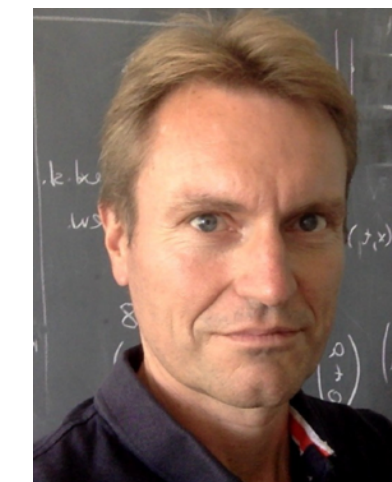
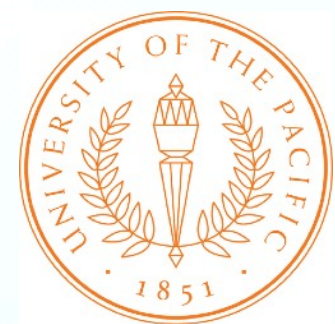


Lattice simulations with machine-learned classically-perfect fixed-point actions



Kieran Holland (University of Pacific), Andreas Ipp and David I. Müller (TU Wien), Urs Wenger (University of Bern)

e-Print: [2401.06481 \[hep-lat\]](https://arxiv.org/abs/2401.06481)

July 26 2024, ML meets LFT, Swansea University, UK

Outline

- The continuum limit in lattice gauge theory
- Renormalization group transformation and fixed-point (FP) actions
- Learning a new FP action **2401.06481**
- Hybrid Monte-Carlo and FP gradient flow
- Preliminary results for flow observables **New!**

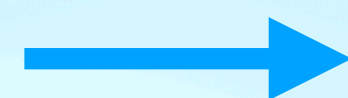
Lattice gauge theory and the continuum limit

Lattice gauge theory

Yang-Mills action

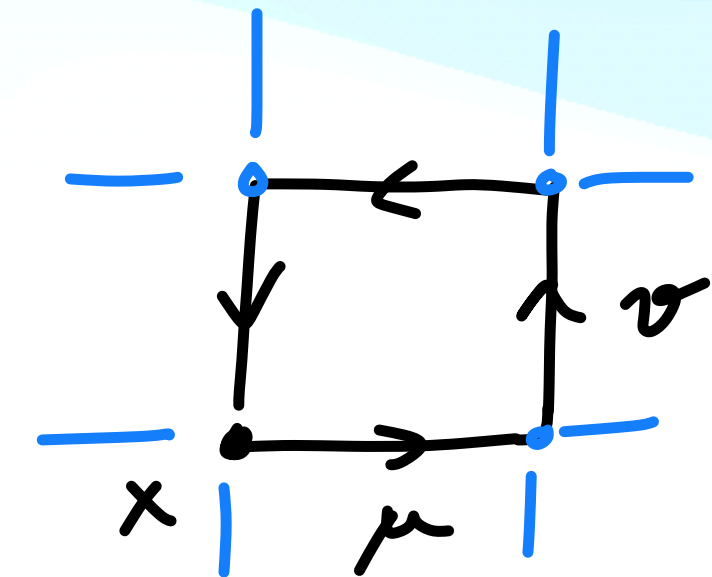
$$S[A_\mu] = \frac{1}{4g^2} \int d^4x F_{\mu\nu}^a(x) F_{\mu\nu}^a(x)$$

discretization



Wilson action

$$S[U] = \frac{\beta}{N_c} \sum_{x, \mu < \nu} \text{ReTr}(1 - U_{x, \mu\nu}) = \beta \mathcal{A}[U]$$



Euclidean lattice path integral for observables \mathcal{O}

$$Z(\beta) = \int \left[\prod_{x, \mu} \mathcal{D}U_{x, \mu} \right] \exp[-\beta \mathcal{A}[U]]$$

$$\langle \mathcal{O} \rangle_\beta = \frac{1}{Z(\beta)} \int \mathcal{D}U \mathcal{O}[U] \exp[-\beta \mathcal{A}[U]]$$

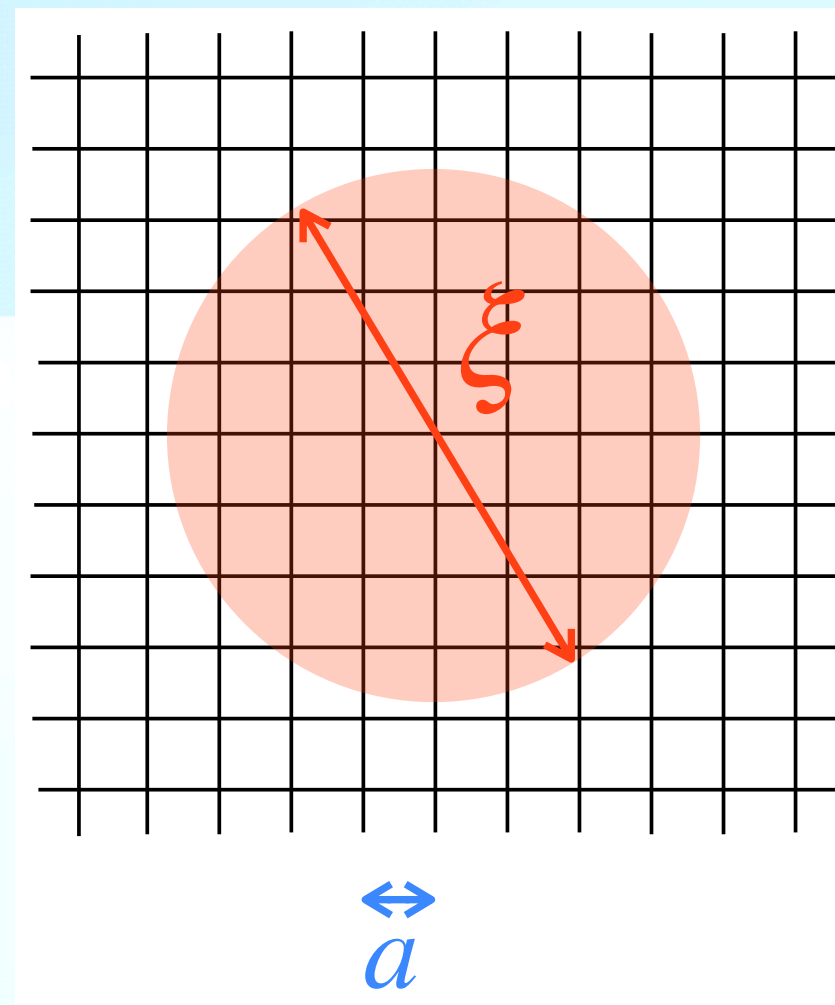
Tractable with Monte Carlo simulations

Renormalization: lattice spacing a is determined by coupling β (“scale setting”)

The continuum limit

Extrapolate lattice spacing $a \rightarrow 0$

1. Infinite volume $L \rightarrow \infty$ (thermodynamic limit)
2. Vanishing gauge coupling $g \rightarrow 0$ ($\beta \rightarrow \infty$)

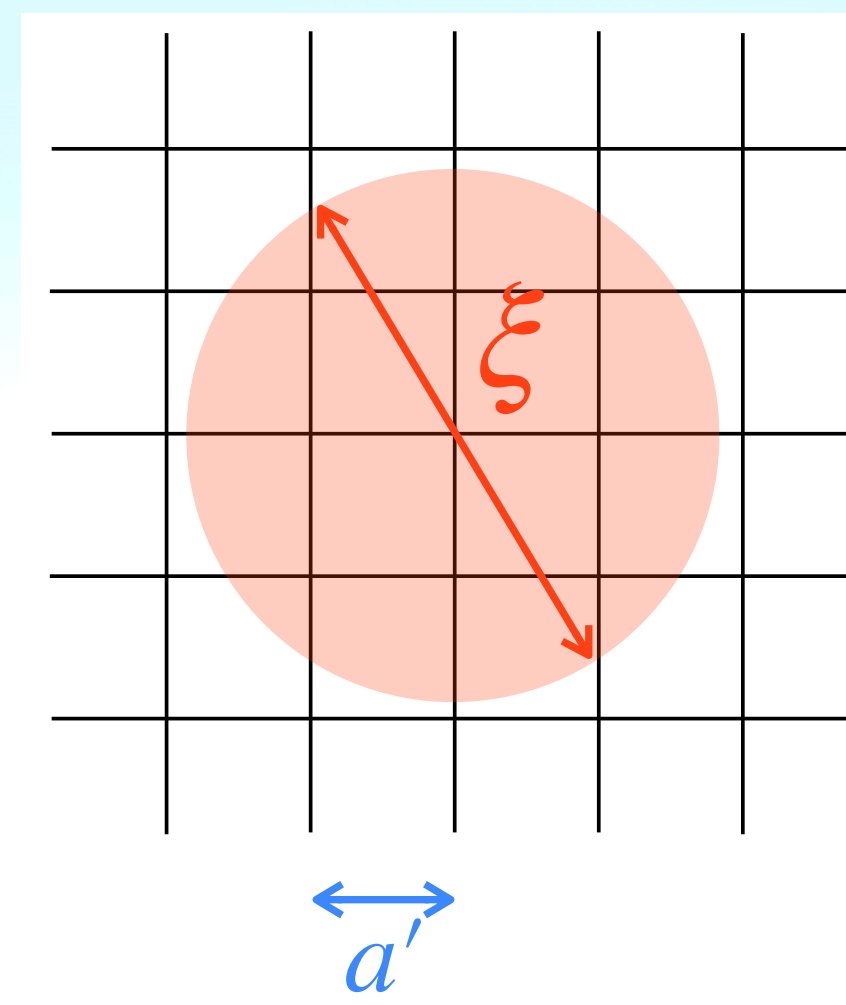


g

β

\ll

\gg



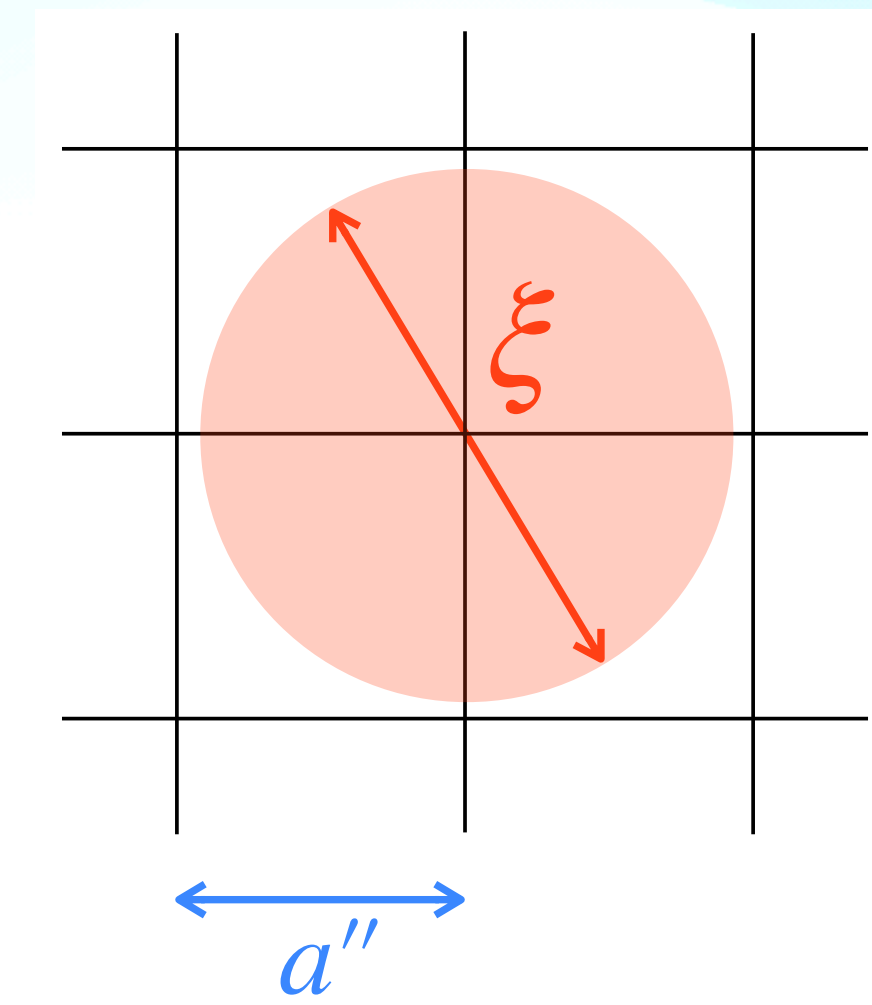
a'

g'

β'

\ll

\gg



a''

g''


β''

The continuum limit

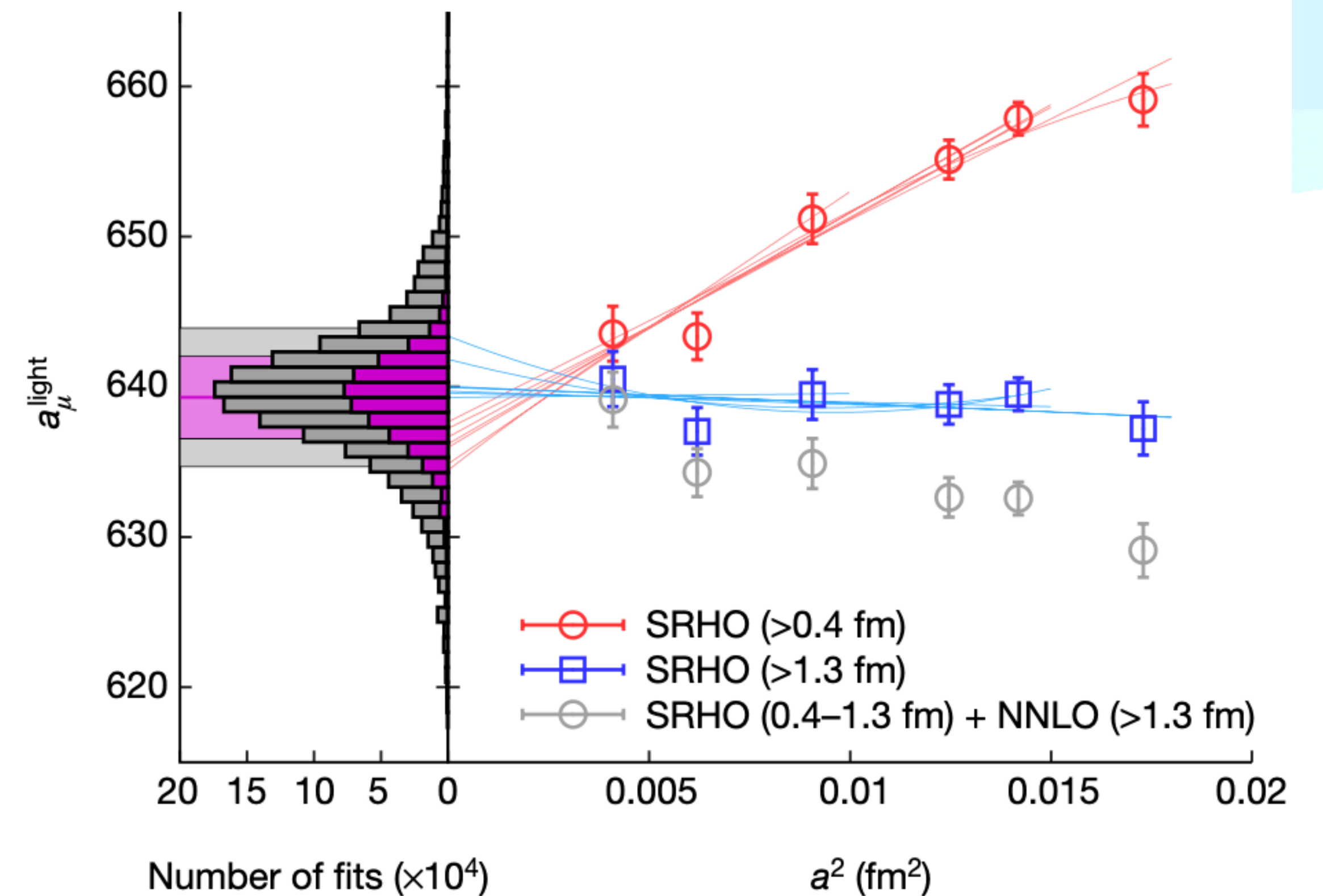
Extrapolate lattice spacing $a \rightarrow 0$

Article | Published: 07 April 2021

Leading hadronic contribution to the muon magnetic moment from lattice QCD

[Sz. Borsanyi](#), [Z. Fodor](#) , [J. N. Guenther](#), [C. Hoelbling](#), [S. D. Katz](#), [L. Lellouch](#), [T. Lippert](#), [K. Miura](#), [L. Parato](#), [K. K. Szabo](#), [F. Stokes](#), [B. C. Toth](#), [Cs. Torok](#) & [L. Varnhorst](#)

[Nature](#) **593**, 51–55 (2021) | [Cite this article](#)



