action

$$S_{\text{eff}} \equiv -\ln Z$$

Regular and local in  $\mathcal{T}$

# Dilaton

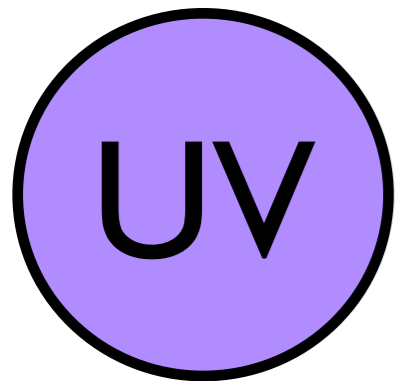
$$S_{\text{eff}} \equiv -\ln Z$$

$$g_{\mu\nu} \rightarrow e^{2\Omega} g_{\mu\nu} \quad \tau \rightarrow \tau + \Omega$$

$$\frac{\delta S_{\text{eff}}}{\delta \Omega} = -\frac{\delta}{\delta \Omega} \ln Z = -\sqrt{g} T_{\mu}^{\mu}$$

$\tau$ 's contribution to  $S_{\text{eff}}$  must produce

$$[T_{\mu}^{\mu}]^{\tau} = [T_{\mu}^{\mu}]^{\text{UV}} - [T_{\mu}^{\mu}]^{\text{IR}}$$



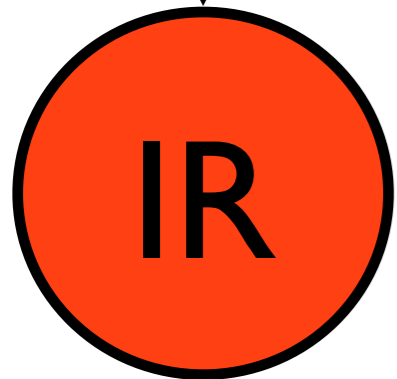
$$[T_{\mu}^{\mu}]_{\partial}^{\text{UV}} = c_1^{\text{UV}} \hat{R} + c_2^{\text{UV}} (K_{ab} K^{ab} - \frac{1}{2} K^2)$$

$$T_{\mu}^{\mu} = [T_{\mu}^{\mu}]_{\text{bulk}} + \delta(x) [T_{\mu}^{\mu}]_{\partial}$$

**Boundary RG Flow**

$$S(\lambda) \rightarrow S(\lambda) + \int dx dy dz \delta(x) \lambda_{\mathcal{O}} \mathcal{O}(y, z)$$

$$[T_{\mu}^{\mu}]_{\text{bulk}} = 0$$



$$[T_{\mu}^{\mu}]_{\partial}^{\text{IR}} = c_1^{\text{IR}} \hat{R} + c_2^{\text{IR}} (K_{ab} K^{ab} - \frac{1}{2} K^2)$$

# Dilaton

$$S_{\text{eff}}^\tau = -\int d^3x \delta(x) \sqrt{g} \tau \left[ (c_1^{\text{UV}} - c_1^{\text{IR}}) \hat{R} + (c_2^{\text{UV}} - c_2^{\text{IR}}) (K_{ab} K^{ab} - \frac{1}{2} K^2) \right] + \mathcal{O}(\tau^2)$$

$$g_{\mu\nu} \rightarrow e^{2\Omega} g_{\mu\nu} \quad \tau \rightarrow \tau + \Omega$$

$$\sqrt{\hat{g}} \hat{R} \rightarrow \sqrt{\hat{g}} \left[ \hat{R} - 2\nabla^2 \Omega \right]$$

$$\frac{\delta S_{\text{eff}}^\tau}{\delta \Omega} = - \left( \sqrt{g} [T_\mu{}^\mu]^{\text{UV}} - \sqrt{g} [T_\mu{}^\mu]^{\text{IR}} \right) + \sqrt{g} (c_1^{\text{UV}} - c_1^{\text{IR}}) 2\nabla^2 \tau$$