The continuum limit

Critical slowing down

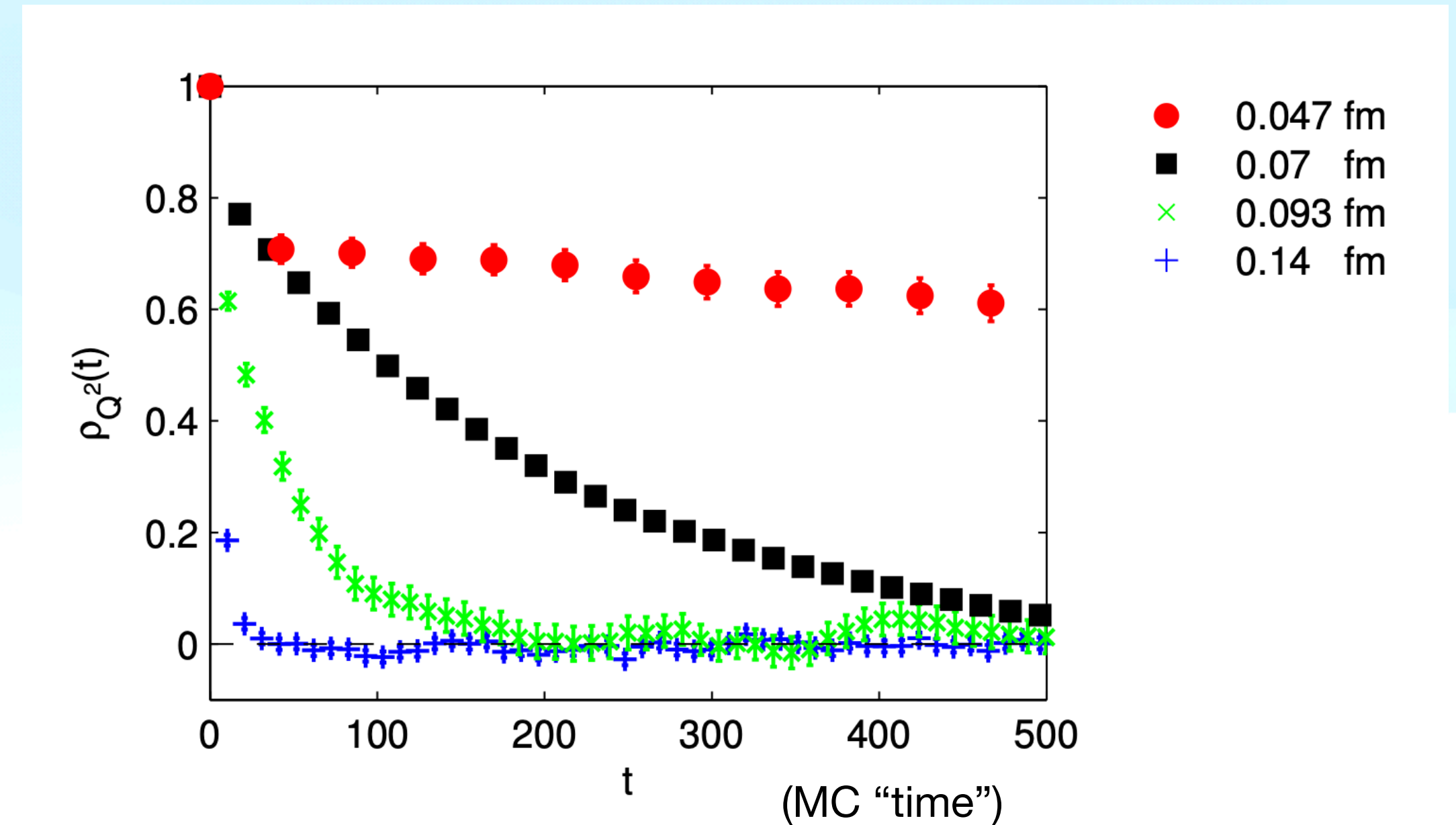
Autocorrelation time (ACT) grows with small lattice spacing a

Critical slowing down and error analysis in lattice QCD simulations

DESY 10-151
SFB/PPP-10-81
HU-EP-10/55

ALPHA
Collaboration

Stefan Schaefer^a, Rainer Sommer^b, Francesco Virotta^b



ACF for topological charge Q^2

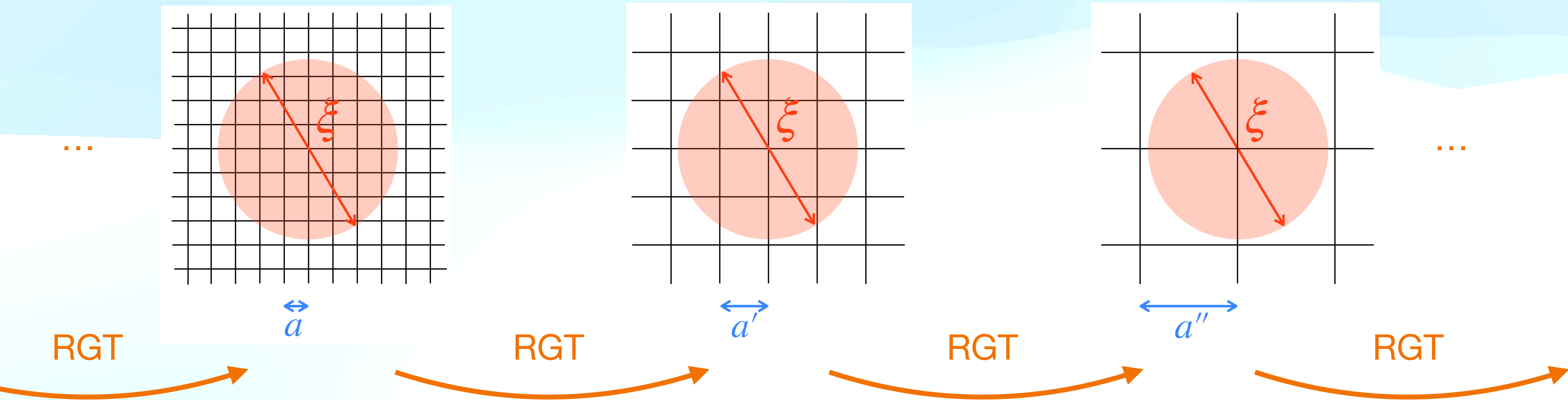
Accurate simulations on coarse lattices?

Renormalization group transformation and fixed-point (FP) actions

Renormalization group transformation

Introduce (coordinate space) renormalization group transformation (RGT):

← continuum limit (2nd order phase transition $\xi/a \rightarrow \infty$)



⇒ provides solution for **avoiding critical slowing down** and **lattice artefacts**

Renormalization group transformation

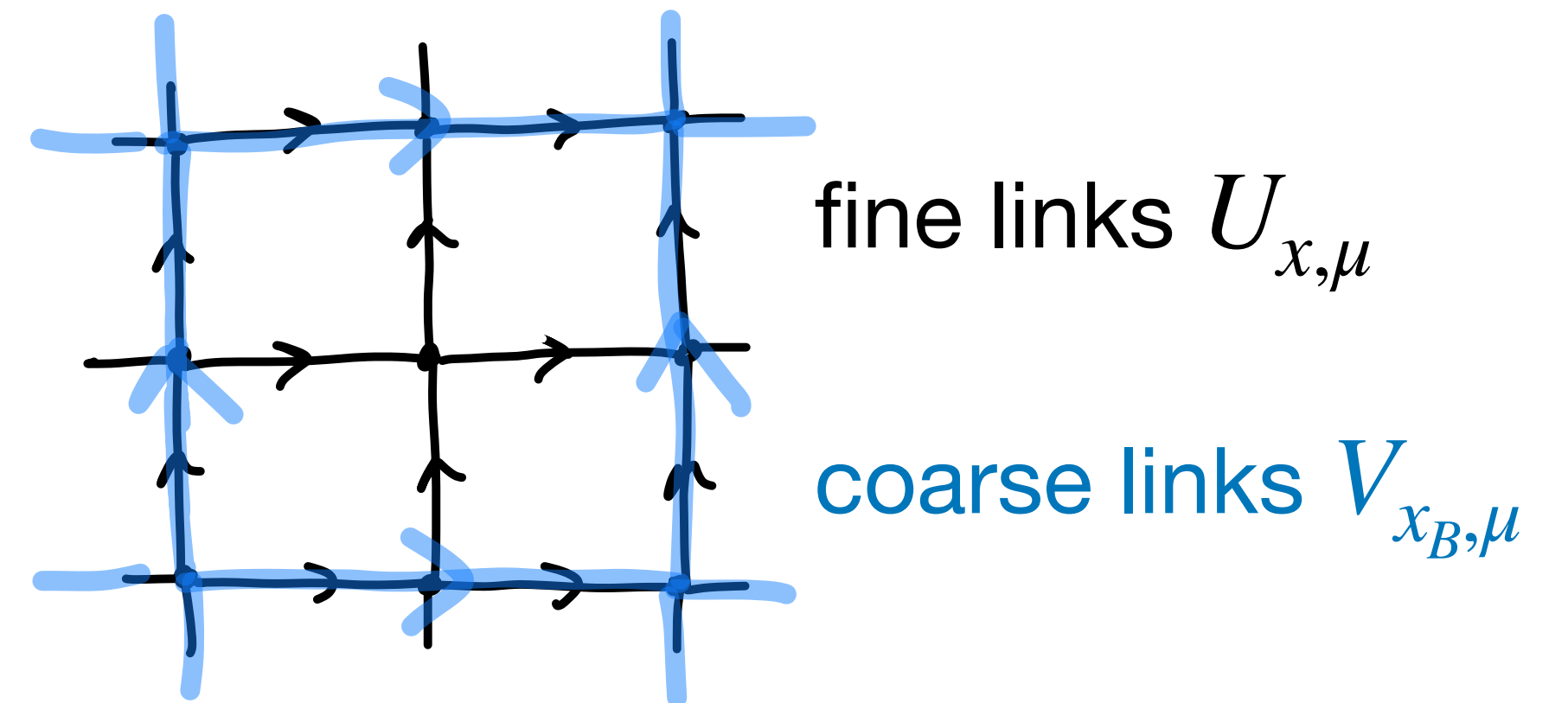
Introduce (coordinate space) renormalization group transformation (RGT):

$$\exp \left\{ -\beta' \mathcal{A}'[V] \right\} = \int \mathcal{D}U \exp \left\{ -\beta \left(\mathcal{A}[U] + \mathcal{T}[U, V] \right) \right\}$$

where $\mathcal{T}[U, V]$ is a blocking kernel relating the fine links U to the coarse links V

$$\mathcal{T}[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \text{ReTr} \left(V_{x_B, \mu} Q_{x_B, \mu}^\dagger \right) - \mathcal{N}_\mu^\beta \right\}$$

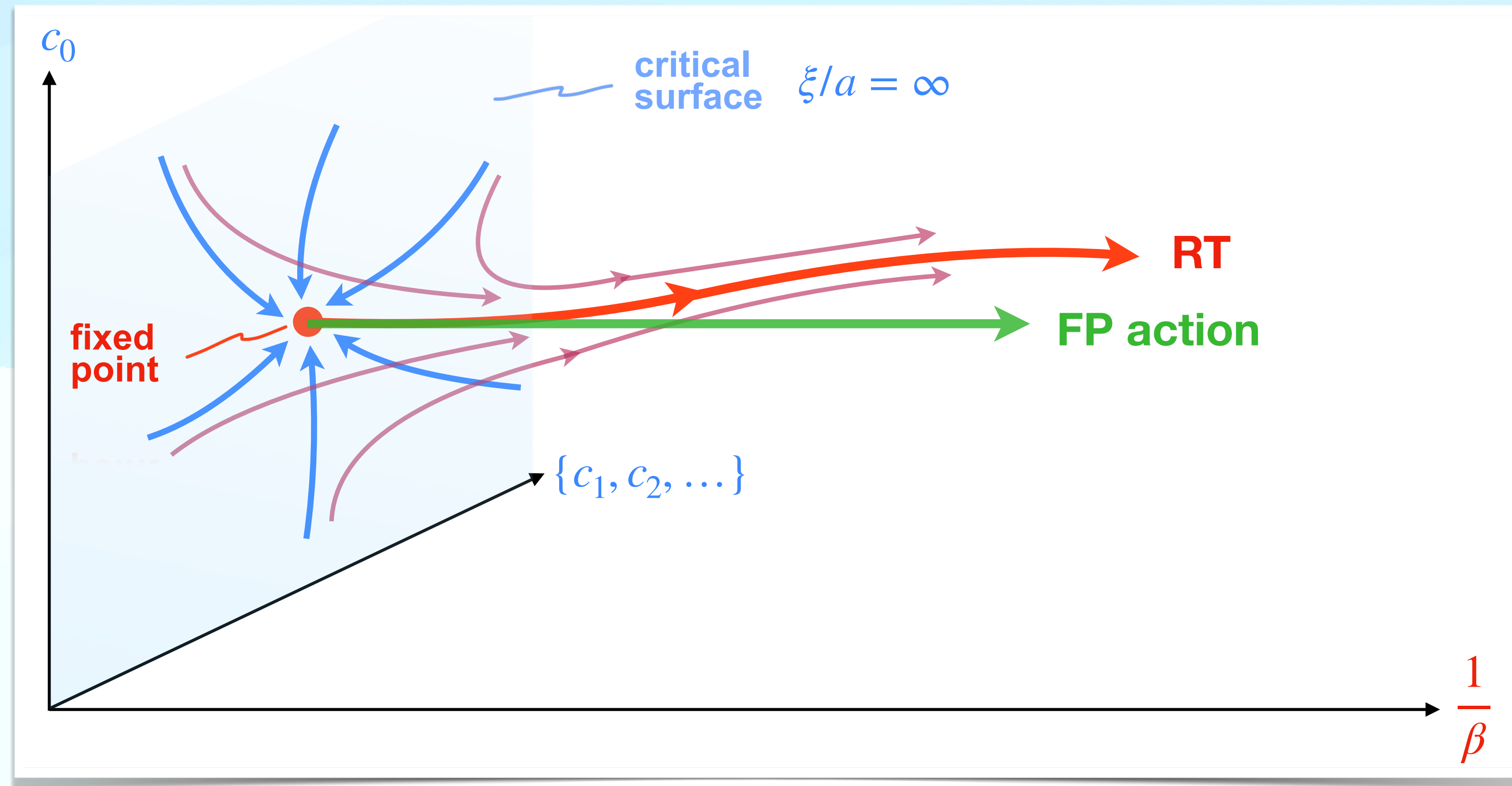
blocked links $Q_{x_B, \mu}$



(\mathcal{N}_μ^β is a normalization factor guaranteeing $Z(\beta') = Z(\beta)$, i.e., unchanged long-distance physics)

Renormalization group transformation

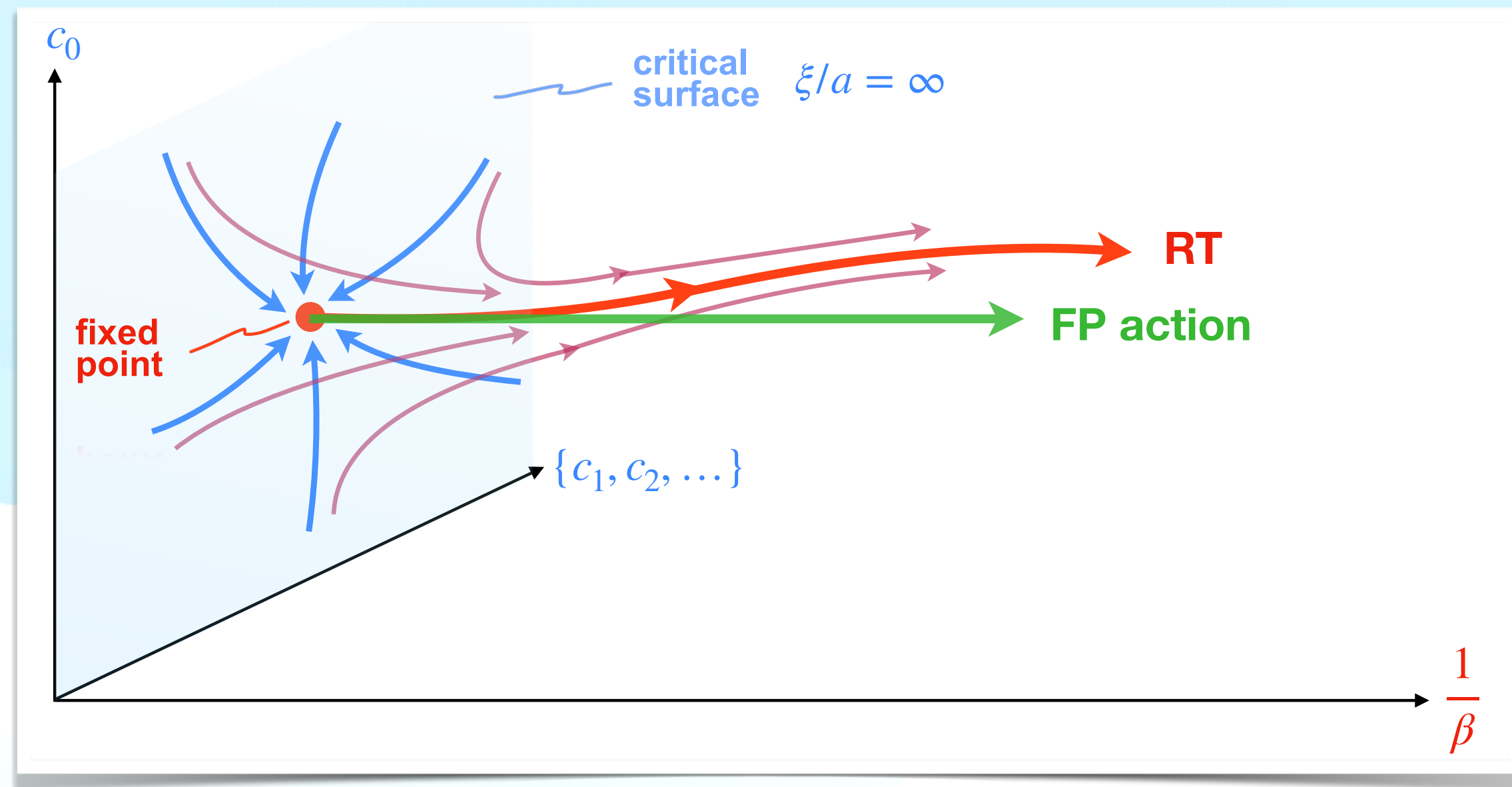
The effective action $\beta' \mathcal{A}'[V]$ is described by infinitely many couplings $\{c_\alpha\}$:



\Rightarrow fixed point (FP) of RGT iterations (when $\xi/a \rightarrow \infty$): $\{c_\alpha^*\}$ $\xrightarrow{\text{RGT}}$ $\{c_\alpha^*\}$

Renormalization group transformation

The effective action $\beta' \mathcal{A}'[V]$ is described by infinitely many couplings $\{c_\alpha\}$:



$$\exp \left\{ -\beta' \mathcal{A}'[V] \right\} = \int \mathcal{D}U \exp \left\{ -\beta \left(\mathcal{A}[U] + \mathcal{T}[U, V] \right) \right\}$$

Two practical problems:

- how to parametrize **RT**, i.e., which set $\{c_\alpha\}$?
- how to determine $\{c_\alpha^{\text{RT}}\}$ or $\{c_\alpha^{\text{FP}}\}$?

P. Hasenfratz, F. Niedermayer [Nucl. Phys. B414 (1994) 785, hep-lat/9308004]

for $\beta \rightarrow \infty$ (on critical surface) the **RGT** becomes a **classical saddle point problem**:

$$\mathcal{A}^{\text{FP}}[V] = \min_U \left(\mathcal{A}^{\text{FP}}[U] + \mathcal{T}[U, V] \right)$$

The classically-perfect FP action

$$\mathcal{A}^{\text{FP}}[V] = \min_U (\mathcal{A}^{\text{FP}}[U] + \mathcal{T}[U, V])$$

- There are no lattice artefacts on classical configurations (perfect action):
- For large β , $\mathcal{A}^{\text{FP}}[V]$ is very close to $\mathcal{A}^{\text{RT}}[V]$

$$\frac{\delta \mathcal{A}^{\text{FP}}[V]}{\delta V} = 0 \quad \Rightarrow \quad \left. \frac{\delta \mathcal{A}^{\text{FP}}[U]}{\delta U} \right|_{U^*} = 0$$

$$\mathcal{A}^{\text{FP}}[V] = \mathcal{A}^{\text{FP}}[U^*]$$

$\Rightarrow \mathcal{A}^{\text{FP}}$ has scale-invariant instanton solutions

\Rightarrow lattice artefacts expected to be substantially reduced:

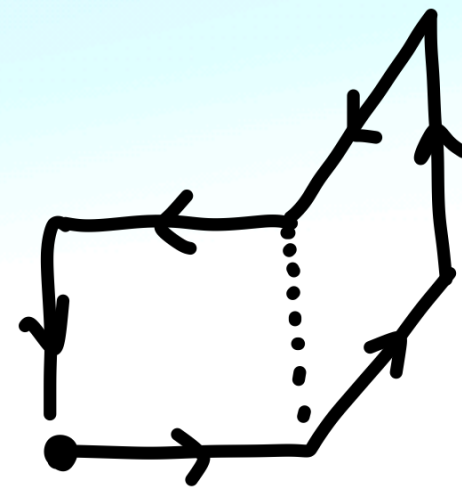
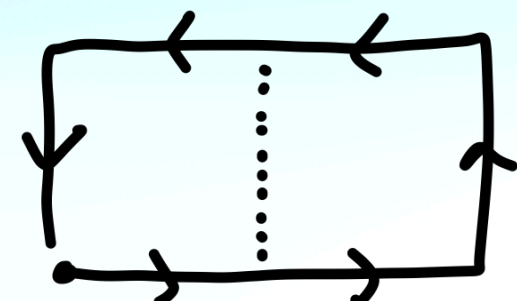
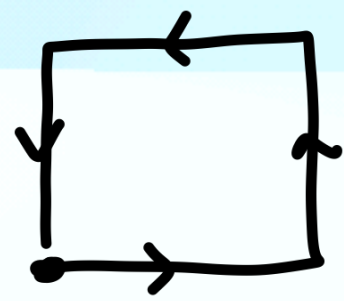
$$\cancel{\mathcal{O}(a^{2n}), \mathcal{O}(g^2 a^{2n})} \quad n = 1, 2, \dots$$

For practical MC simulations: find approximations to $\mathcal{A}^{\text{FP}}[V]$

The classically-perfect FP action

Ansatz: sum of powers of loops with free parameters $p_C^{(m)}$

$$\mathcal{A}^{\text{FP}}[U] = \frac{1}{N_c} \sum_x \sum_C \sum_{m=1}^M p_C^{(m)} [\text{ReTr}(1 - U_{x,C})]^m$$



...

Solve FP equation numerically:

$$\mathcal{A}^{\text{FP}}[V] \approx \min_U \left(\mathcal{A}_{\text{quad}}^{\text{FP}}[U] + \mathcal{T}[U, V] \right)$$

⇒ Find set of parameters to approximate numerical FP data (+ normalization and Symanzik conditions)

Improve approximations: iterate FP equation

$$\mathcal{A}_{(n+1)}^{\text{FP}}[V] = \min_U \left(\mathcal{A}_{(n)}^{\text{FP}}[U] + \mathcal{T}[U, V] \right)$$

The classically-perfect FP action

Selection of previous parametrizations for SU(3) gauge theory

- Quadratic, Type I, Type II [DeGrand, Hasenfratz, Hasenfratz, Niedermayer (1995)]
- Type IIIa, IIIb, IIIc [Blatter, Niedermayer (1996)]
- FP with APE-smearing [Niedermayer, Rüfenacht, Wenger (2000)]

APE 444 (for smooth fields)

number of parameters $< \mathcal{O}(100)$

APE 431 (for coarse fields)

- Anisotropic FP [Rüfenacht, Wenger (2001)]
- **L-CNN FP** [Ipp, Holland, Müller, Wenger (2023)]

Learning a new FP action

Generating FP training data

Selection of coarse configurations

200 on 4^4 , 6^4 , 8^4 with $\beta_w \in [5, 100]$ (Wilson HMC)

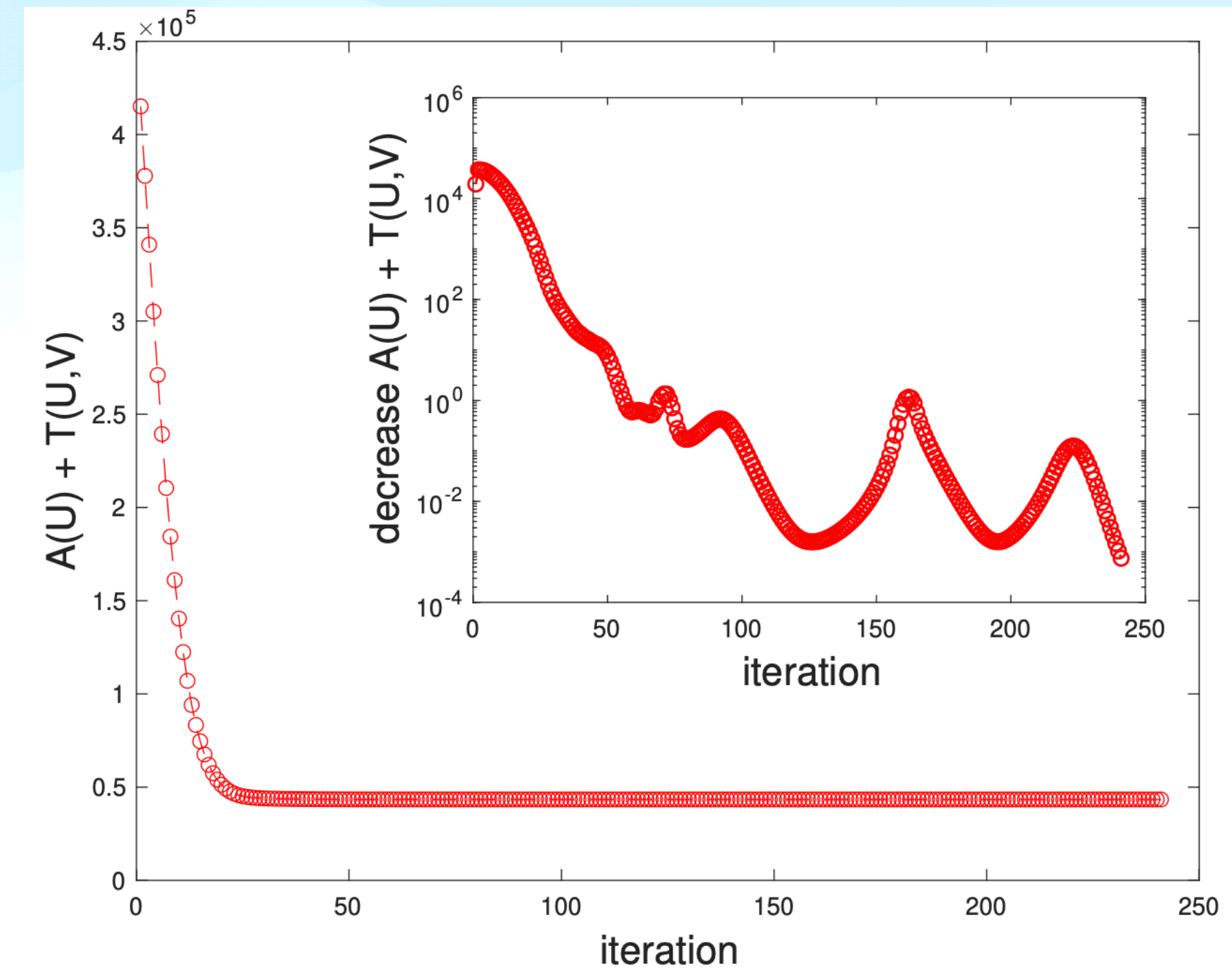
For each coarse $V_{x,\mu}$ configuration
numerically minimize w.r.t. $U_{x,\mu}$

$$\begin{aligned}\mathcal{A}_{\text{data}}^{\text{FP}}[V] &= \min_U \left(\mathcal{A}_{444}^{\text{FP}}[U] + \mathcal{T}[U, V] \right) \\ &= \mathcal{A}_{444}^{\text{FP}}[U^*] + \mathcal{T}[U^*, V]\end{aligned}$$

Local information: derivatives w.r.t. links

$$D_{x,\mu}^a \mathcal{A}_{\text{data}}^{\text{FP}}[V] = D_{x,\mu}^a \mathcal{T}[U^*, V]$$

$$\text{Note: } D_{x,\mu}^a \mathcal{A}[U] := \left. \frac{d}{d\varepsilon} \mathcal{A}[e^{i\varepsilon T^a} U_{x,\mu}] \right|_{\varepsilon=0}$$

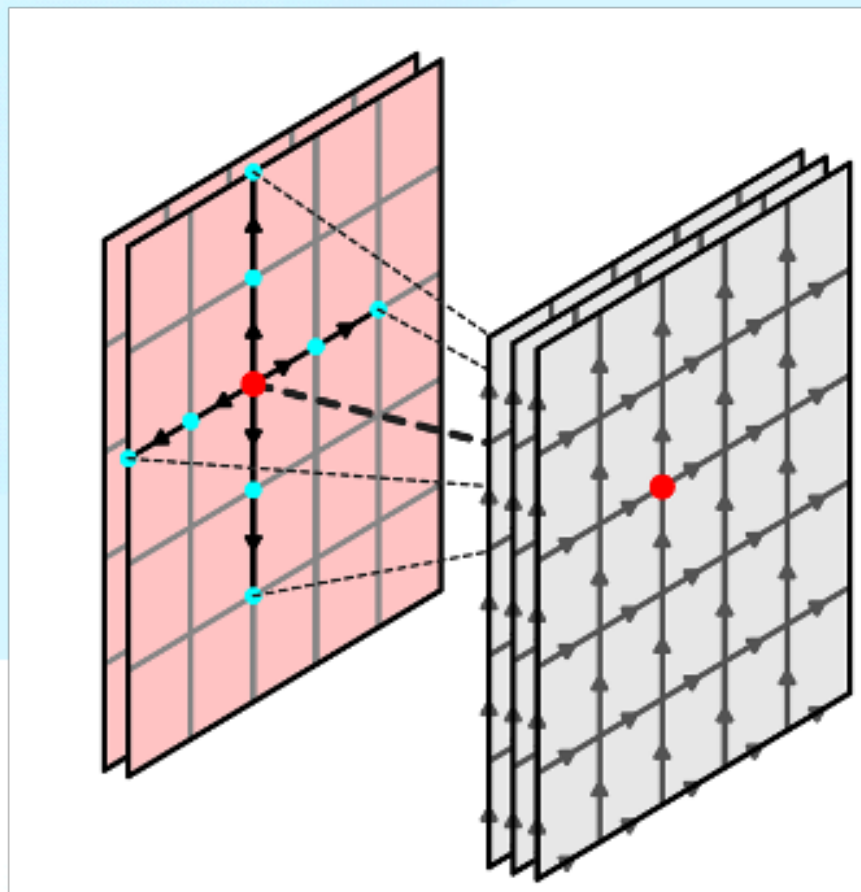


Machine-learned FP action

Architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

[Favoni, Ipp, Müller, Schuh, PRL 128 (2022) 3, 2012.12901]

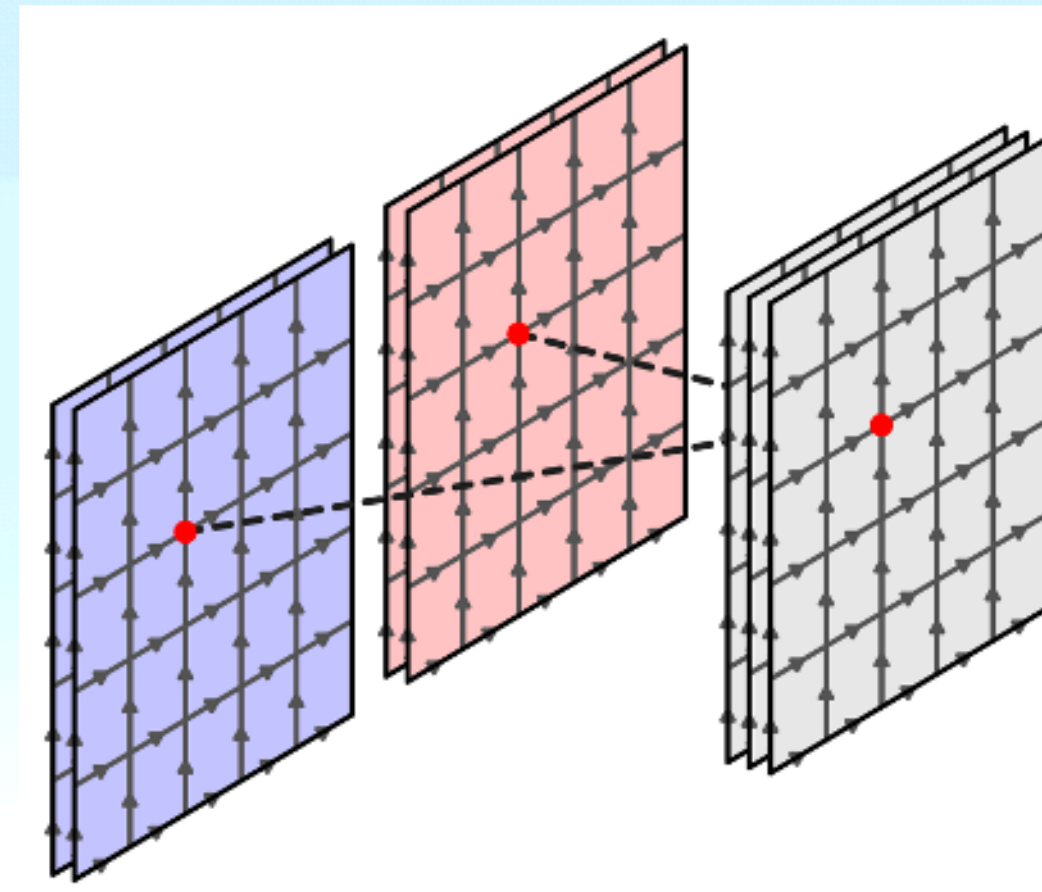
L-Conv:



$$(U, W) \rightarrow (U, W')$$

$$W'_{x+k\cdot\mu, j} = U_{x, k\cdot\mu} W_{x+k\cdot\mu, j} U_{x, k\cdot\mu}^\dagger$$

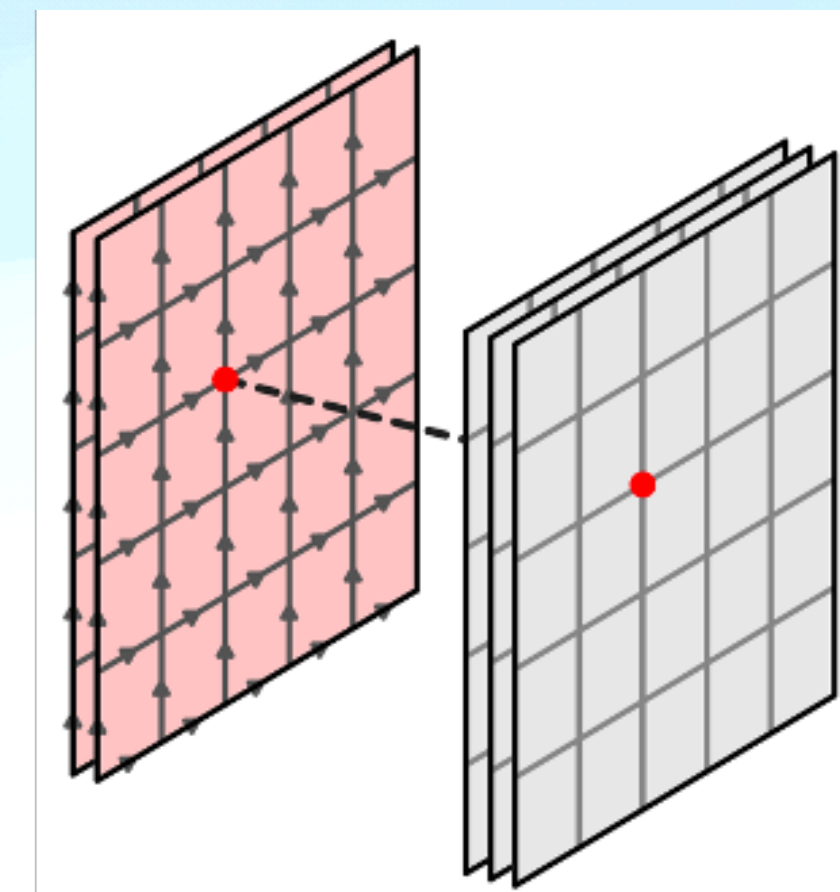
L-Bilin:



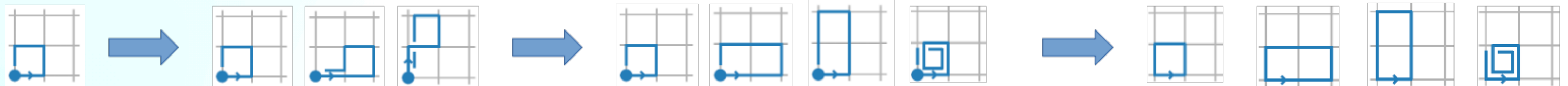
$$(U, W) \times (U, W') \rightarrow (U, W'')$$

$$W_{x, i} \rightarrow \sum_{j, j', k} \alpha_{i, j, j', k} W_{x, j} W'_{x+k\cdot\mu, j'}$$