# Dilaton

$$S_{\text{eff}}^{\tau} = - \int d^3x \delta(x) \sqrt{g} \tau \left[ (c_1^{\text{UV}} - c_1^{\text{IR}}) \hat{R} + (c_2^{\text{UV}} - c_2^{\text{IR}}) (K_{ab} K^{ab} - \frac{1}{2} K^2) \right]$$
$$- \int d^3x \delta(x) \sqrt{g} (c_1^{\text{UV}} - c_1^{\text{IR}}) \tau \nabla^2 \tau + \dots$$

$$\frac{\delta S_{\text{eff}}^{\tau}}{\delta \Omega} = - \left( \sqrt{g} [T_{\mu}^{\mu}]^{\text{UV}} - \sqrt{g} [T_{\mu}^{\mu}]^{\text{IR}} \right)$$

# Dilaton

$$S_{\text{eff}}^{\tau} = - \int d^3x \delta(x) \sqrt{g} \tau \left[ (c_1^{\text{UV}} - c_1^{\text{IR}}) \hat{R} + (c_2^{\text{UV}} - c_2^{\text{IR}}) (K_{ab} K^{ab} - \frac{1}{2} K^2) \right]$$
$$- \int d^3x \delta(x) \sqrt{g} (c_1^{\text{UV}} - c_1^{\text{IR}}) \tau \nabla^2 \tau + \dots$$



Survives the flat-space limit

$$g_{\mu\nu} \rightarrow \delta_{\mu\nu}$$

$$\frac{\delta S_{\text{eff}}^{\tau}}{\delta \Omega} = - \left( \sqrt{g} [T_{\mu}^{\mu}]^{\text{UV}} - \sqrt{g} [T_{\mu}^{\mu}]^{\text{IR}} \right)$$

# Dilaton

Another form for the two-derivative term

$$g_{\mu\nu} = \delta_{\mu\nu}$$

$$\ln Z = \int [\text{fields}] e^{-S(\lambda)_\tau} = \langle e^{-\int d^3x \tau(x) T_\mu{}^\mu(x) + \dots} \rangle_{\tau=0}$$



# Dilaton

Another form for the two-derivative term

$$g_{\mu\nu} = \delta_{\mu\nu}$$

$$\begin{aligned} \langle e^{-\int d^3x \tau(x) T_{\mu}^{\mu}(x) + \dots} \rangle &= 1 - \int d^3x \tau(x) \langle T_{\mu}^{\mu}(x) \rangle \\ &+ \frac{1}{2} \int d^3x d^3y \tau(x) \tau(y) \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle + \dots \end{aligned}$$



Taylor expand about  $x$

# Dilaton

Another form for the two-derivative term

$$\frac{1}{2} \int d^3x d^3y \tau(x) \tau(y) \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle$$

$$\supset \frac{1}{4} \int d^3x \tau(x) \partial_{\rho} \partial_{\sigma} \tau(x) \left[ \int d^3y (y-x)^{\rho} (y-x)^{\sigma} \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle \right]$$

$$T_{\mu}^{\mu} = [T_{\mu}^{\mu}]_{\text{bulk}} + \delta(x) [T_{\mu}^{\mu}]_{\partial}$$

$$[T_{\mu}^{\mu}]_{\text{bulk}} = 0$$

# Dilaton

Another form for the two-derivative term

$$\frac{1}{2} \int d^3x d^3y \tau(x) \tau(y) \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle$$

$$\supset \frac{1}{4} \int d^3x \tau(x) \partial_{\rho} \partial_{\sigma} \tau(x) \left[ \int d^3y (y-x)^{\rho} (y-x)^{\sigma} \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle \right]$$

$$\langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle = \delta(x) \delta(y) \langle [T_{\mu}^{\mu}(x)]_{\partial} [T_{\mu}^{\mu}(y)]_{\partial} \rangle$$

# Dilaton

Another form for the two-derivative term

$$\frac{1}{2} \int d^3x d^3y \tau(x) \tau(y) \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle$$

$$\supset \frac{1}{4} \int d^3x \tau(x) \partial_{\rho} \partial_{\sigma} \tau(x) \left[ \int d^3y (y-x)^{\rho} (y-x)^{\sigma} \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle \right]$$

## Reflection Positivity

$$\langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle = \delta(x) \delta(y) \langle [T_{\mu}^{\mu}(x)]_{\partial} [T_{\mu}^{\mu}(y)]_{\partial} \rangle \geq 0$$