Trace:



$$w_{\mathbf{x}, i} = \text{Tr } W_{\mathbf{x}, i} \in \mathbb{C}$$

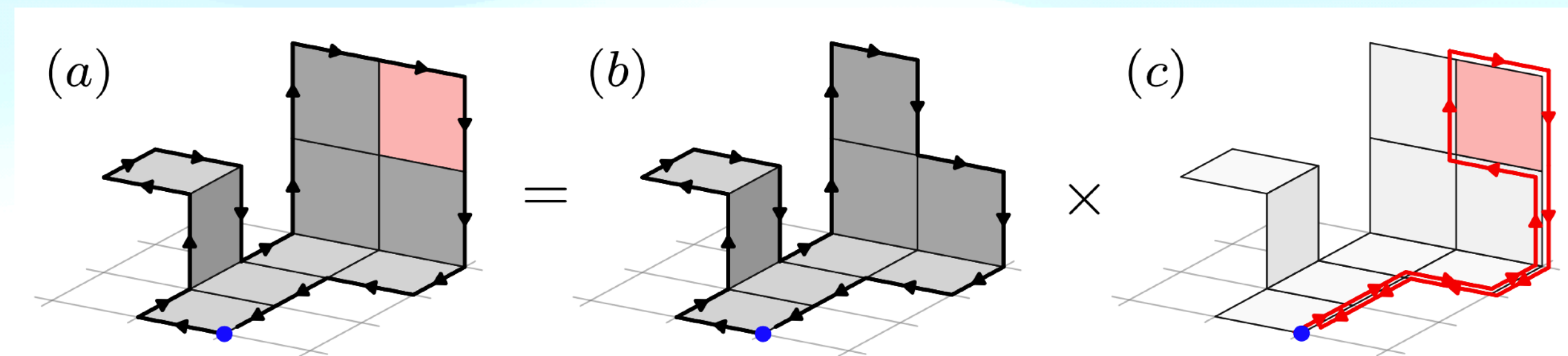
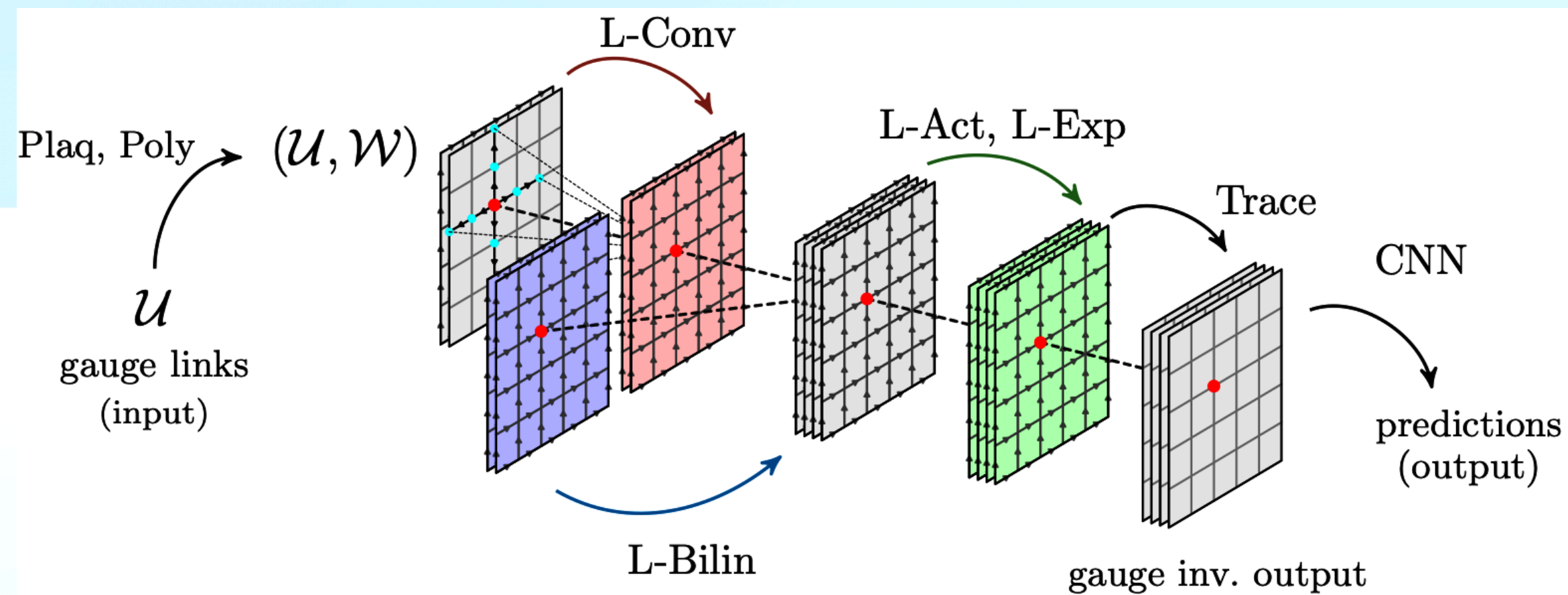


Machine-learned FP action

Architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

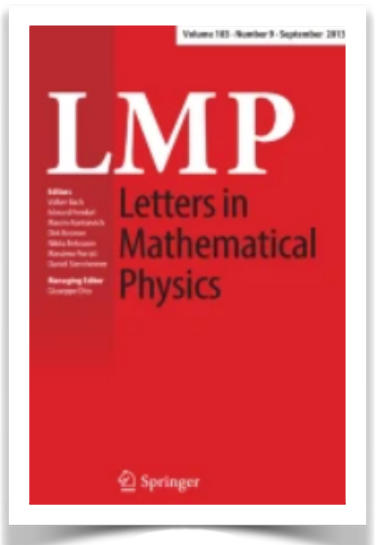
[Favoni, Ipp, Müller, Schuh, PRL 128 (2022) 3, 2012.12901]

“Universal approximator” for gauge invariant functions on the lattice



ON THE STRUCTURE OF GAUGE INVARIANT CLASSICAL OBSERVABLES
IN LATTICE GAUGE THEORIES

B. DURHUUS
Nordita, Blegdamsvej 17, DK-2100 Copenhagen Ø, Denmark (1980)



Machine-learned FP action

FP action parameterised by

$$\mathcal{A}^{\text{L-CNN}}[U] = \sum_x \mathcal{A}_x^{\text{pre}}[U] \sum_{n=0}^{\infty} b^{(n)} (N_x[U] - N_x[1])^n$$

with “prefactor” action

$$\mathcal{A}_x^{\text{pre}}[U] = \frac{1}{N_c} \sum_C \sum_{m=1}^M p_C^{(m)} [\text{ReTr}(1 - U_{x,C})]^m$$

e.g. Wilson, Symanzik,
a sum of more general loops

In practice we use:

$$\mathcal{A}^{\text{L-CNN}}[U] = \sum_x \mathcal{A}_x^{\text{pre}}[U] \exp(N_x[U] - N_x[1])$$

local output of L-CNN

guaranteed continuum limit

Machine-learned FP action

Loss function from two weighted contributions:

$$L_1 = \frac{1}{L^4 N_{cfg}} \sum_{i=1}^{N_{cfg}} \left| \mathcal{A}^{\text{FP}}[V_i] - \mathcal{A}^{\text{L-CNN}}[V_i] \right|$$

$$L_2 = \frac{1}{32L^4 N_{cfg}} \sum_{i=1}^{N_{cfg}} \sum_{x,\mu} \text{Tr} \left[\left(D_{x,\mu}^{\text{FP}}[V_i] - D_{x,\mu}^{\text{L-CNN}}[V_i] \right)^2 \right]$$

$$L = w_1 L_1 + w_2 L_2$$

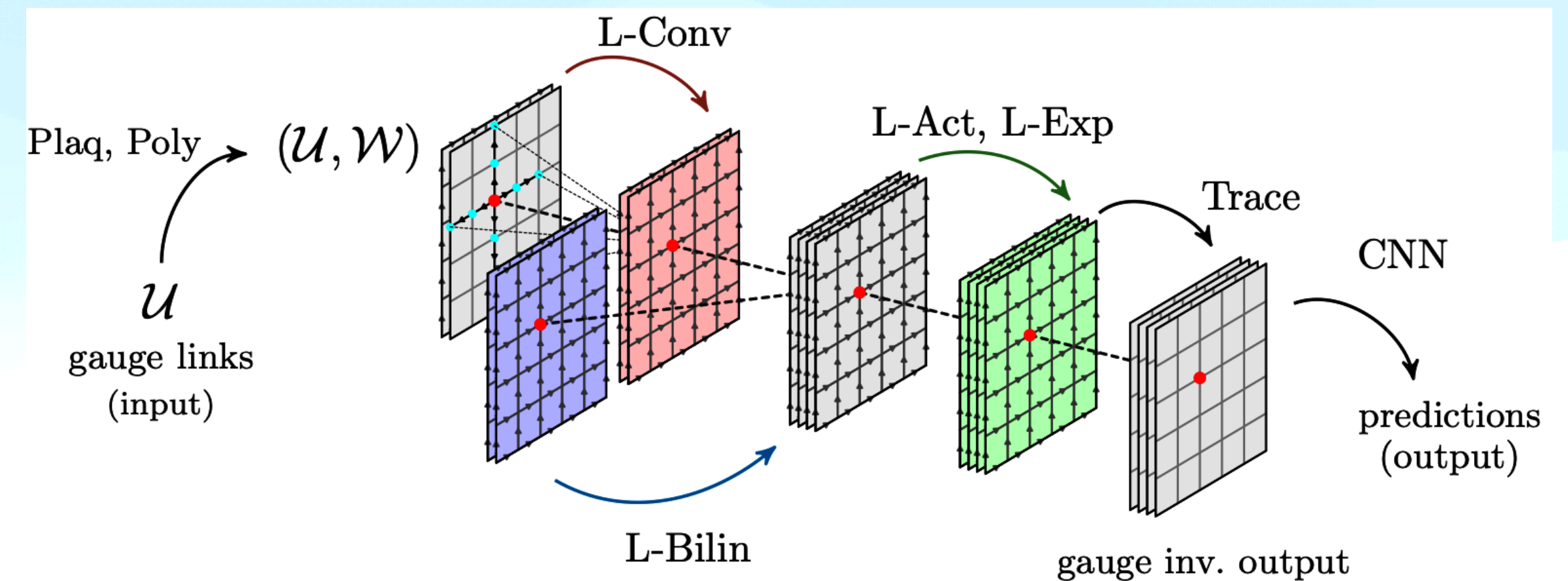
$$D_{x,\mu}[U] := T^a \frac{d}{d\varepsilon} \mathcal{A}[e^{i\varepsilon T^a} U_{x,\mu}] \Big|_{\varepsilon=0}$$

Technical point: derivatives in L-CNN are given through backpropagation

Machine-learned FP action

Architecture search

layers	kernel sizes	channels	parameters
1	1	4	9.61K
	2	8	170K
	2	16	340K
2	1, 1	4, 8	10.3K
	2, 1	8, 16	174K
	2, 2	16, 12	454K
3	2, 1, 1	4, 4, 8	85.8K
	2, 2, 1	8, 8, 16	194K
	2, 2, 1	12, 24, 24	443K
	2, 2, 1	16, 16, 32	527K
4	2, 1, 1, 1	8, 8, 16, 32	212K
	2, 2, 1, 1	16, 16, 16, 32	544K
	2, 2, 2, 1	16, 24, 24, 32	1.15M

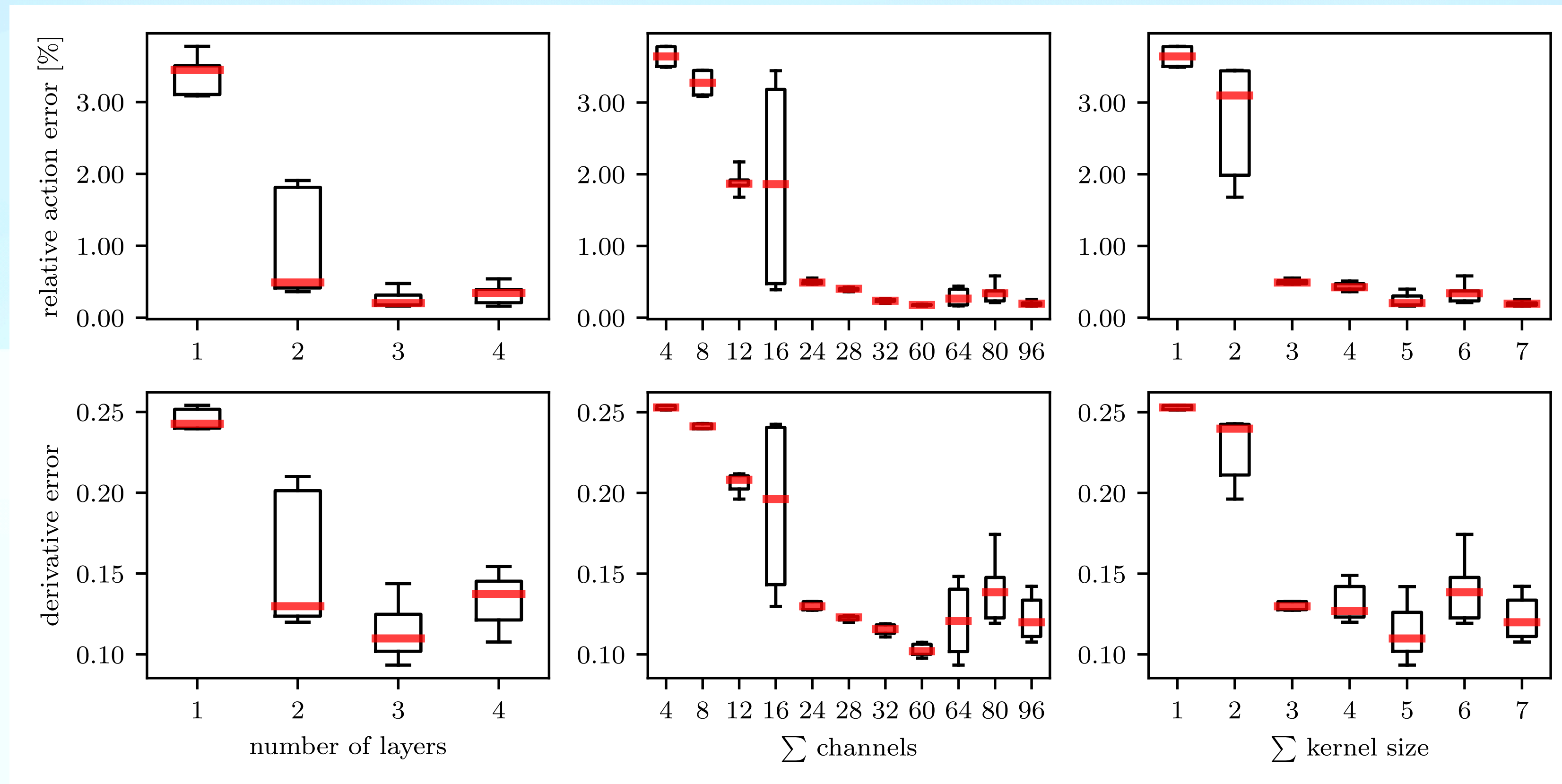


400 - 1000 training epochs on 4^4
 five random initializations

Machine-learned FP action

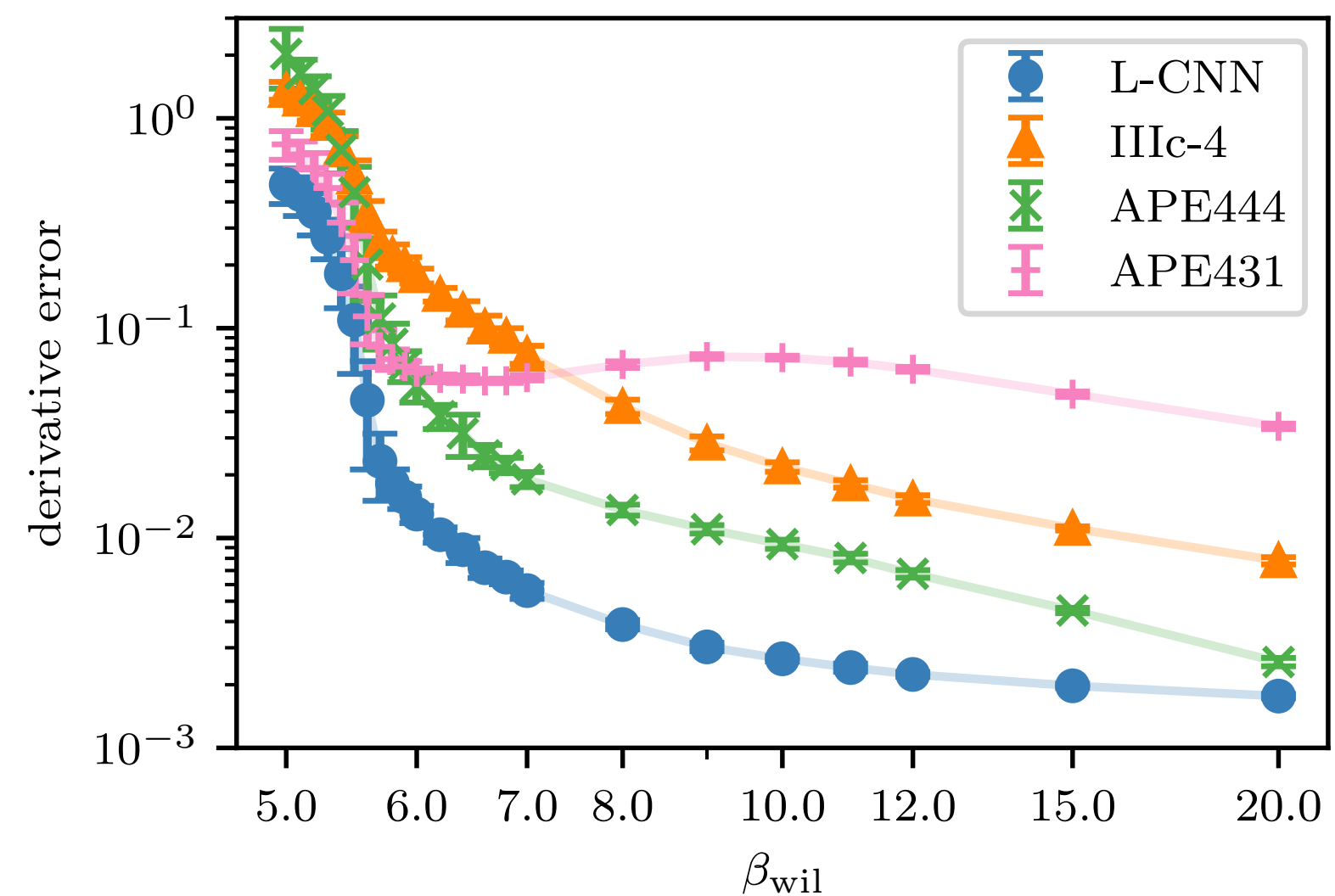
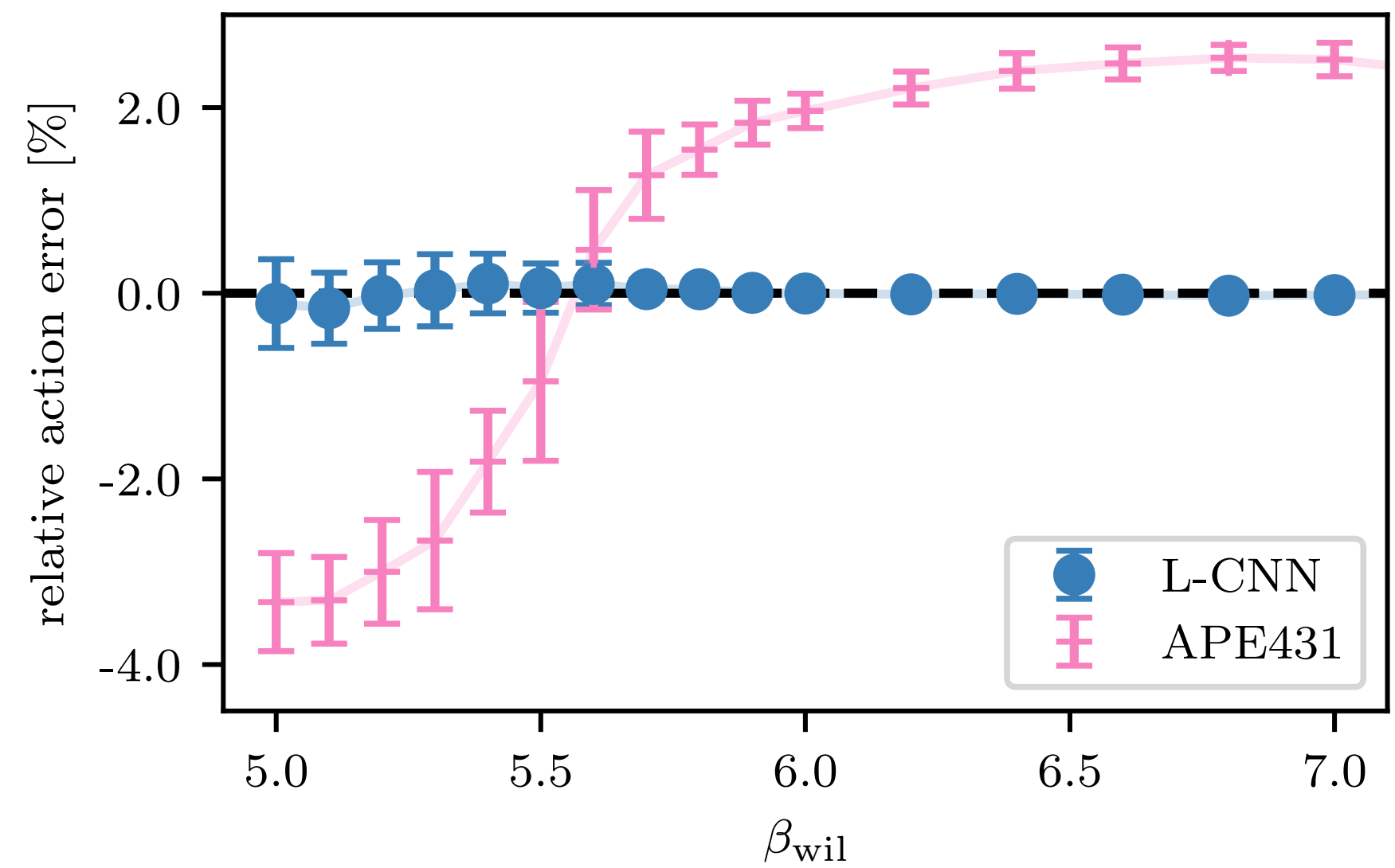
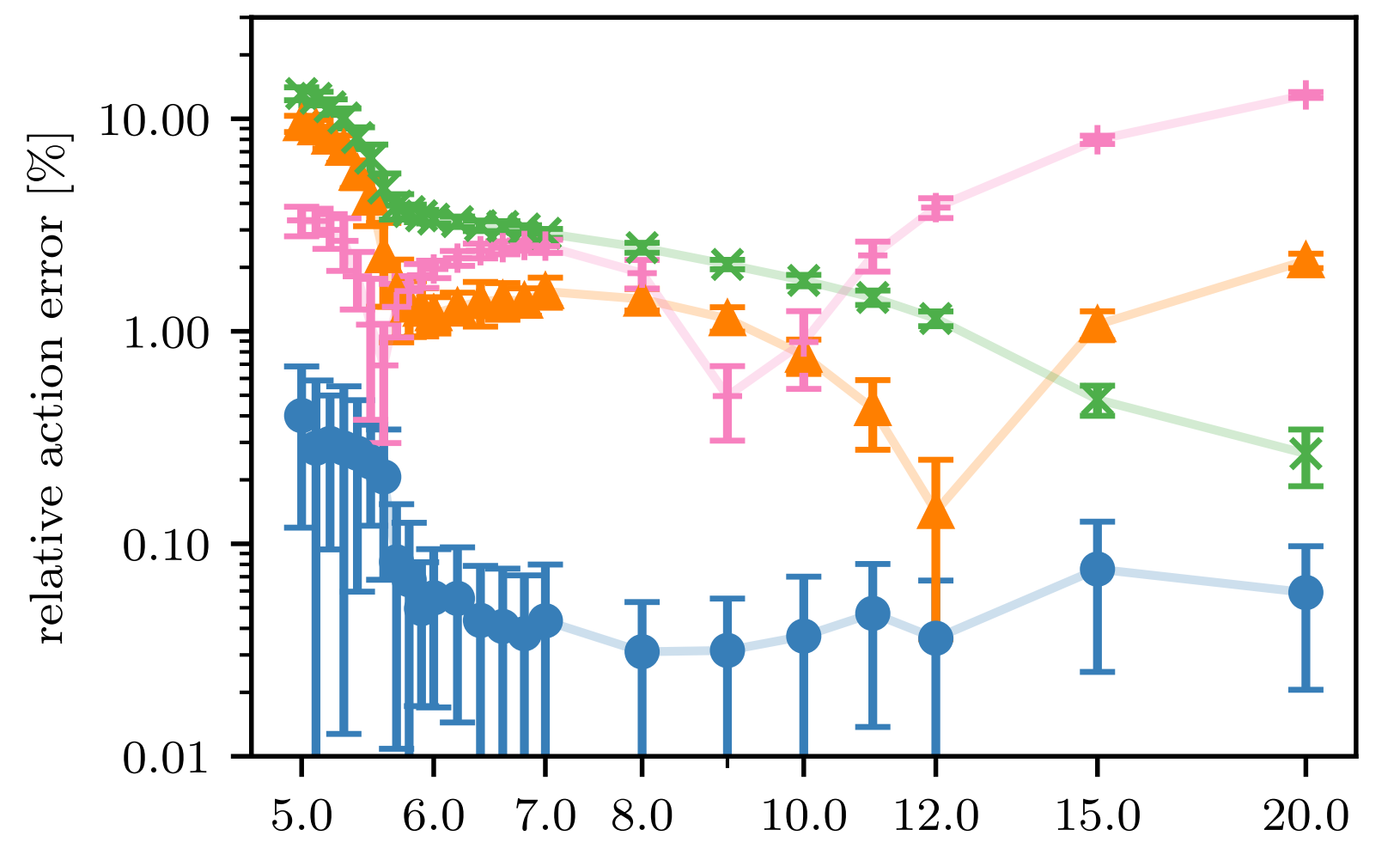
Architecture search

3 layers, kernel sizes (2,2,1), ~60 channels, $\mathcal{O}(10^5)$ parameters



+ further training with instantons, different lattice sizes, ...

Machine-learned FP action



⇒ beats all previous parametrizations

⇒ works on fine and coarse configurations

HMC and FP gradient flow

Hybrid Monte Carlo (HMC)

Euclidean lattice path integral for observables \mathcal{O}

$$Z(\beta) = \int \left[\prod_{x,\mu} \mathcal{D}U_{x,\mu} \right] \exp \left[-\beta \mathcal{A}[U] \right] \quad \langle \mathcal{O} \rangle_\beta = \frac{1}{Z(\beta)} \int \mathcal{D}U \mathcal{O}[U] \exp \left[-\beta \mathcal{A}[U] \right]$$

$$\int \mathcal{D}U e^{-S[U]} \propto \int \mathcal{D}U \int \mathcal{D}P e^{-\frac{1}{2}P^2 - S[U]} = \int \mathcal{D}U \int \mathcal{D}P e^{-H[P,U]}$$

Hamiltonian

$$H[P, U] = \sum_{x,\mu,a} \text{Tr}[P_{x,\mu} P_{x,\mu}] + \beta \mathcal{A}[U]$$

Hamiltonian EOM

$$\dot{P}_{x,\mu}(t) = \beta D_{x,\mu} \mathcal{A}[U(t)]$$

$$\dot{U}_{x,\mu}(t) = -i P_{x,\mu}(t) U_{x,\mu}(t)$$

(Again: derivatives via backpropagation)

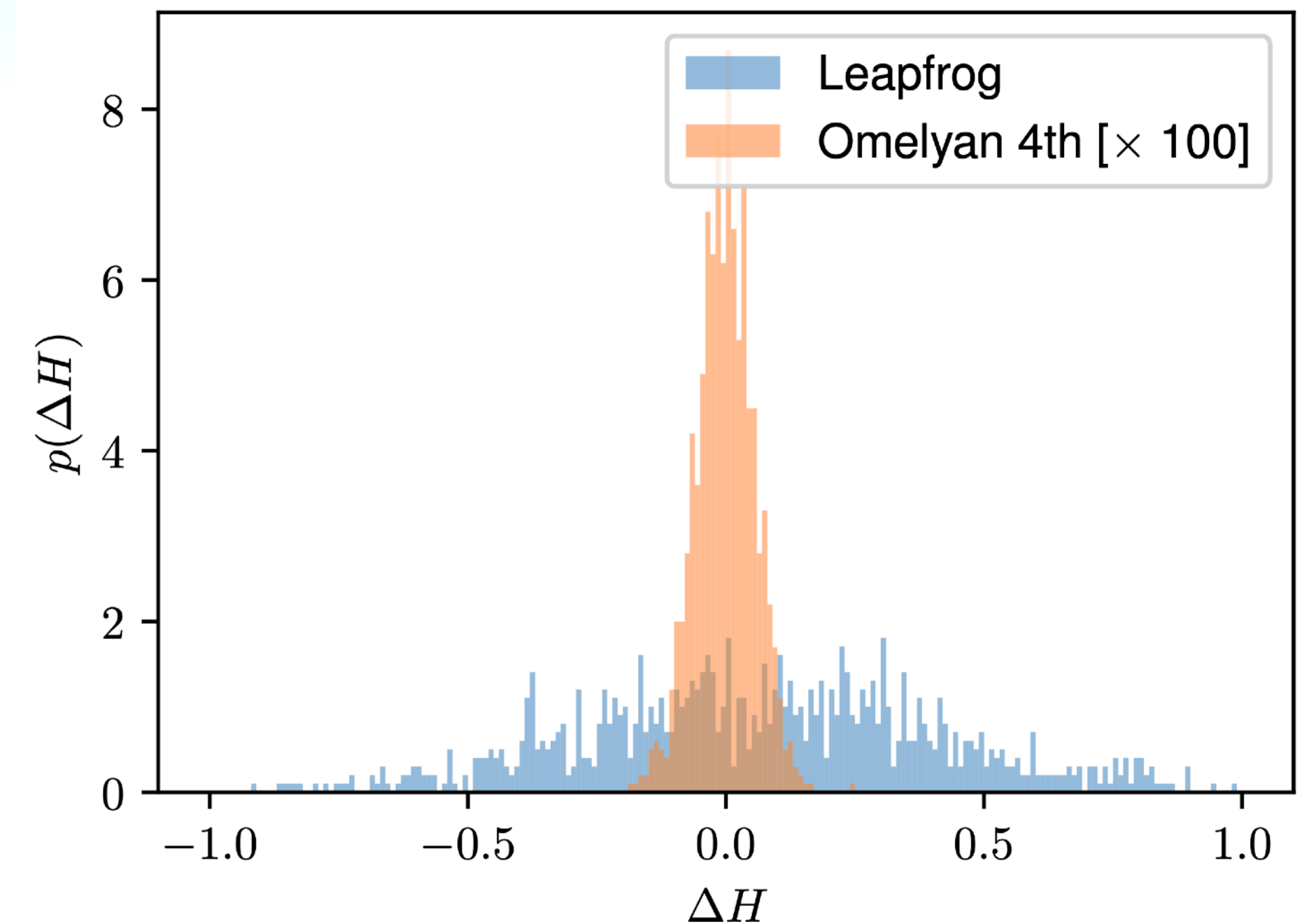
Hybrid Monte Carlo (HMC)

Euclidean lattice path integral for observables \mathcal{O}

$$Z(\beta) = \int \left[\prod_{x,\mu} \mathcal{D}U_{x,\mu} \right] \exp \left[-\beta \mathcal{A}[U] \right] \quad \langle \mathcal{O} \rangle_\beta = \frac{1}{Z(\beta)} \int \mathcal{D}U \mathcal{O}[U] \exp \left[-\beta \mathcal{A}[U] \right]$$

HMC algorithm

1. Pick random momenta $P_{x,\mu} \sim \exp(-\text{Tr}P_{x,\mu}^2)$
2. Solve Hamiltonian EOM from $t = 0$ to τ (LF/Omelyan)
3. Metropolis accept-reject $\Delta H = H(\tau) - H(0) \approx 0$
4. Repeat



Classically-perfect FP gradient flow

Ensemble generation

$$U_{x,\mu} \sim \exp(-\beta \mathcal{A}_g[U])$$

Gradient flow

$$\dot{U}_{x,\mu}(t_f) = -iD_{x,\mu} \mathcal{A}_f[U] U_{x,\mu}(t_f)$$

(adaptive RK23 solver)

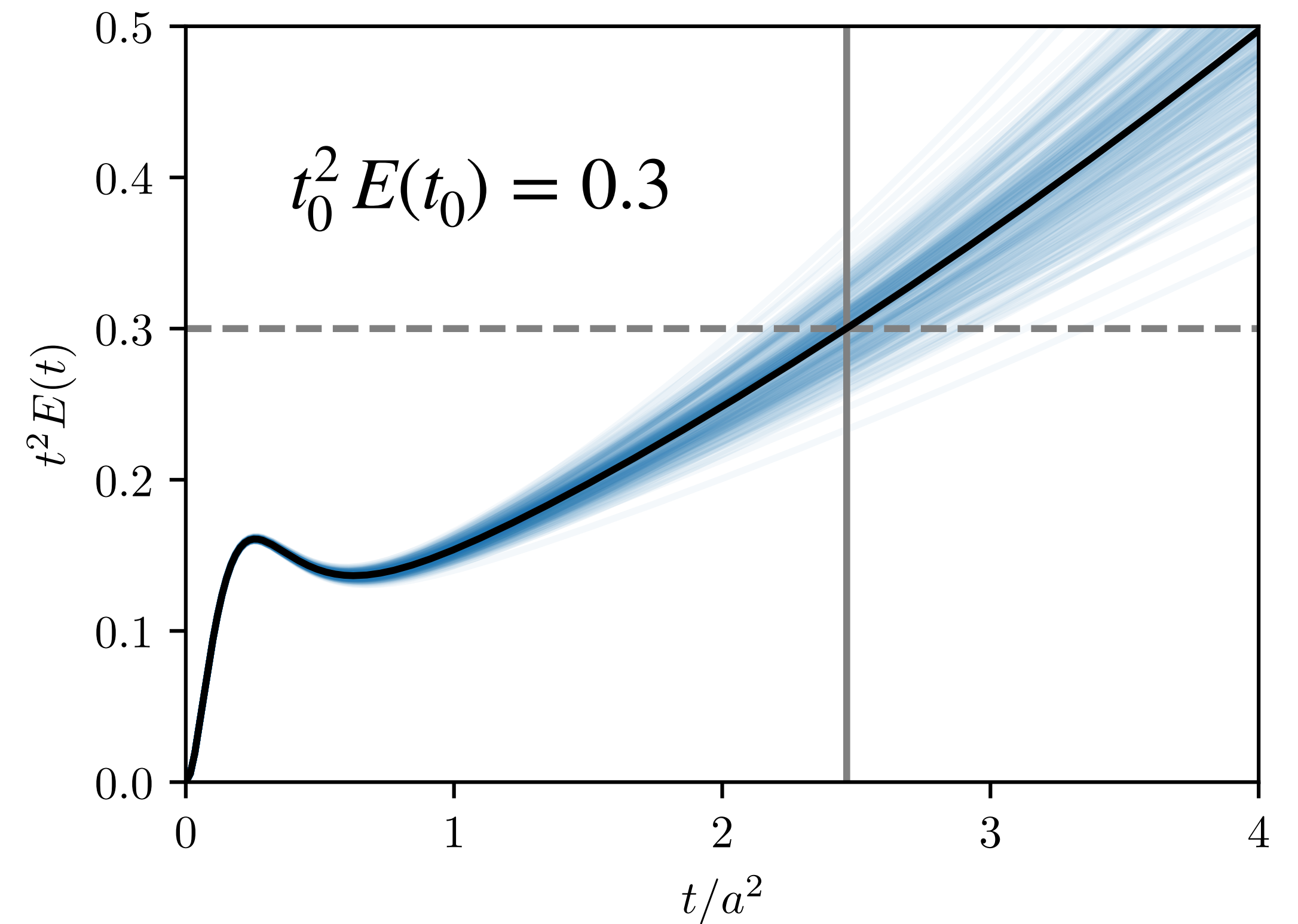
Energy density

$$E(t_f) = \frac{1}{L^4} \langle \mathcal{A}_e[U(t_f)] \rangle$$

FP flow $\mathcal{A}_g = \mathcal{A}_f = \mathcal{A}_e = \mathcal{A}^{\text{FP}}$

GF with FP action is classically-perfect!