# Dilaton

Another form for the two-derivative term

$$\frac{1}{2} \int d^3x d^3y \tau(x) \tau(y) \langle T_\mu^\mu(x) T_\mu^\mu(y) \rangle$$

$$\supset \frac{1}{4} \int d^3x \tau(x) \partial_\rho \partial_\sigma \tau(x) \left[ \int d^3y (y-x)^\rho (y-x)^\sigma \langle T_\mu^\mu(x) T_\mu^\mu(y) \rangle \right]$$

Translation invariance along the boundary

$$\begin{aligned} & \delta(x) \int d^3y \delta(y) (y-x)^\rho (y-x)^\sigma \langle [T_\mu^\mu(x)]_\partial [T_\mu^\mu(y)]_\partial \rangle \\ &= \delta(x) \frac{1}{2} \delta^{\rho\sigma} \int d^3y \delta(y) y^2 \langle [T_\mu^\mu(0)]_\partial [T_\mu^\mu(y)]_\partial \rangle \end{aligned}$$

# Dilaton

Another form for the two-derivative term

$$\frac{1}{2} \int d^3x d^3y \tau(x) \tau(y) \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle$$

$$\supset \frac{1}{4} \int d^3x \tau(x) \partial_{\rho} \partial_{\sigma} \tau(x) \left[ \int d^3y (y-x)^{\rho} (y-x)^{\sigma} \langle T_{\mu}^{\mu}(x) T_{\mu}^{\mu}(y) \rangle \right]$$

## Reflection Positivity

$$\begin{aligned} & \delta(x) \int d^3y \delta(y) (y-x)^{\rho} (y-x)^{\sigma} \langle [T_{\mu}^{\mu}(x)]_{\partial} [T_{\mu}^{\mu}(y)]_{\partial} \rangle \\ &= \delta(x) \frac{1}{2} \delta^{\rho\sigma} \int d^3y \delta(y) y^2 \langle [T_{\mu}^{\mu}(0)]_{\partial} [T_{\mu}^{\mu}(y)]_{\partial} \rangle \geq 0 \end{aligned}$$

# Dilaton

$$\int d^3x \delta(x) \tau \nabla^2 \tau (c_1^{\text{UV}} - c_1^{\text{IR}})$$
$$= \int d^3x \delta(x) \tau \nabla^2 \tau \left[ \frac{1}{8} \int d^3y \delta(y) y^2 \langle [T_\mu{}^\mu(0)]_\partial [T_\mu{}^\mu(y)]_\partial \rangle \right]$$

$$c_1^{\text{UV}} - c_1^{\text{IR}} = \left[ \frac{1}{8} \int d^3y \delta(y) y^2 \langle [T_\mu{}^\mu(0)]_\partial [T_\mu{}^\mu(y)]_\partial \rangle \right] \geq 0$$

$$c_1^{\text{UV}} \geq c_1^{\text{IR}}$$

$$c_1^{\text{UV}} \geq c_1^{\text{IR}}$$

Does  $c_1$  count DOF?

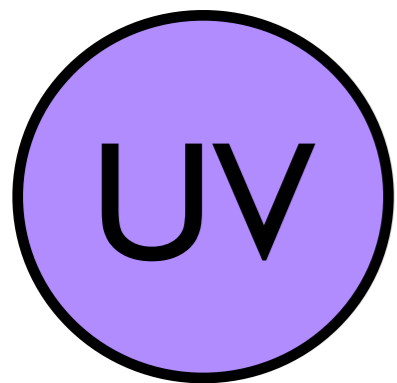
Add a single, real, free scalar field or Dirac fermion  
at the boundary

$$24\pi c_1 \rightarrow 24\pi c_1 + 1$$

$c_2$  unchanged

Both depend on boundary conditions of bulk fields





**UV BCFT**

Single real, free, massless, scalar  
Neumann B.C.