Lüscher's flow scale $\sqrt{t_0} \approx 0.167$ fm



High-precision scale setting

Classically-perfect FP gradient flow

Ensemble generation

$$U_{x,\mu} \sim \exp(-\beta \mathcal{A}_g[U])$$

Gradient flow

$$\dot{U}_{x,\mu}(t_f) = -iD_{x,\mu} \mathcal{A}_f[U] U_{x,\mu}(t_f)$$

(adaptive RK23 solver)

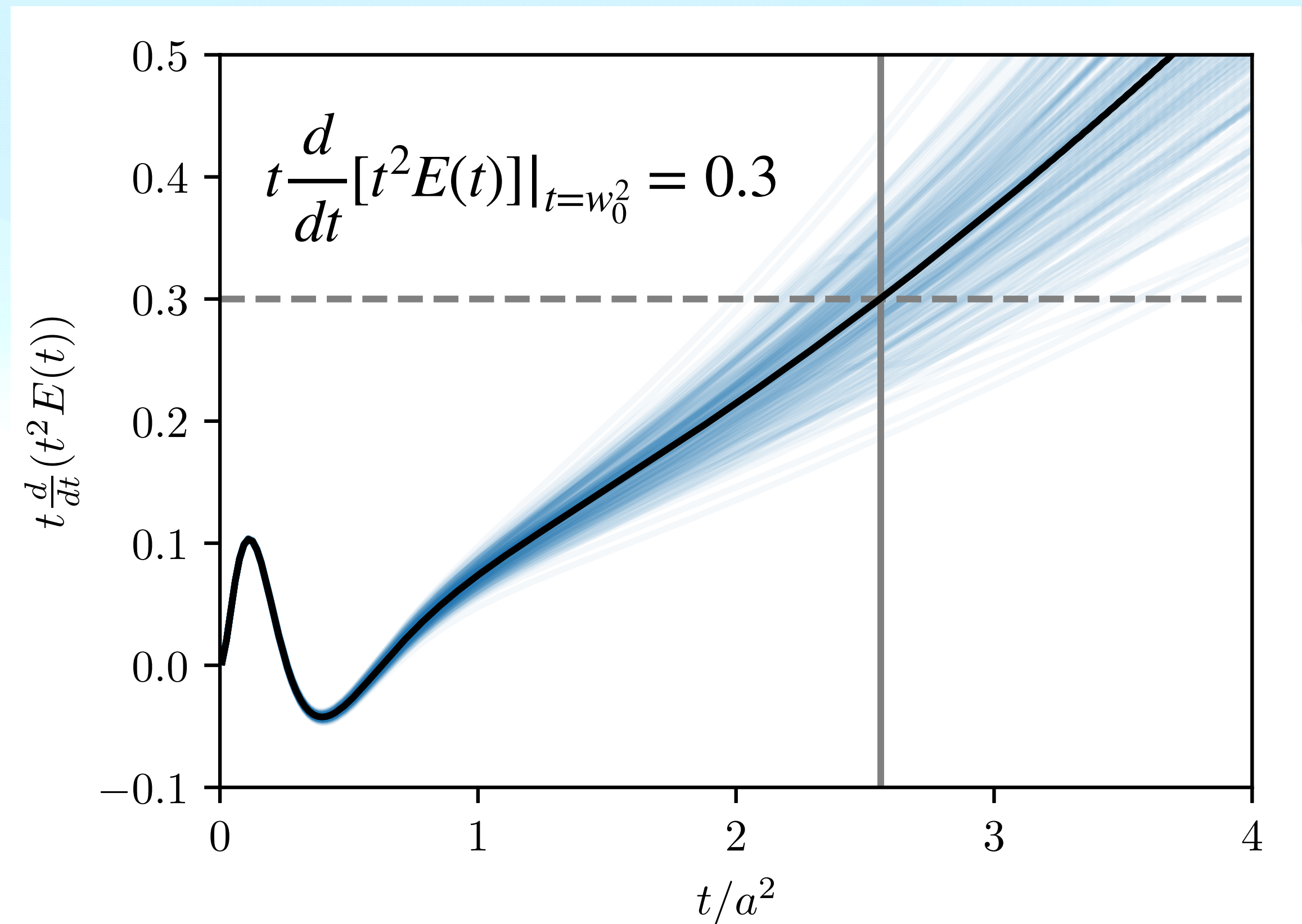
Energy density

$$E(t_f) = \frac{1}{L^4} \langle \mathcal{A}_e[U(t_f)] \rangle$$

FP flow $\mathcal{A}_g = \mathcal{A}_f = \mathcal{A}_e = \mathcal{A}^{\text{FP}}$

GF with FP action is classically-perfect!

Wuppertal scale $w_0 \approx 0.176$ fm



High-precision scale setting

Preliminary results

Ratio of gradient flow scales

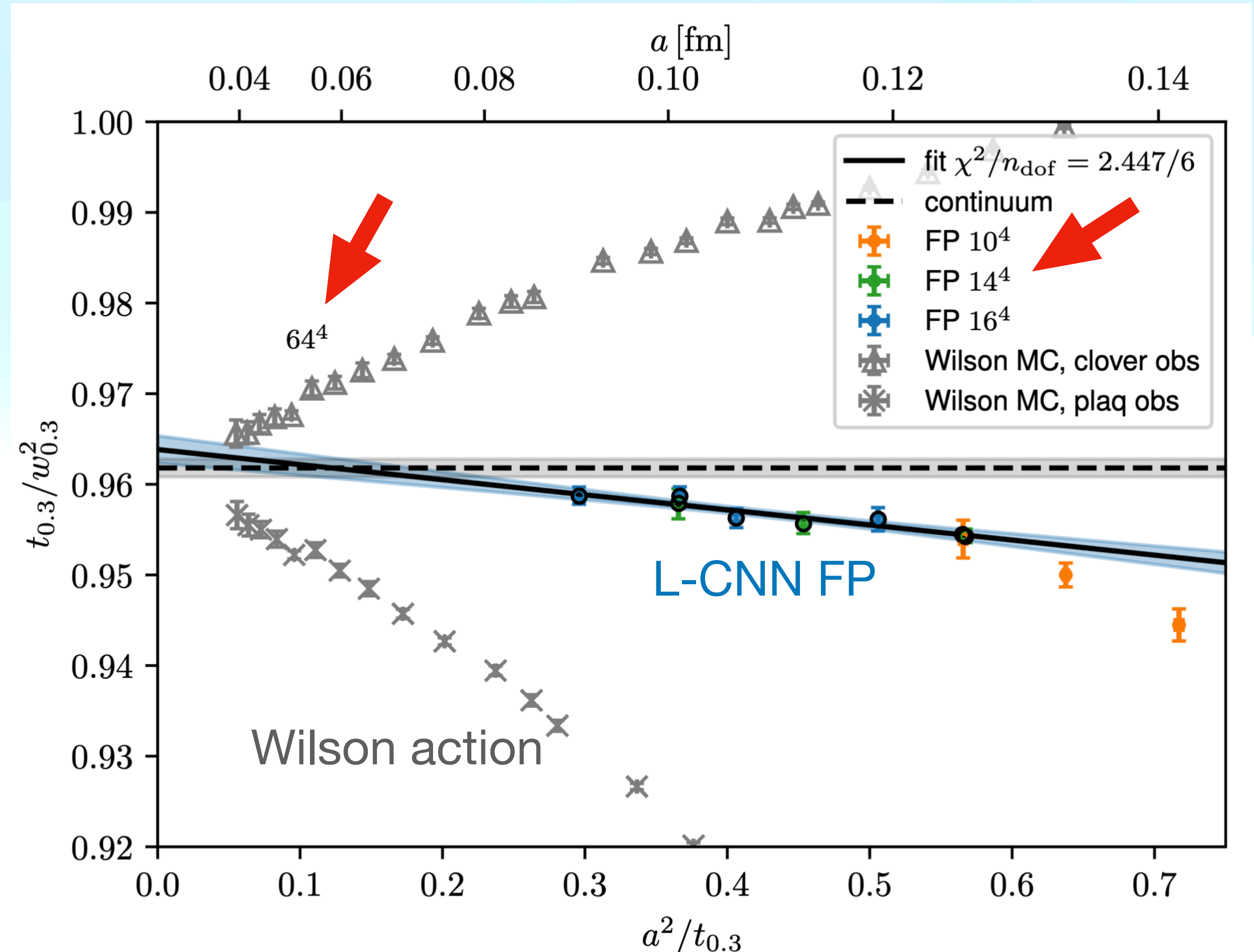
Lüscher's flow time t_c

$$t^2 E(t) \Big|_{t_c} = c$$

Wuppertal scale w_c

$$t \frac{d}{dt} [t^2 E(t)] \Big|_{t=w_c^2} = c$$

L-CNN FP shows scaling on very small (coarse) lattices!



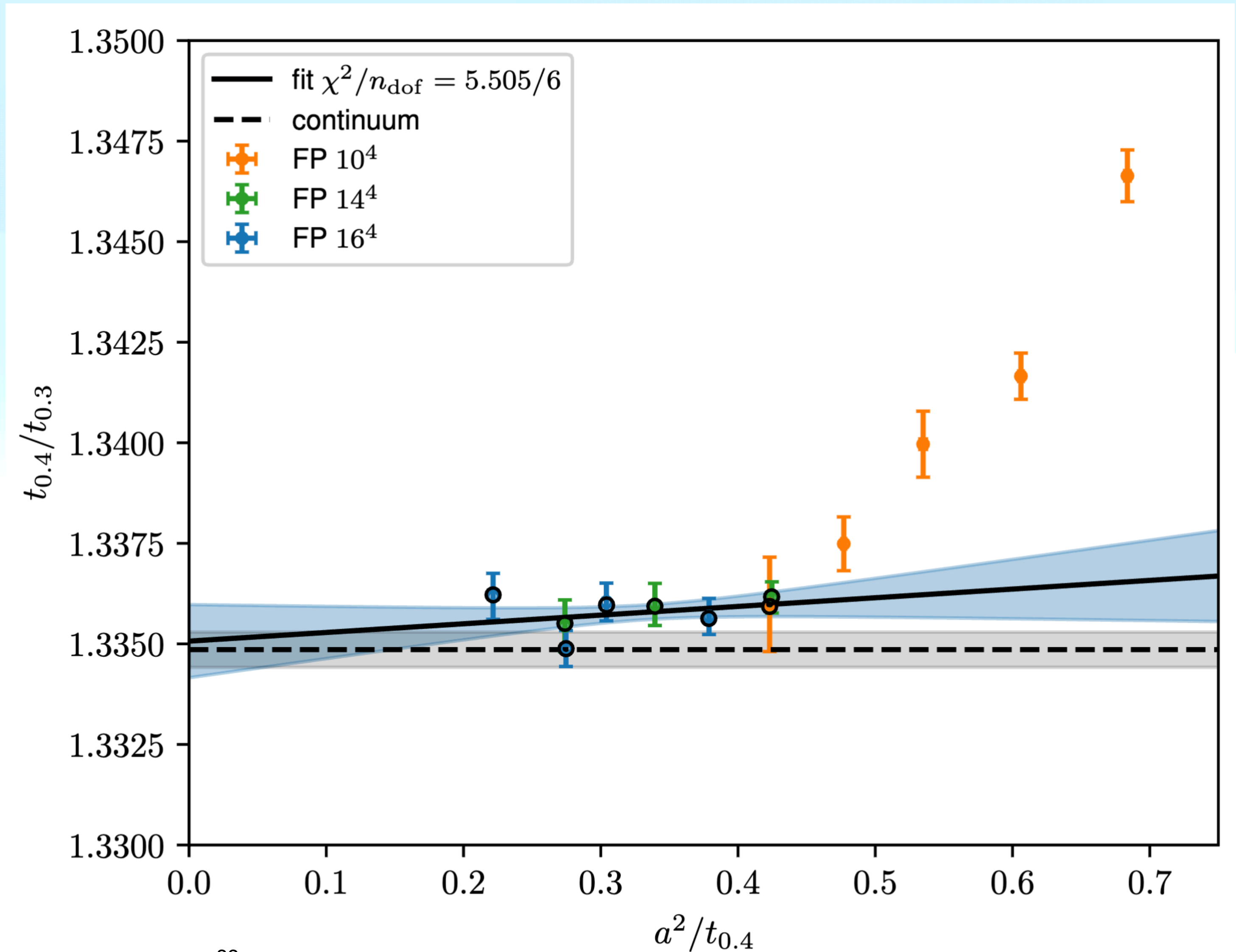
More flow time ratios

Lüscher's flow time t_c

$$t^2 E(t) \Big|_{t_c} = c$$

Dimensionless ratios $t_{c'}/t_c$

Note: $t_{0.4}$ also called t_2



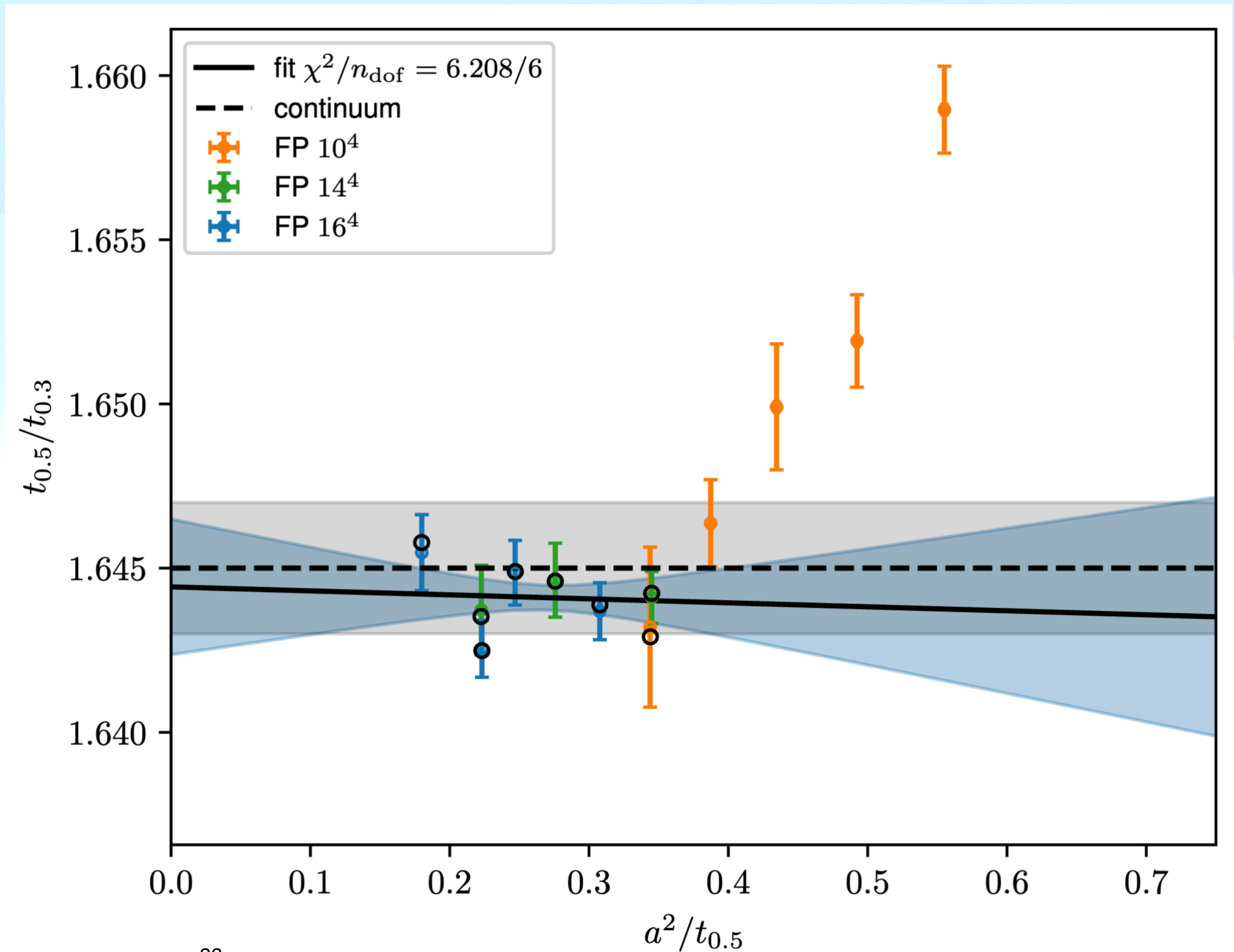
More flow time ratios

Lüscher's flow time t_c

$$t^2 E(t) \Big|_{t_c} = c$$

Dimensionless ratios $t_{c'}/t_c$

Note: $t_{0.5}$ also called t_1



β -function

Renormalized coupling
through gradient flow

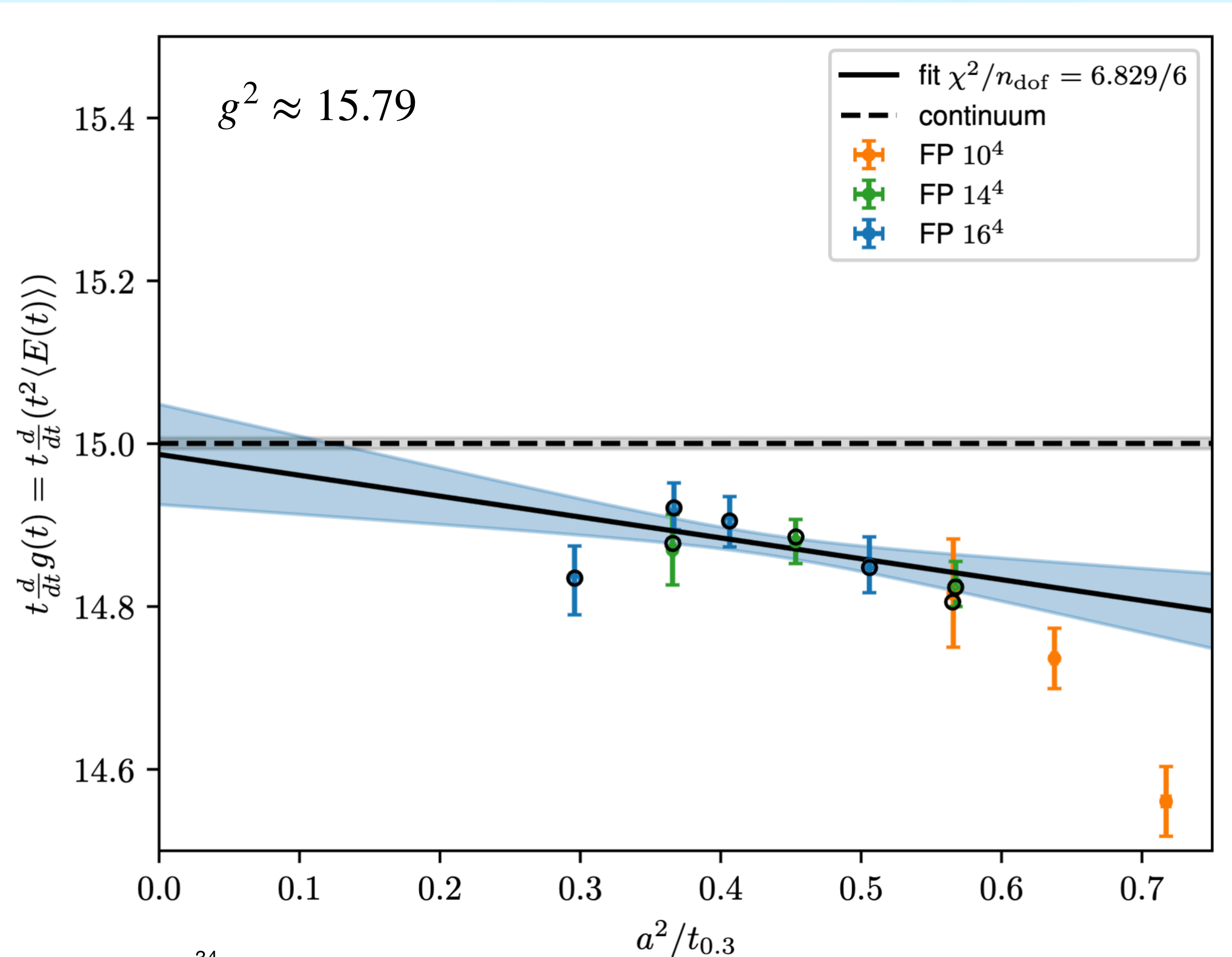
$$t^2 E(t) = \frac{3}{16\pi^2} g^2(t)$$

Beta function

$$\beta(g^2(t)) = t \frac{d}{dt} g^2(t)$$

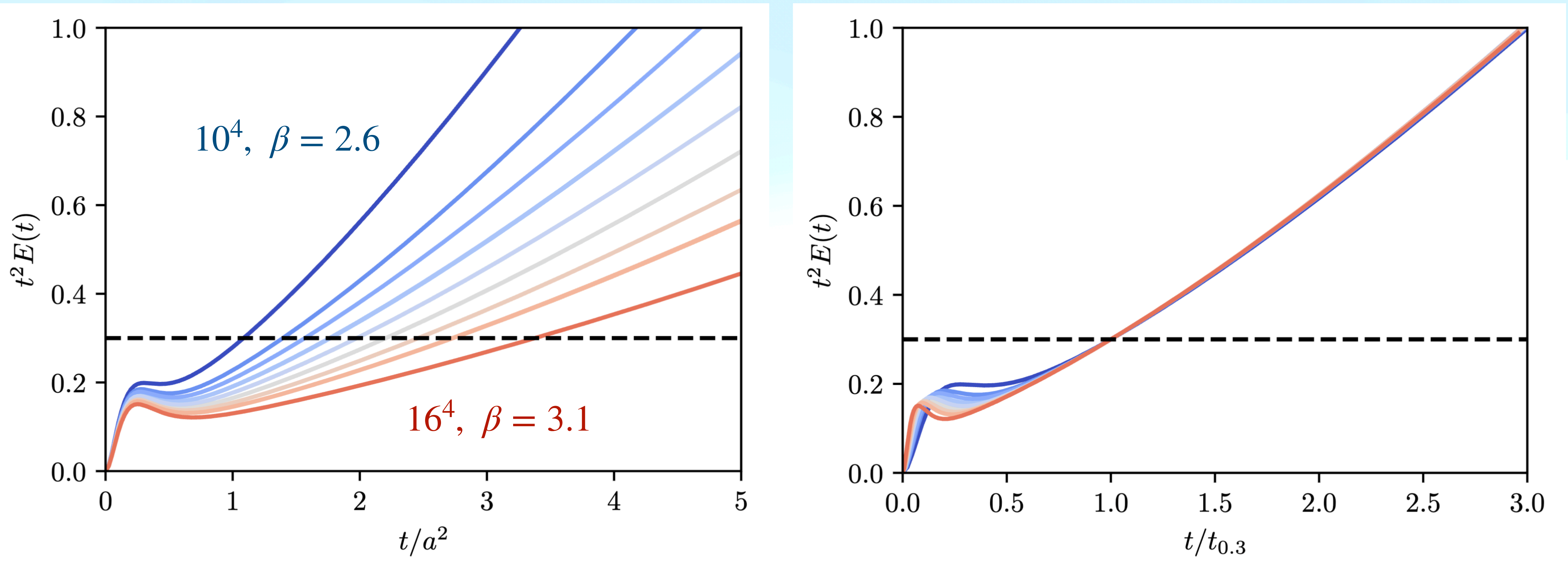
Flow time $t_{0.3}$ sets $g^2 \approx 15.79$

see e.g. Wong et al [2301.06611]



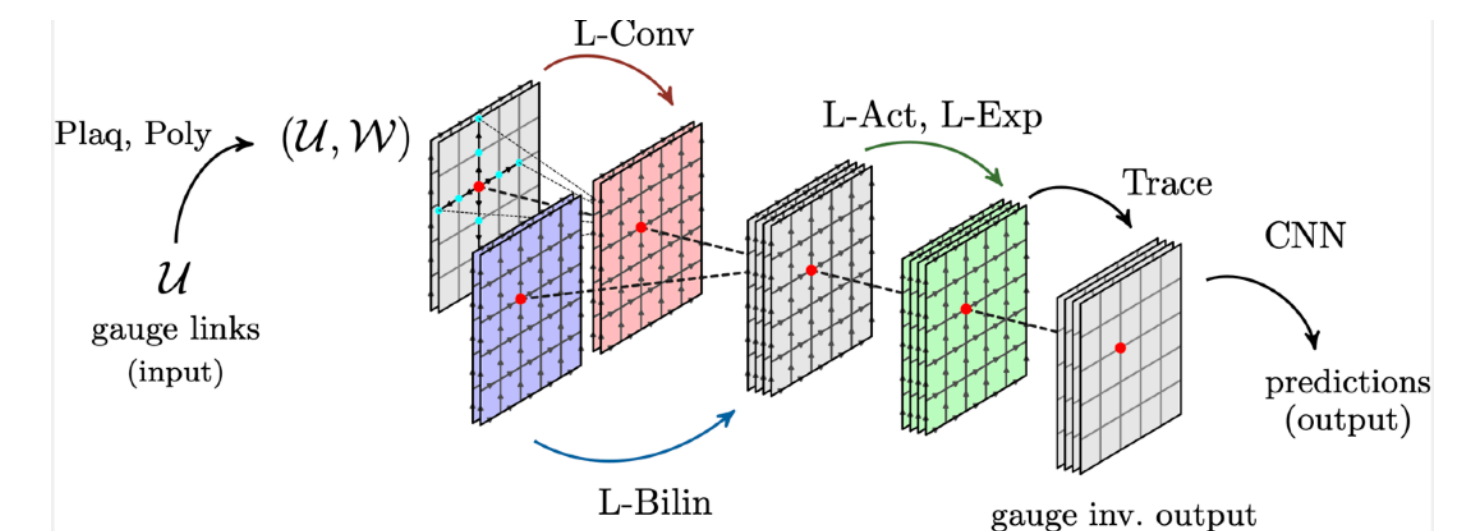
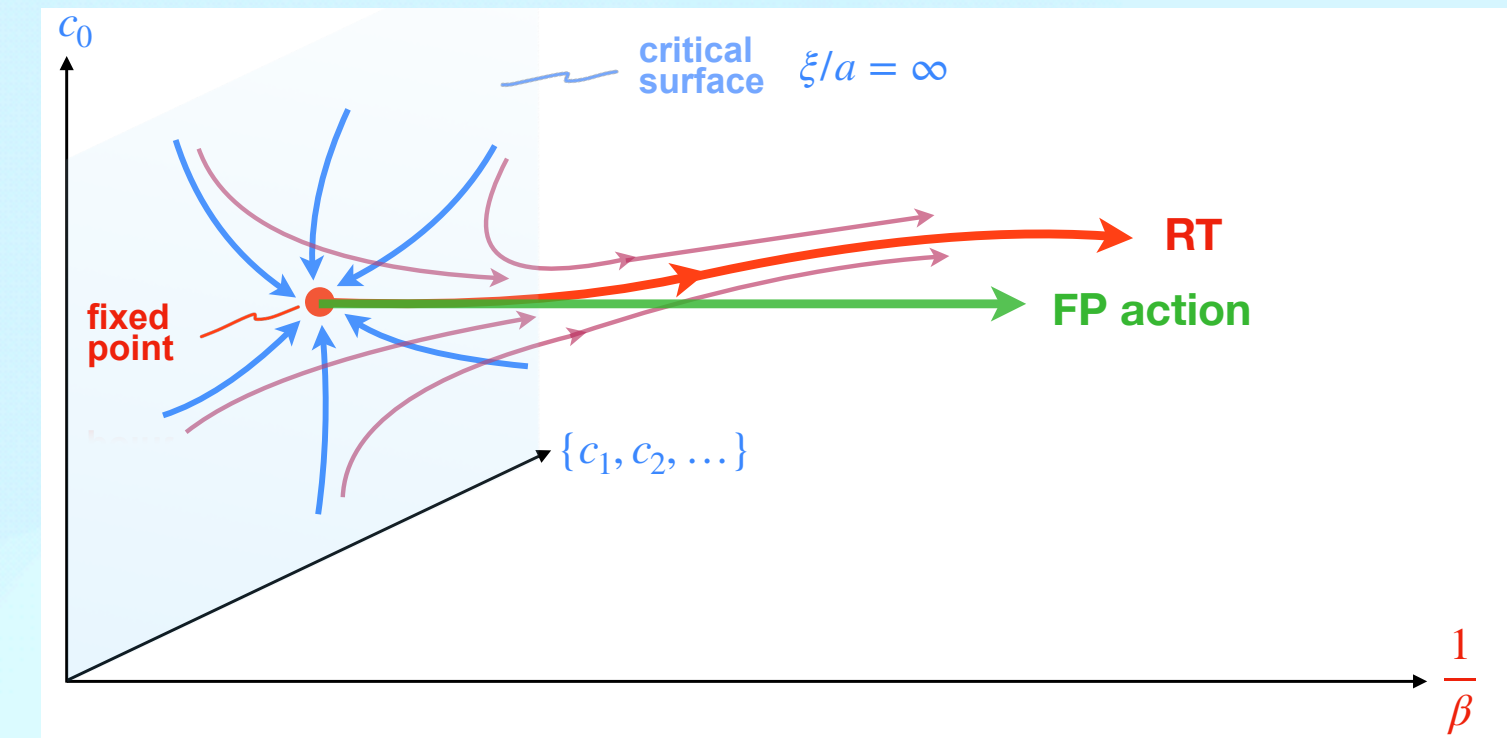
Universal flow trajectories

Rescale flow trajectories at different β with $t_{0.3}(\beta)$



Conclusions and outlook

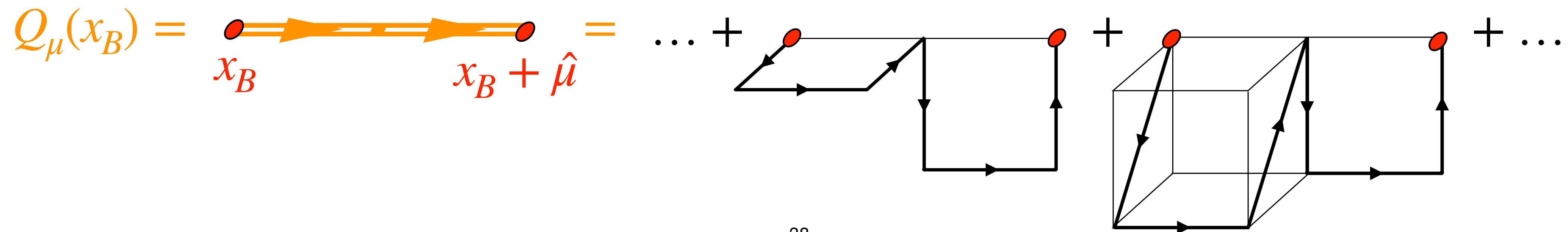
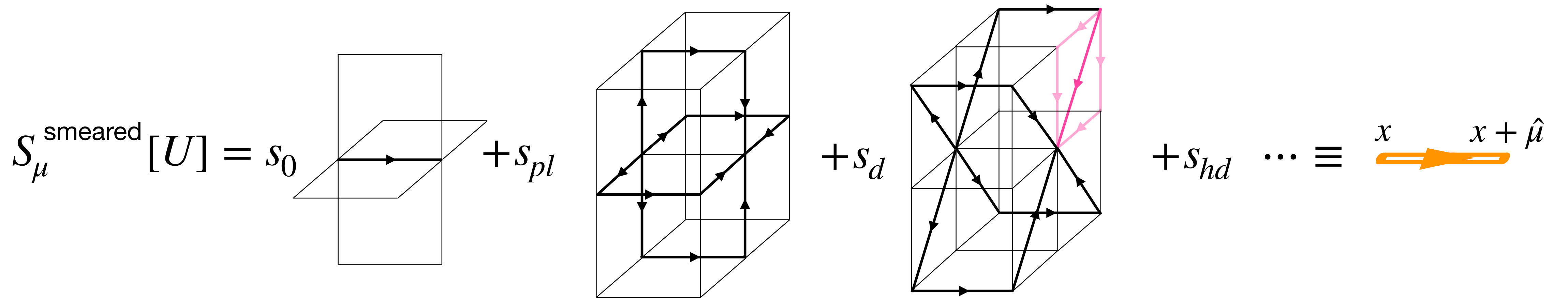
- Highly improved FP parametrization using L-CNNs
- HMC and gradient flow with FP action
- Gradient flow observables show correct scaling on coarse lattices
- Other observables (critical temperature, glueballs, ...)
- Simulations with a quantum perfect action



Appendix

RGT blocking kernel

$$\mathcal{T}[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \text{ReTr} \left(V_\mu(x_B) \cdot Q_\mu^\dagger(x_B) \right) - \mathcal{N}_\mu^\beta \right\}$$



Parametrization of the FP actions

Parametrization should be **as local as possible**, but still **as expressive as possible**.

- Wilson plaquette variable:

$$u_{\mu\nu} = \text{ReTr} \left(1 - U_{\mu\nu}^{pl} \right)$$

from usual links U_μ, U_ν

- Smearred plaquette

$$w_{\mu\nu} = \text{ReTr} \left(1 - W_{\mu\nu}^{pl} \right)$$

from asymmetrically smeared links

- FP action: $A^{FP}[U] = \sum_{\mu < \nu} f(u_{\mu\nu}, w_{\mu\nu})$ e.g. $f(u, w) = \sum_{k,l} p_{kl} u^k w^l$

- Asymmetrically smeared links:

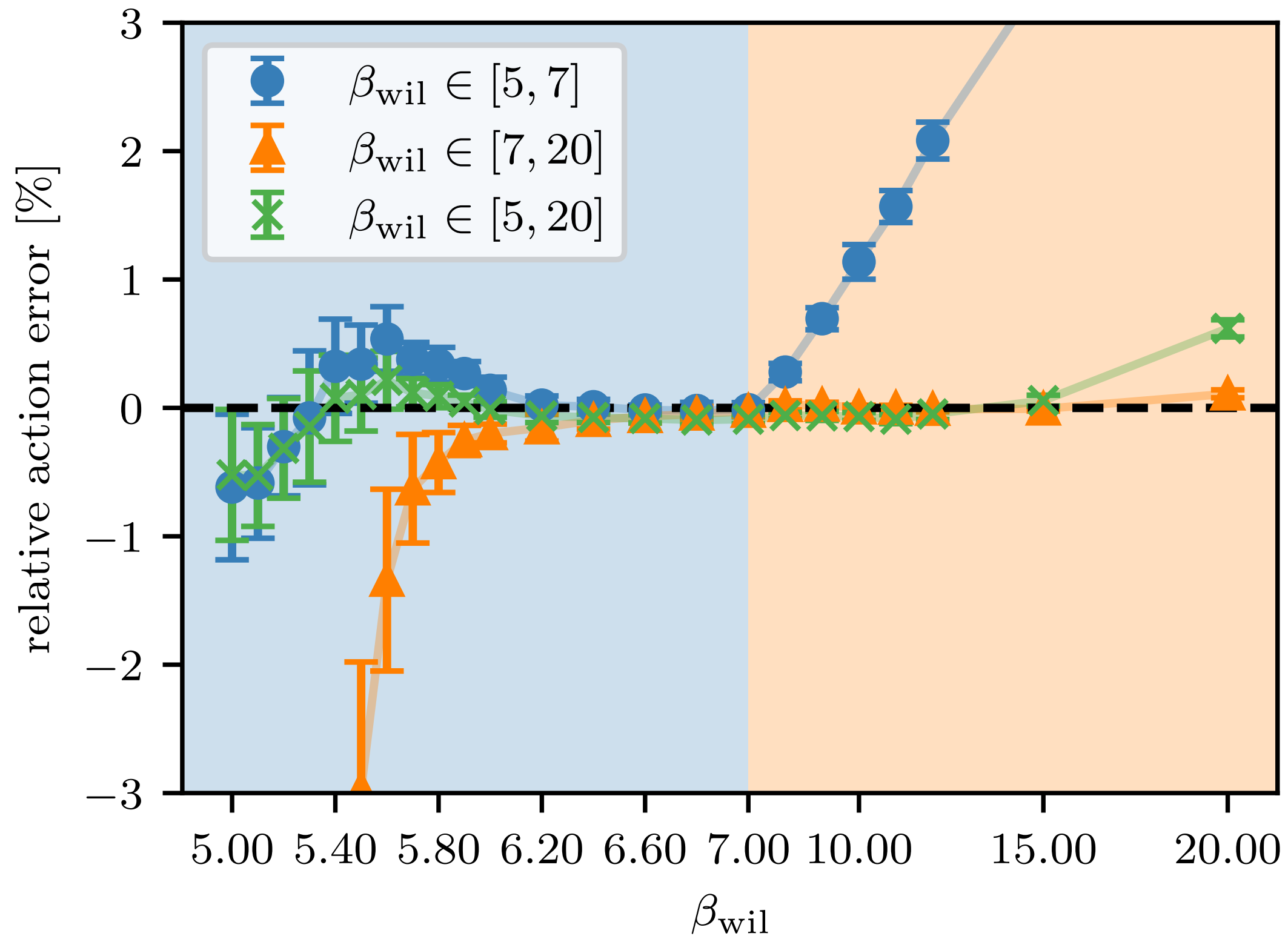
$$Q_\mu^S = \frac{1}{6} \sum_{\lambda \neq \mu} S_\mu^{(\lambda)} - U_\mu, \quad Q_\mu^{(\nu)} = \frac{1}{4} \left(\sum_{\lambda \neq \mu, \nu} S_\mu^{(\lambda)} + \eta(x_\mu) \cdot S_\mu^{(\nu)} \right) - \left(1 + \frac{1}{2} \eta(x_\mu) \right) U_\mu,$$

$$W_\mu^{(\nu)} = U_\mu + c_1(x_\mu) \cdot Q_\mu^{(\nu)} + c_2(x_\mu) \cdot Q_\mu^{(\nu)} U_\mu^\dagger Q_\mu^{(\nu)} + \dots, \quad x_\mu = \text{ReTr} \left(Q_\mu^S \cdot U_\mu^\dagger \right),$$

$$\eta(x) = \eta^{(0)} + \eta^{(1)} \cdot x + \eta^{(2)} \cdot x^2 + \dots, \quad c_i(x) = c_i^{(0)} + c_i^{(1)} \cdot x + c_i^{(2)} \cdot x^2 + \dots$$