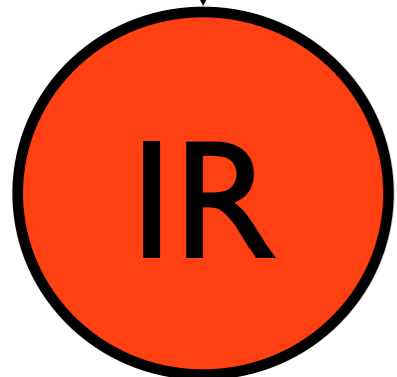
**Boundary RG Flow**

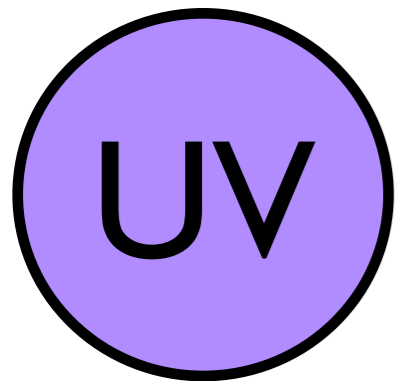
$$S \rightarrow S + \int d^3x \delta(x) m^2 \Phi^2(\vec{x})$$

$$\Delta_{\Phi^2} = 1$$

**IR BCFT**

Single real, free, massless, scalar  
Dirichlet B.C.

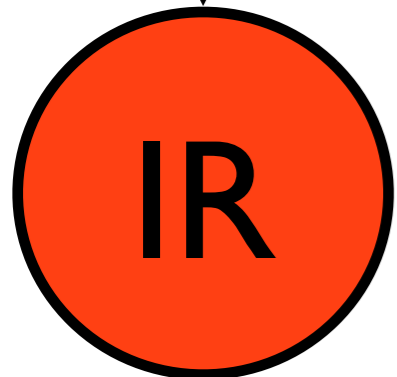




$$c_1^{UV} = \frac{1}{24\pi} \frac{7}{16}$$

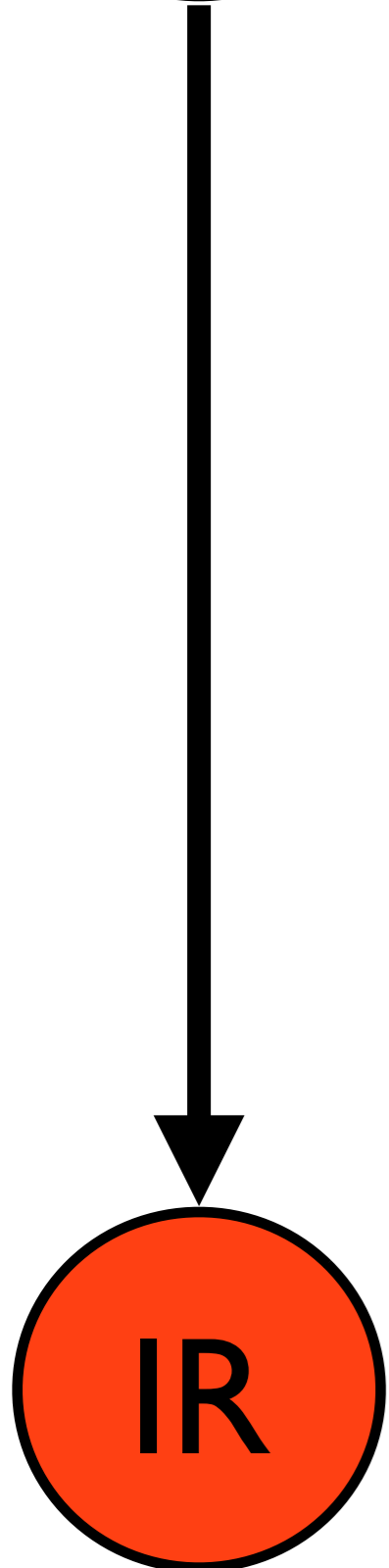
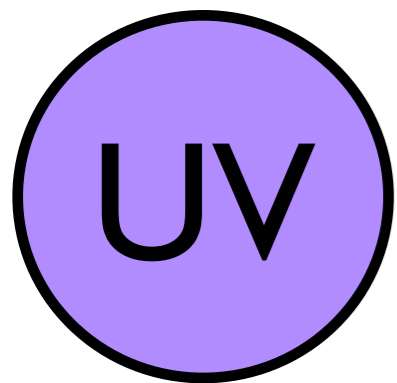
Neumann B.C.