Machine learning the FP action: Results

Restricted training ranges:



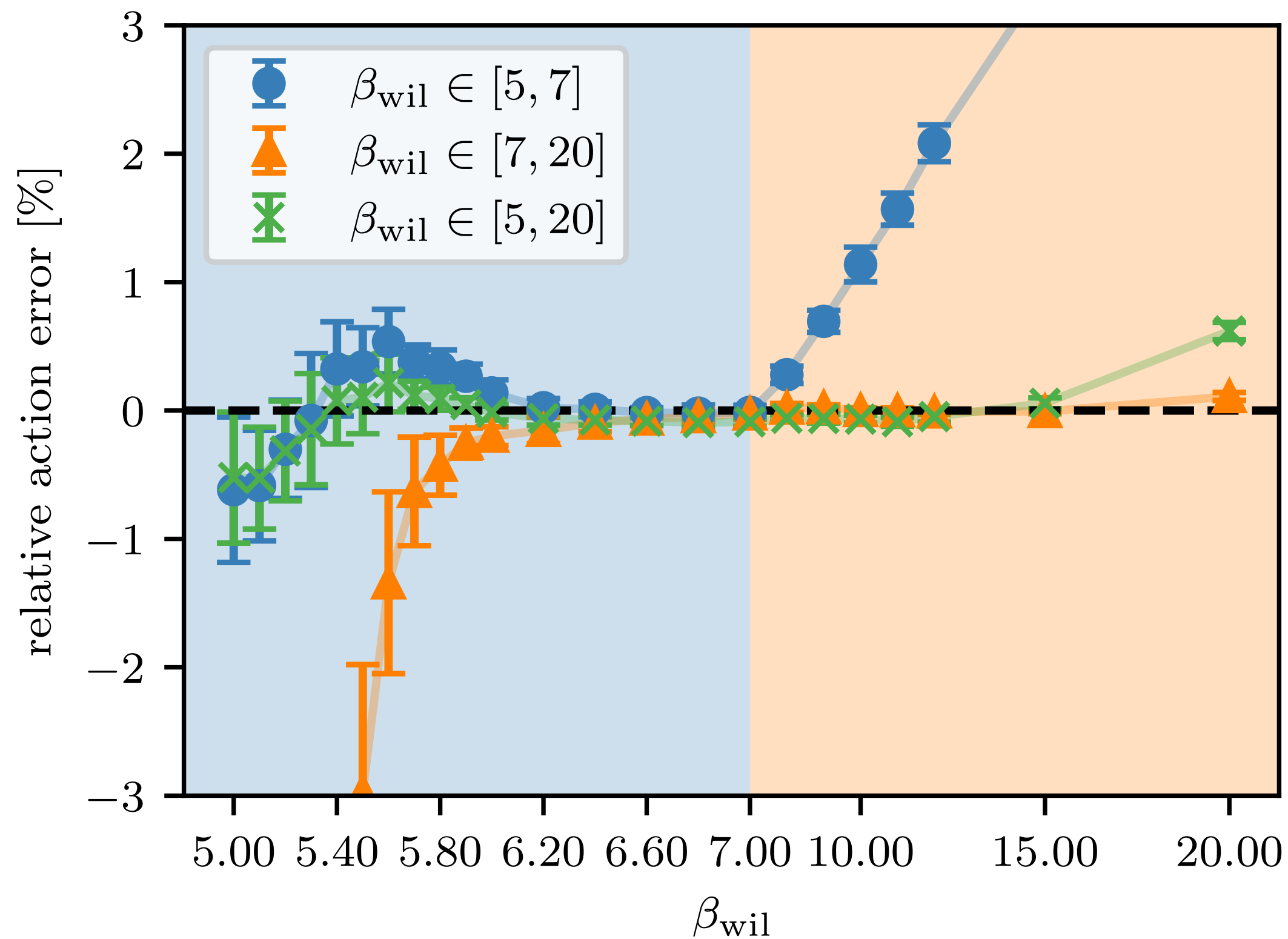
Transfer learning:

finetuned model	relative error (test data)		
	4^4	6^4	8^4
4^4	0.178 %	0.201 %	0.181 %
6^4	0.185 %	0.196 %	0.177 %
8^4	0.191 %	0.202 %	0.176 %

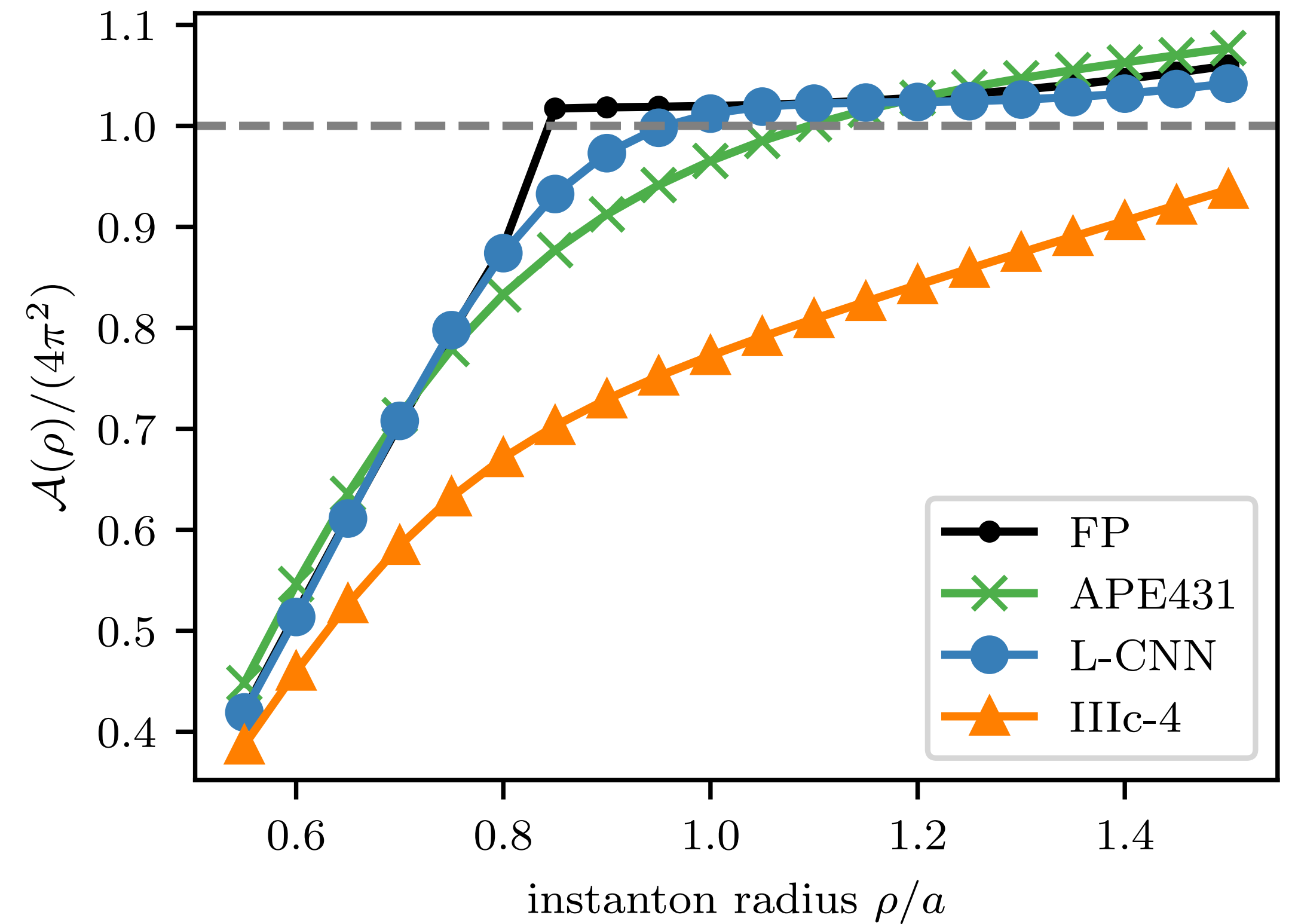
finetuned model	derivative error (test data)		
	4^4	6^4	8^4
4^4	7.63×10^{-2}	8.19×10^{-2}	8.22×10^{-2}
6^4	7.39×10^{-2}	7.93×10^{-2}	7.96×10^{-2}
8^4	7.36×10^{-2}	7.91×10^{-2}	7.93×10^{-2}

Machine learning the FP action: Results

Restricted training ranges:



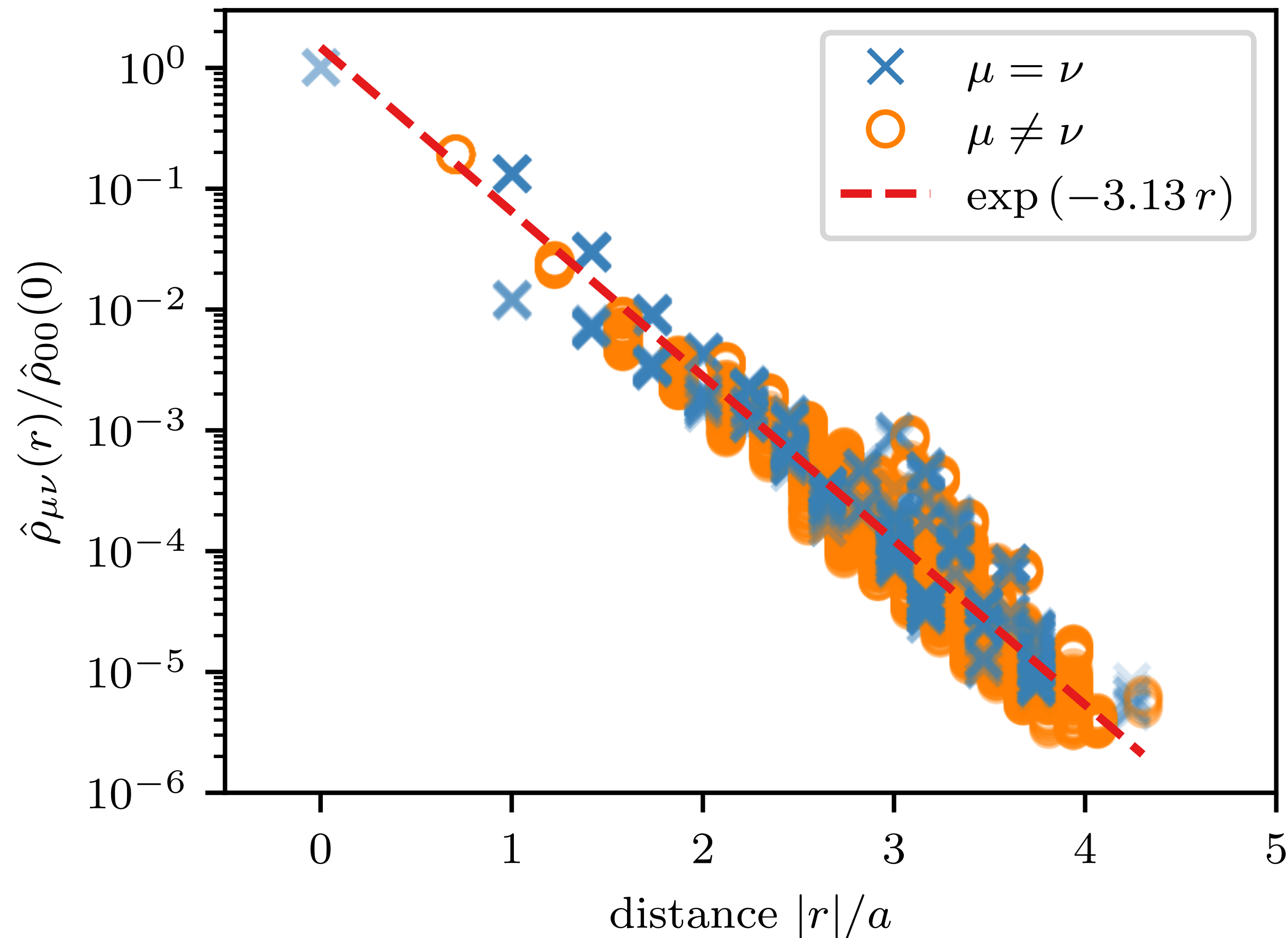
Finetuning on instantons:



⇒ new L-CNN parametrization is indeed very flexible and accurate

Machine learning the FP action: Locality

Locality of L-CNN trained FP action:



$$\hat{\rho}_{\mu\nu}(r) = \frac{1}{\sqrt{N_c^2 - 1}} \sqrt{\sum_{a,b} D_{\mu\nu}^{ab}(x, y) D_{\mu\nu}^{ab}(x, y)}$$

where $D_{\mu\nu}^{ab}(x, y) = \frac{\delta^2 A}{\delta V_{x,\mu}^a \delta V_{y,\nu}^b}$

- couplings fall off exponentially, as desired
- even on coarse configurations

Machine learning the FP action: Symmetries

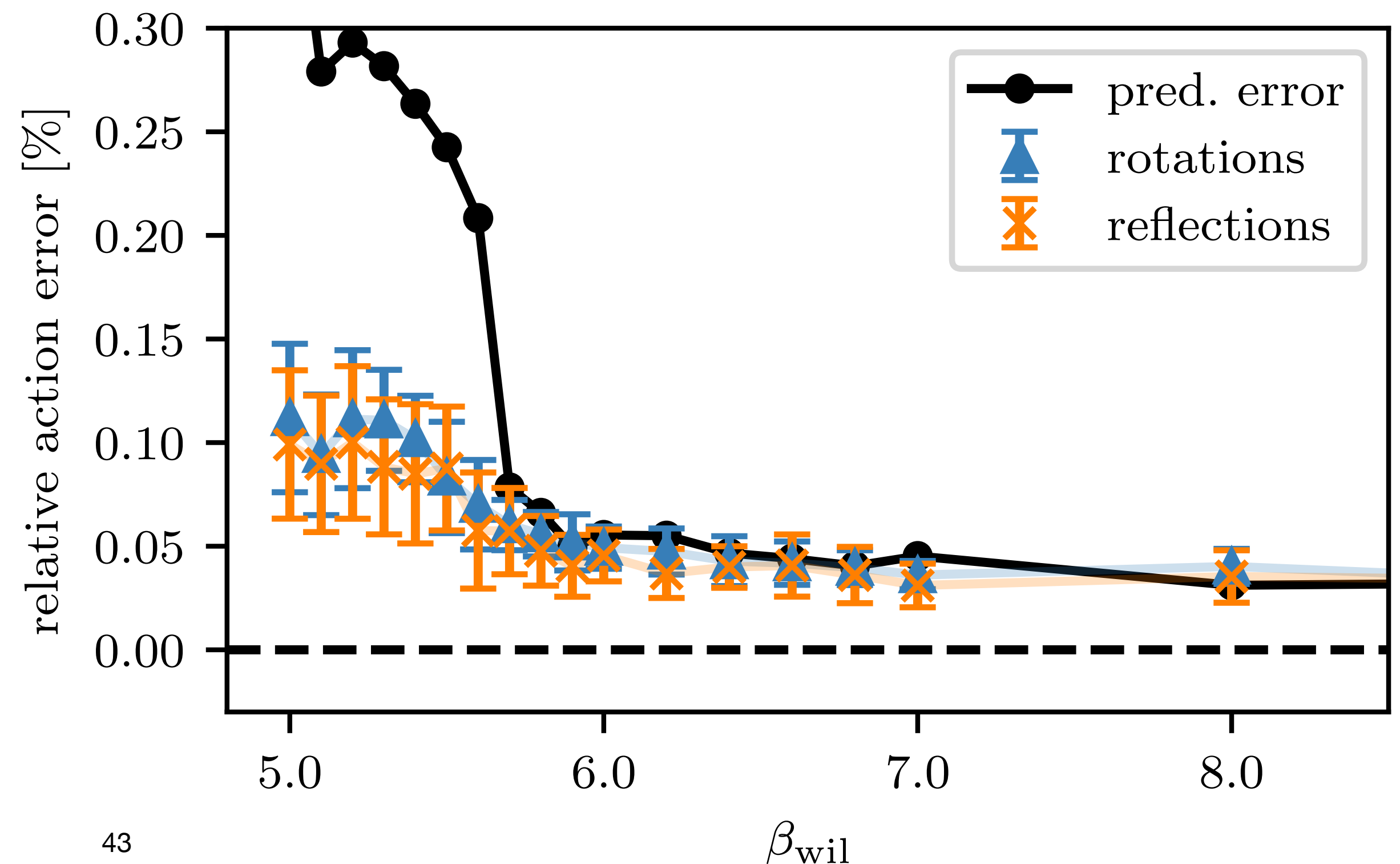
Test of lattice symmetries:

translations: $\Rightarrow A^{\text{L-CNN}}[U'_{(\text{shift})}] = A^{\text{L-CNN}}[U]$ by construction

rotations: $U \rightarrow U' = U_{(\text{rot})}$

reflections: $U \rightarrow U' = U_{(\text{refl})}$

a priori not present, but learned!



HMC performance