Nozaki, Takayanagi, Ugajin  
1205.1573



$$c_1^{IR} = -\frac{1}{24\pi} \frac{1}{16}$$

Dirichlet B.C.



$$c_1^{UV} = \frac{1}{24\pi} \frac{7}{16}$$

Neumann B.C.

$$c_1^{UV} \geq c_1^{IR}$$

Is  $c_1$  bounded below?

$$c_1^{IR} = \frac{1}{24\pi} \frac{1}{16}$$

Dirichlet B.C.

# Defects

Local, unitary CFT in any  $d \geq 3$

With a two-dimensional planar defect

Conformal defect

$$SO(d+1, 1) \rightarrow SO(3, 1) \times SO(d-2)$$

conformal transformations  
preserving the defect

rotations about  
the defect

“Defect CFT” (DCFT)

# Defects

$$[T_{\mu}^{\mu}]_{\text{defect}} = c_1 \hat{R} + c_2 (K_{ab}^{\mu} K_{\mu}^{ab} - \frac{1}{2} K^{\mu} K_{\mu}) + c_3 \hat{g}^{ac} \hat{g}^{bd} W_{abcd}$$

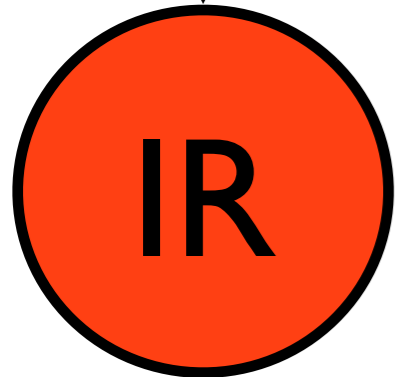
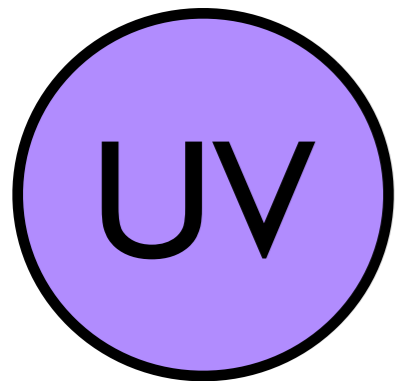
Boundary “central charges”

$c_1$

$c_2$

$c_3$

$\hat{g}^{ac} \hat{g}^{bd} W_{abcd}$  is B-type



UV DCFT

Defect RG Flow

$$c_1^{\text{UV}} \geq c_1^{\text{IR}}$$

IR DCFT

# Outline:

- Review: Monotonicity Theorems
- The Systems We Study
- The Trace Anomaly
- The Proof
- Summary and Outlook

# Summary

Local, unitary BCFT in  $d = 3$

Local, unitary DCFT in  $d \geq 3$   
with two-dimensional defect

$$[T_{\mu}^{\mu}]_{\text{defect}} = c_1 \hat{R} + c_2 (K_{ab}^{\mu} K_{\mu}^{ab} - \frac{1}{2} K^{\mu} K_{\mu}) + c_3 \hat{g}^{ac} \hat{g}^{bd} W_{abcd}$$

Boundary or Defect RG Flows

$$c_1^{\text{UV}} \geq c_1^{\text{IR}}$$



# Summary

$$c_1^{\text{UV}} \geq c_1^{\text{IR}}$$

Higher-dimensional g-theorem

Generalization of the weak form  
of Zamolodchikov's c-theorem  
to include coupling to higher-dimensional CFT

Proof used only existing ingredients!

# Outlook

## Immediate questions

Can we define a  $c_1$ -function?

Is  $c_1$  bounded below?

Other methods of proof?

What about EE? Or holography?

# Examples

Graphene with a boundary

Critical Ising model in  $d = 3$  with a boundary

M-theory: M2-branes with a boundary

String theory: various brane intersections

Holographic BCFTs

# Outlook

Prove more boundary/defect monotonicity theorems

Yamaguchi

hep-th/0207171

Estes, Jensen, O'B., Tsatis, Wrase

1403.6475

Gaiotto

1403.8052

Find a “universal” proof of monotonicity theorems?

Myers and Sinha

Giombi and Klebanov

1006.1263, 1011.5819

1409.1937

Do monotonicity theorems always survive coupling to a higher-dimensional CFT?

**Thank You.**